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3	Further complexities of the 10Å-phase revealed by infrared spectroscopy and X-ray
4	diffraction
5	Alison R. Pawley ¹ and Mark D. Welch ²
6	¹ School of Earth, Atmospheric and Environmental Sciences, University of Manchester,
7	Manchester M13 9PL, U.K.
8	² Department of Mineralogy, The Natural History Museum, London SW7 5BD, U.K.
9	
10	Abstract
11	Infrared spectroscopy, combined with the results of previous NMR studies, has been
12	used to evaluate the OH and H_2O environments in 10Å-phase ("TAP"), nominally
13	$Mg_3Si_4O_{10}(OH)_2$ ·H ₂ O. Two partially deuterated samples of TAP synthesized under different
14	conditions have very similar IR spectra, indicating that the phase has a reproducible
15	structural state. IR spectra were also collected of samples of fully Ni-substituted and
16	partially deuterated TAP, and of samples heated for $1 - 2$ h at 500 °C in order to remove
17	structural H_2O/D_2O and leave behind bands due to OH/OD of the 2:1 layer. A high-pressure
18	study of the Ni-TAP sample confirmed that the behavior of its H_2O and OH/OD bands was
19	analogous to that observed in previous studies of Mg-TAP. Comparison of the IR spectra of
20	unheated, heated and compressed samples has allowed three different types of Mg-OH
21	(Mg-OD) stretching bands to be identified, two of which are further split, indicating subtle
22	complexities in the TAP structure. The third band is identical to the band in talc. Two
23	interlayer H_2O stretching bands have been identified. The presence of an absorption

24 feature that is broader than these interlayer H₂O bands suggests that there is a second type 25 of more weakly bonded H₂O. On heating to 500 °C, the main interlayer H₂O bands are lost, 26 the talc-like band is unchanged, and shifts in the other Mg-OH band frequencies indicate a 27 change in environment following the loss of the interlayer H₂O. At the same time the 28 signature of a silanol group is possibly revealed from the coincidence of band positions in 29 the Mg-TAP and Ni-TAP spectra. The recognition of three distinct Mg-OH (Ni-OH) 30 environments in Mg-TAP (Ni-TAP) is consistent with the structural model of TAP proposed 31 by Welch et al. (2006) and Phillips et al. (2007), in which the transformation from talc to 32 TAP involves a key change from hydrophobic to hydrophilic character that enables 33 hydration of the interlayer. A final level of complexity is indicated by the identification of a 34 3c trigonal superstructure from single-crystal XRD, implying a structure analogous to that 35 of the 3*T* phengite polytype, with interlayer H₂O fulfilling the role of K. The incorporation of 36 interlayer H₂O through the formation of silanol groups has implications for the amount of water contained in 10-Å phase and related phyllosilicates in the Earth's mantle. Moreover, 37 38 the reproducibility of the key features of the IR spectra for different samples implies that 39 this water content is fixed.

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INTRODUCTION

The 10-Å phase ("TAP"), a high-pressure synthetic phyllosilicate related to talc, has been the subject of numerous experimental studies since the first report of its synthesis by Sclar et al. (1965), due to its potential significance as a host of water in subduction zones. TAP is stable at pressures above 5 GPa, where it forms from the reaction of talc, Mg₃Si₄O₁₀(OH)₂, with water (Pawley and Wood 1995). Above 700 °C it breaks down to

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47 enstatite + coesite + water (Pawley et al. 2011). Therefore any talc that is carried down into 48 the Earth's mantle in subducting slabs will react to TAP at depths of around 200 km if the 49 temperature remains below 700 °C. Talc forms in subducting slabs from hydration of 50 mantle peridotite (e.g., Evans and Guggenheim 1988). Because of its mechanical weakness 51 and anisotropy, its presence in subduction zones is likely to have a significant effect on 52 rheological and seismic behavior (e.g., Hirauchi et al. 2013). TAP has similar physical 53 properties, and so it too would play an important role in the geophysical properties of 54 subducting slab, as well as delivering water to greater depths than is possible in talc.

55 The most obvious transformation in forming TAP from talc + H_2O is the expansion of 56 the basal spacing as H_2O is incorporated into the interlayer space between the 2:1 layers of 57 talc. However, the amount of H_2O incorporation, and its variability with run conditions, 58 have been difficult to quantify. Attempts to measure the H₂O content have yielded 59 concentrations ranging from 0.65 to 2 H₂O per formula unit of talc (Bauer and Sclar 1981; 60 Wunder and Schreyer 1992; Yamamoto and Akimoto 1977). The structure reported by 61 Comodi et al. (2005) from single-crystal X-ray diffraction contains a single H₂O molecule, 62 which is located at the (000) site of the interlayer. Attempts to use computer simulation (*ab* 63 *initio* methods) to infer the state of hydration assume that TAP is simply a hydrated talc, 64 and have not provided good constraints on the likely H_2O content, let alone plausible 65 structural mechanisms for hydration (e.g., Fumagalli and Stixrude 2007). Furthermore, 66 such studies ignore the problem that talc is hydrophobic.

67 Until recently, no mechanism for the incorporation of stoichiometric amounts of H_2O 68 into TAP had been proposed. However, NMR studies by Welch et al. (2006) and Phillips et 69 al. (2007) provide clear evidence for the presence of Si vacancies in TAP, which must be

70 associated with Si-OH groups. These silanol groups confer a hydrophilic character upon the 71 sheets of tetrahedra, which facilitates the incorporation of interlayer H₂O. The model 72 developed by Phillips et al. (2007) is shown in Figure 1. The presence of vacant Si sites 73 leads to triplets of Si-OH groups around each vacancy, along with a new Mg-OH group (type 74 B) that is topologically distinct from the "normal" talc-like Mg-OH environment (type A). 75 The creation of the Si vacancy also leads to a change in topology of the three nearest talc-76 like Mg-OH groups (type C). Thus, the model predicts three distinct types of Mg-OH groups 77 in TAP. An H_2O group is hydrogen-bonded to each silanol OH. The hydrophilic tetrahedral 78 sheet in TAP contrasts with the hydrophobic sheet of talc. Hence, when talc transforms to 79 TAP at high pressure, this is due to the formation of Si vacancies, silanols and a resulting 80 hydrophilic structure. The ²⁹Si MAS NMR spectrum of sample DTAP3 reported by Welch et 81 al. (2006) implies approximately 1 in 20 Si vacancies (5%). In talc there are 10 OH for 82 every 20 Si. Therefore in TAP with 1 in 20 Si vacancies, the ratio of A-, B- and C-type Mg-OH 83 and silanols is 7:1:3:3.

The structural mechanism for interlayer hydration proposed by Phillips et al. (2007), in which three H₂O groups are associated with each Si vacancy, allows considerable H₂O contents to be achieved with minor vacancy formation. A chemical formula corresponding to TAP with 5% Si vacancies is Mg₃Si_{3.8}O_{9.2}(OH)_{2.8}·0.6H₂O. With 8% Si vacancies, the formula is Mg₃Si_{3.68}O_{8.72}(OH)_{3.28}(OH)₂·H₂O. Just 2% Si vacancies (near the analytical uncertainty levels of Si for electron microprobe analysis) allows 0.25 H₂O per formula unit to be incorporated into the TAP structure.

91	In this paper we report the results of spectroscopic and diffraction experiments on
92	partially deuterated TAP (Mg-TAP) and Ni-substituted TAP (Ni-TAP) that point to new
93	levels of complexity in the structure of this beguilingly simple phase.
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95	EXPERIMENTAL METHODS
96	Sample synthesis
97	Synthesis of the Mg-TAP is described in Welch et al. (2006). Samples from two
98	different synthesis experiments were used in the present study. They were synthesized
99	from a mixture of Mg(OD) $_2$ and SiO $_2$ at 6.5 GPa, 600 °C for 400 h (sample DTAP3) and 150 h
100	(DTAP4). DTAP3 is the same sample as used for ²⁹ Si MAS NMR spectroscopy in Welch et al.
101	(2006). The Ni-TAP was synthesized from synthetic Ni-talc $[Ni_3Si_4O_{10}(OH)_2] + D_2O$. The Ni-
102	talc was synthesized hydrothermally from an oxide gel at 0.2 GPa, 500 °C (5 days). The Ni-
103	TAP synthesis experiments used a multi-anvil apparatus at the University of Manchester.
104	Samples were sealed in platinum capsules along with 10 – 15 wt % D_2O . The experimental
105	procedure was the same as described in Welch et al. (2006). At the end of each experiment,
106	capsule integrity was checked by measuring the weight before and after puncturing the
107	capsule and placing in a drying oven for around an hour.
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109 X-ray powder diffraction (XRPD)

All run products were studied by XRPD in order to check purity, identify product phases and to determine unit-cell parameters. The XRPD pattern of sample DTAP3 has already been reported by Welch et al. (2006). In order to minimize the effects of preferred orientation, which can be extreme for phyllosilicates, we prepared small spheres of a few milligrams of powder bound in cow gum and mounted on a glass fibre. We used a Genix
microsource X-ray generator equipped with CuKα radiation operated at 45 kV, 40 mA, and
an Enraf-Nonius one-dimensional position-sensitive detector. A Gandolfi movement was
used to maximize sample averaging and minimize preferred orientation. Samples diffracted
out to ~80 °2θ, and preferred orientation effects were minimal.

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120 Single-crystal X-ray diffraction (SCXRD)

121 All Ni-TAP products and sample DTAP4 were too fine-grained for analysis by SCXRD. 122 However, the product of sample DTAP3 contained crystals of Mg-TAP that were large 123 enough. Six crystals were studied. Each was mounted on a non-diffracting amorphous-124 carbon fibre (0.01 mm diameter), itself attached to a glass fibre base. Data were collected 125 using an XcaliburE four-circle diffractometer equipped with an EoS 1K CCD detector (both 126 Agilent Technologies) and MoKα radiation (50 kV, 45 mA). The data collection strategy was 127 determined from a 30-min pre-experiment. Pure ω scans were used with a scan-width of 1° 128 and frame-time of 60 s. The full data collection lasted 16 h. Unit-cell parameters were 129 obtained using reflections with $l > 7\sigma(l)$. Reflection intensities were corrected for Lorentz-130 polarization effects and absorption (Multiscan) and converted to structure factors using 131 CrysalisPro (®Agilent Technologies). Structure solution by Direct Methods and structure 132 refinement were carried out using SHELX (Sheldrick 2008) within the WinGX environment 133 (Farrugia 1999).

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135 Infrared (IR) spectroscopy

136 Powder IR spectra were collected using a Perkin Elmer Spectrum One FTIR 137 spectrometer at the Natural History Museum. A resolution of 2 cm⁻¹ was used, with a scan 138 time of 16 s from 400 – 4000 cm⁻¹. KBr was used as a reference standard. Before collecting 139 the spectra, sample discs and the blank KBr disc were heated at 140 °C for 1 day. A set of 140 spectra was collected for samples DTAP3, DTAP4, and all Ni-TAP samples. Some of the 141 discs were then heated in a muffle furnace to 500 °C for 1 - 2 h, crushed, repressed and 142 their spectra recollected as before. In a separate experiment a new disc of (unheated) 143 DTAP4 was prepared and heated to 600 °C for 3 h and its spectrum collected. In addition, 144 two spectra of sample DTAP3 were obtained using the IR microspectroscopy station at the 145 Synchrotron Radiation Source, Daresbury Laboratory. The station set-up is described in 146 Pawley and Jones (2011). The sample was placed on a BaF_2 disc, and one spectrum 147 collected of a single crystal, the other of a small clump of crystals.

148 In order to establish if Ni-TAP behaves in a similar way to Mg-TAP, we compressed 149 one of the Ni-TAP samples to 9 GPa. High-pressure synchrotron IR spectra were collected 150 using a diamond-anvil cell at the Swiss Light Source (SLS). Details of the IR procedures and 151 instrumentation used at the SLS are given in Jennings et al. (2010) and Welch et al. (2012). A sample chamber was created by filling the gasket hole (0.2 mm diameter) with dry CsI 152 153 powder and then pressing a small clump of Ni-TAP powder into it with the top diamond. 154 Pressure was determined using the ruby-fluorescence method (Mao et al. 1986). Two ruby 155 fragments were loaded into the CsI plug along with the Ni-TAP powder.

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RESULTS

158 **Ni-TAP synthesis experiments**

159 Four synthesis experiments were carried out using the synthetic Ni-talc + D_2O as 160 starting material. An additional experiment used the Ni-talc gel + D₂O. The experimental 161 conditions and run products are listed in Table 1, together with the conditions for the Mg-162 TAP synthesis experiments. All Ni-TAP synthesis experiments except NTAP3 showed 163 visible fluid escape on puncturing the capsule after the run. For these four experiments, the 164 amount of D_2O incorporated into the run product, which was the difference in weight 165 between added D₂O and fluid released after puncturing, varied from 6 to 11 wt%. The maximum apparent incorporated D₂O is in the sample that did not transform to 10-Å phase 166 167 (NTAP4), which means that these concentrations cannot be used to indicate the D_2O 168 content of the run product. It is likely that some D₂O was lost during the capsule loading 169 stage. Experiment NTAP3 showed only a small weight loss after puncturing and drying, 170 suggesting water loss during the experiment.

171 Experiment NTAP1 suffered a short circuit after running for just 40 min, and NTAP2 172 also experienced heating problems. Nevertheless, both run products showed 100 %173 transformation to TAP. After experiment NTAP2, a slight modification was made to the 174 multi-anvil apparatus to keep the anvils apart and prevent another short circuit. 175 Subsequent recalibration of pressure revealed a lower pressure than intended in 176 experiments NTAP3 and NTAP4 (6.2 GPa instead of 6.5 GPa), which has led to the 177 formation of talc. In NTAP4 there is also a minor amount of TAP, which means that the reaction Ni-talc + H_2O = Ni-10-Å phase must occur at close to 6.2 GPa at 600 °C. This is a 178 179 considerably higher pressure than for the corresponding reaction of Mg-talc to Mg-10-Å

180 phase (~4.9 GPa at 600 °C, Pawley et al. 2011). Experiment NTAP5 was run at a higher 181 pressure and produced 100% TAP. As well as forming Ni-talc, XRPD of experiment NTAP3 182 showed the formation of an amphibole whose diffraction pattern most closely matches that 183 of grunerite, implying an amphibole of composition $Ni_7Si_8O_{22}(OH)_2$. 184 Diffraction patterns of NTAP1 and NTAP4 are compared in Figure 2. The relationship 185 between the talc and TAP structures is clear, with TAP showing an increased basal spacing 186 with respect to talc. Unit-cell parameters of NTAP1 derived from XRPD (Le Bail refinement) are: a = 5.3189(5) Å, b = 9.1797(6) Å, c = 10.068(1) Å, $\beta = 100.33(1)^{\circ}$, V = 483.6(1) Å³; for 187 NTAP5 they are: a = 5.3310(6) Å, b = 9.174(1) Å, c = 10.110(1) Å, $\beta = 100.39(1)^{\circ}$, V =188 486.3(1) Å³. From a comparison of these parameters with those of DTAP3 measured by 189 190 Welch et al. (2006) – a = 5.3297(9) Å, b = 9.205(3) Å, c = 10.202(3) Å, $\beta = 100.08(2)^{\circ}$, $V = 100.08(2)^{\circ}$ 492.8(2) $Å^3$ – it can be seen that substitution of Ni for Mg in the TAP structure causes a 191 192 small reduction in volume, which is mostly seen as a decrease in the basal spacing. The 193 similarity of cell parameters for NTAP1 and NTAP5 suggests that the effect of different run 194 durations (0.7 h for NTAP1 and 97 h for NTAP5) on the state of hydration is minor.

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196 Single-crystal XRD

Six crystals of DTAP3 were examined by SCXRD and all showed the same diffraction behavior, which was characterised by rows of superlattice reflections associated with a 3csuperstructure. However, strong modulated diffuse scattering is superimposed upon these superlattice reflections, which did not allow their intensities to be integrated satisfactorily $(R_{int} = 0.11 - 0.15)$, although peak positions were accurately determined for refinement of unit-cell parameters. For the best DTAP3 crystal studied, the unit-cell parameters of the

203	trigonal 3 <i>T</i> cell are: $a = 5.3094(2)$ Å, $c = 30.073(2)$ Å, $V = 734.16(6)$ Å ³ $(1M \rightarrow 3T)$
204	transformation matrix = $\frac{1}{2} - \frac{1}{2} 0/\frac{1}{2} \frac{1}{2} 0/1 0 3$). In terms of the 3 <i>T</i> cell, rows of superlattice
205	reflections occur for $h, k \neq 3n$, as shown in Figure 3. An attempt to refine the structure in
206	the monoclinic subcell $(C2/m)$ confirmed the basic substructure reported by Comodi et al.
207	(2005), but also revealed the presence of a minor second component in difference-Fourier
208	maps that is rotated by 60° relative to the main component. After the SCXRD experiment,
209	the crystal was heated in a muffle furnace to 500 °C for 1 h and studied again. However, the
210	heated crystal did not diffract. It appeared to be delaminated, with obvious cracks and the
211	flaky aspect of a damaged book. We interpret the non-diffracting nature of the heated

crystal as being due to substantial loss of interlayer H_2O that removes hydrogen bonding across the interlayer and thereby renders the structure incoherent and effectively twodimensional, with no periodicity along *c*.

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216 Infrared spectra

217 **IR spectra of Mg-TAP: unheated samples.** Powder and single-crystal IR spectra of 218 unheated samples of DTAP3 and DTAP4 are shown in Figure 4, and stretching frequencies 219 are listed in Table 2. The nominally pure D₂O synthesis environment evidently also 220 contained H₂O, so that in addition to well-resolved spectral detail in the OD region, there 221 are strong bands in the OH region. These are less intense in the DTAP4 spectrum than the 222 DTAP3 spectra. The similarity of the powder spectra of DTAP3 and DTAP4 indicates little 223 difference in the structural states of samples synthesised for 150 h (DTAP4) and 400 h 224 (DTAP3). The spectra comprise three pairs of sharp bands at 3675/3665, 3643/3630 and 225 3588/3577 cm⁻¹, each having an OD counterpart at 2711/2704, 2683/2668 and

226 2645/2626 cm⁻¹, respectively. The v_{OH}/v_{OD} wavenumber ratios for these bands range from 227 1.355 to 1.362 and are very close to the theoretical value of 1.374, assuming that O-H and 228 O-D bonds have the same force constant. Another sharp band in the OD region, at 2732 cm⁻ 229 ¹, with a shoulder at 2751 cm⁻¹, does not at first sight appear to have an OH counterpart. 230 In addition to these sharp bands, there is a broad but well-defined band centred at 231 3268 cm⁻¹, with a corresponding OD band at 2440 cm⁻¹. The wavenumber ratio of this pair 232 of bands is 1.339, which is lower than the values for the sharp bands. Between the set of 233 sharp OH/OD bands and the broader 3628/2440 band is an ill-defined broad feature of 234 variable intensity centred at \sim 3430 cm⁻¹, with a corresponding very weak OD feature

235 centred at \sim 2555 cm⁻¹.

236 **IR spectra of Ni-TAP: unheated samples.** The powder-IR spectra of NTAP1, 2 and 5 237 (all 100% Ni-TAP) are very similar. Figure 5 shows the spectrum of NTAP5, together with 238 that of NTAP4, which comprises talc + minor TAP. All Ni-TAP spectra consist of four well-239 defined OH bands at 3672, 3626, 3588 and 3532 cm⁻¹, a sharp OD band at 2676 cm⁻¹ and 240 three small bands at 2731, 2648 and 2607 cm⁻¹. The 3626/2676, 3588/2648 and 241 $3532/2607 \text{ cm}^{-1}$ bands are identified as OH/OD pairs since $v_{OH}/v_{OD} = 1.355$ in each case. 242 Assuming that the 2731 cm⁻¹ band is the OD counterpart of the 3672 cm⁻¹ band, their 243 v_{OH}/v_{OD} = 1.345. In addition to these sharper bands, there is an intense broad band at 3256 244 cm⁻¹ that has an OD counterpart at 2440 cm⁻¹ ($v_{OH}/v_{OD} = 1.334$). Lastly, as with the DTAP 245 samples, there is an ill-defined broad feature centred at ~ 3430 cm⁻¹. In this case there is no 246 equivalent OD band. The NTAP4 spectrum contains strong sharp bands at 3626 and 2676 247 cm⁻¹, with additional weak bands due to the presence of minor TAP.

248 IR spectra of Mg-TAP and Ni-TAP: heated samples. Figure 6 shows the unheated 249 DTAP4 spectrum from Figure 4 together with spectra of three different heated discs of 250 DTAP4, two that were heated at 500 °C for 2 h and the third that was heated at 600 °C for 3 251 h. After heat treatment at 500 °C, DTAP4 retains the OH/OD bands at 3675 and 2711 cm⁻¹. 252 A shoulder is evident on the high-frequency side of these bands, at 3686/2719 cm⁻¹. The 253 other sharp bands from the unheated spectrum are not retained, but instead there is a 254 broader band at 3587/2651 cm⁻¹, with a weak shoulder on the high-frequency side. Again 255 there is an intermediate broad feature of variable intensity. It shows a small shift in 256 frequency from the unheated spectrum, being centred at \sim 3445 cm⁻¹. Its weaker OD 257 equivalent is at ~2530 cm⁻¹. The 600 °C spectrum is very similar to the 500 °C disc 2 258 spectrum, except that the shoulder on the high-frequency side of the 2651 cm⁻¹ band is 259 resolved into two bands at 2689 and 2677 cm⁻¹, and there is no feature at 2530 cm⁻¹.

After heat treatment at 500 °C for 1 h, NTAP1 retains the sharp OH/OD bands at 3626 and 2676 cm⁻¹, and again, a shoulder is evident on the high-frequency side of these bands, at 3637/2684 cm⁻¹ (Fig. 5). The other sharp bands are replaced by a single band at 3578/2644 cm⁻¹. The broad 3430 cm⁻¹ band remains on heating, with a very weak OD equivalent, at ~2530 cm⁻¹. Heat treatment of NTAP4 (Ni-talc) at 500 °C for 1 h has very little effect on its spectrum (Fig. 5).

IR spectra of Ni-TAP: high-pressure results. In the diamond-anvil cell, the only bands that are strong enough to be resolved above 0 GPa are those at 3672 and 3626 cm⁻¹ (OH) and 2676 cm⁻¹ (OD) (Fig. 7). The 3256 cm⁻¹ band is visible, but interference fringes prevent its position from being measured. On compression to 9.0 GPa, the 3626 and 2676 cm⁻¹ bands show a small positive dv/dP, moving to 3628 cm⁻¹ (OH) and 2679 cm⁻¹ (OD). In contrast, the 3672 cm⁻¹ band shows a rapid decrease in frequency, shifting at a rate of -9

it can no longer be resolved. Both bands return to their original position on decompression.

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DISCUSSION

276 Infrared band assignments

The main motivation for producing Ni-substituted TAP was to aid in assigning bands in IR spectra that previously have been the source of ambiguity. Substitution of 100% Ni for Mg in talc results in a well-documented wavenumber shift from 3676 to 3626 cm⁻¹, i.e., Ni for Mg causes a large (50 cm⁻¹) shift in OH vibrational frequency. If such shifts can be identified, then bands can be assigned to Mg/Ni-OH. Unshifted bands can then be assigned to H₂O or to Si-OH groups, which will be unaffected by Mg/Ni substitution as they are not bonded to Mg or Ni.

284 Band assignments are summarized in Table 2. In both the Mg-TAP and Ni-TAP 285 spectra, there are sharp bands at the same frequencies as in Mg- and Ni-talc (highlighted by 286 asterisks in Figs 4 and 5). These bands are assigned to Mg/Ni-OH/OD in the same 287 environment as in talc. This is the same assignment as previously made (Parry et al. 2007; 288 Pawley and Jones 2011). The intensity of this talc-like band is much lower in the DTAP3 289 single crystal spectrum (Fig. 4) than in the powder spectra. This is because the orientation 290 of the single crystal was such that talc-like O-H bonds (parallel to [001]) would have been 291 nearly parallel to the incident direction of the IR beam. In contrast, powder spectra are 292 much more randomised and register all OH/OD bands.

293 If we compare the Mg-TAP and Ni-TAP spectra (Figs 4 and 5), we see that as well as 294 the sharp talc-like band, the two pairs of OH bands at 3643/3630 and 3588/3577 cm⁻¹ in 295 the Mg-TAP spectra are related by 50 cm⁻¹ shifts to the two OH bands at 3588 and 3532 cm⁻¹ 296 ¹, respectively, in Ni-TAP. We identify these two sets of bands as being due to distinct Mg-297 OH/Ni-OH groups in TAP that are different from the talc-like OH group, and are, therefore, 298 new OH environments that only occur in TAP. The model of TAP proposed by Phillips et al. 299 (2007), and shown schematically in Figure 1, has three different Mg-OH environments. We 300 propose that the sharp talc-like band corresponds to type-A OH, with the other two sets of 301 bands being types B and C. The fine structure of the Mg-TAP spectra, in which these pairs 302 of Mg-OH (and Mg-OD) bands are resolved as doublets, implies that these new Mg-OH (Mg-303 OD) environments are further subdivided by subtle interactions with the surrounding 304 structure. The separate bands are not resolvable in the Ni-TAP spectra because the sample is not as well crystallized as the Mg-TAP samples. The bands at 3665 and 2704 cm⁻¹ may 305 306 also be doublets with the talc-like bands at 3675 and 2711 cm⁻¹. Their equivalents are not 307 resolved in the Ni-TAP spectra.

308 Further indication that the 3675 cm⁻¹ OH band in Mg-TAP (and equivalent OD and Ni-309 TAP bands) is due to OH in a talc-like environment comes from its behavior on 310 compression. The small blue shift of the 3626 and 2676 cm⁻¹ bands with compression of Ni-311 TAP is similar in direction and magnitude to the shift experienced by the 3675 and 2711 312 cm⁻¹ bands in Mg-TAP (Pawley and Jones 2011), and by the 3676 cm⁻¹ band in talc (e.g., 313 Parry et al. 2007). The positive dv/dP indicates that there is no hydrogen bonding, either to 314 oxygens of the silica ring or to interlayer H_2O . dv/dP is small because the 2:1 layers remain 315 fairly rigid during compression, with most of the compression taken up by the interlayer region. The persistence of the band in the spectra of DTAP4 heated to 500 and 600 °C is further evidence of its being due to vibration of strongly bonded OH/OD. In contrast, the interlayer water is driven off by 500 °C, as will be seen below. The invariance of the vibrational frequency as the interlayer water is removed is a further indication of an absence of hydrogen bonding between the talc-like OH and the interlayer H₂O.

321 The other band that is seen in the high-pressure Ni-TAP spectra, at $v_0 = 3672$ cm⁻¹, 322 experiences a large red shift on compression (Fig. 7). This behavior recalls that reported in 323 Mg-TAP by Parry et al. (2007). In their Figure 3, a broad band emerges from the 3675 cm⁻¹ 324 band at ~1 GPa and moves to ~3640 cm⁻¹ at 9.6 GPa. The behavior of these bands is similar 325 in Mg-TAP and Ni-TAP samples, and the two bands can, therefore, be confidently assigned 326 to the same stretching vibration. The similar values of $v_0 = 3672$ cm⁻¹ in Ni-TAP and $v_0 =$ 327 3675 cm⁻¹ in Mg-TAP indicate that this band is not due to Mg/Ni-OH. The marked 328 wavenumber shift on compression suggests that it is associated with the interlayer region, 329 which has a high compressibility, rather than with the 2:1 layers. We therefore assign it to 330 stretching of one of the O-H bonds of the interlayer water. In previous studies its 331 assignment was uncertain (e.g., Pawley and Jones 2011), but comparison of Mg-TAP and Ni-332 TAP spectra removes any ambiguity, and shows that the 3675 cm⁻¹ band in Mg-TAP spectra 333 is a near coincidence of H₂O and talc-like Mg-OH bands. The negative $d\nu/dP$ of this H₂O 334 band suggests increasing hydrogen bonding as the interlayer H₂O is brought closer to the 335 neighbouring tetrahedral sheet.

Comparison of Figures 4 and 5 shows that the broad bands at 3268 and 2440 cm⁻¹ in the Mg-TAP spectra are equivalent to the 3256 and 2440 cm⁻¹ bands in the Ni-TAP spectrum. In this case there is only a small (OH), or no (OD), frequency shift with Mg-Ni substitution. The bands can therefore be assigned to stretching of the other O-H/O-D bond
of the interlayer water. This is the same assignment as made by Parry et al. (2007). The
large bandwidth is interpreted as being due to a degree of orientational disorder. The low
frequency indicates stronger hydrogen bonding than for the other O-H/O-D bonds.

343 The presence of an OD band at 2731-2732 cm⁻¹ in the spectra of both samples needs 344 further consideration. This band should have a corresponding OH band, but there are no 345 OH bands with frequency higher than 3675 cm⁻¹. However, it can be seen from Figure 4 that 346 the intensity of this band (highlighted with a vertical dashed line) varies between spectra 347 and roughly correlates with the intensity of the 2440 cm⁻¹ band (also highlighted). These 348 two bands are particularly strong in the DTAP3 single crystal spectrum. Both bands are 349 weak in the Ni-TAP spectrum (Fig. 5). This correlation suggests that, like the 2440 cm⁻¹ 350 band, the 2732 cm⁻¹ band can be assigned to stretching of OD in interlayer water, and is 351 therefore the OD equivalent of the 3675 cm⁻¹ interlayer H₂O band. That it has a different 352 v_{OH}/v_{OD} from the 3675 cm⁻¹ talc-like Mg-OH band (1.345 versus 1.356) is puzzling, but it 353 should be noted that the lower-wavenumber H₂O band (3268 cm⁻¹) also has a low v_{OH}/v_{OD} 354 (1.339). The 2751 cm⁻¹ shoulder on the 2732 cm⁻¹ band in the Mg-TAP spectra has no 355 corresponding OH band, and remains an enigma.

The two bands assigned to OH/OD stretching of interlayer water are separated by around 400 cm⁻¹ (OH). This degree of separation indicates that the two vibrations are not coupled, i.e. they are not symmetric and antisymmetric vibrations of H₂O. Instead, the two O-H bonds can be considered separately and each has its own stretching frequency. Assuming that in both Mg-TAP and Ni-TAP there are HOH, HOD and DOD molecules, the absence of coupling is also evident from the fact that there are only two v_{OH} and two v_{OD} in

362 both spectra, since coupling would lead to two frequencies for each of the HOH, HOD and 363 DOD molecules. The large frequency difference between the two bands indicates a 364 significant difference in hydrogen bonding, with a much shorter O_w-H…O for the band at 3268 cm⁻¹ than for the band at 3675 cm⁻¹ (~ 2.7 Å compared with > 3.0 Å, using the 365 366 correlation of Libowitzky 1999). This difference suggests that either the H₂O molecule does 367 not occupy a symmetric position with respect to the adjacent SiO₄ tetrahedra, or that there 368 is dynamic disorder between two non-symmetric positions, as is the case for lawsonite 369 (Libowitzky and Rossman 1996). On compression there is a strengthening of the hydrogen 370 bonding for the weakly hydrogen-bonded O-H; the other band is not resolved on 371 compression.

It is curious that the OD bands of interlayer D_2O in Ni-TAP are much weaker than the corresponding OH bands. On the other hand, the talc-like OD band is relatively intense. This is seen even in the spectrum of the sample synthesized in < 1 h. Since the Ni-TAP samples were synthesized from Ni₃Si₄O₁₀(OH)₂ + D₂O, we might have expected the talc-like band to be stronger in the OH stretching region and the interlayer water bands to be stronger in the OD region. Instead, there appears to have been rapid exchange of the talc OH for OD, and preferential incorporation of H₂O in the interlayer.

All interlayer H₂O/D₂O bands in Mg-TAP and Ni-TAP spectra disappear after heating to 500 °C (Figs 5 and 6), an observation that is consistent with differential thermal analysis showing loss of interlayer water at around 450 °C at low pressure (Miller et al. 1991). Even after all the interlayer water has been removed, TAP still retains several structural differences from talc. The talc-like OH/OD band is the only band that is unchanged from the unheated spectra. The other bands are at different frequencies, indicating a structural

385 rearrangement, presumably caused by the removal of the interlayer H_2O . The new band 386 that appears as a shoulder on the high-frequency side of the main talc-like band shows the 387 same Mg-Ni frequency shift, indicating that it is also due to a Mg/Ni-OH/OD vibration. The 388 similar frequencies of the 3587/2651 cm⁻¹ (Mg-TAP) and 3578/2644 cm⁻¹ (Ni-TAP) bands 389 suggests that they include vibrations that are unaffected by Mg-Ni substitution, i.e., 390 vibrations of silanol groups (see below). Their relative broadness suggests that they 391 comprise more than one overlapping band. The shoulder on the 2651 cm⁻¹ band in the 500 392 °C DTAP4 spectra becomes resolved after heating at 600 °C into two bands at 2689 and 393 2677 cm⁻¹. The equivalent bands are too weak to be observed in the OH region.

394 The broad band at \sim 3430 cm⁻¹ in both Mg-TAP and Ni-TAP specta is intriguing, as it is 395 of variable intensity, and appears to persist after heating, albeit with a small shift in 396 wavenumber. There is also a very weak OD equivalent, which is removed on heating Mg-397 TAP to 600 °C. This band is in the region of the spectrum where adsorbed H_2O can be 398 expressed. We would expect much less adsorbed H₂O on single crystals than in powders, 399 and indeed, the band intensity is reduced in the single-crystal spectrum of DTAP3. 400 However, another possibility is that the band in the unheated samples' spectra is due to 401 additional H₂O in the interlayer. The NMR data of Phillips et al. (2007) suggest that as well 402 as the strongly hydrogen-bonded interlayer H₂O, there could be additional, more weakly 403 bonded interlayer H₂O, the amount of which could vary between samples. Variation of H₂O 404 content is also suggested by the variable estimates of water content obtained in previous studies of TAP. We propose that the 3430 cm⁻¹ peak is due to these more weakly-bonded 405 406 H_2O groups. These H_2O groups should be removed on heating to 500 °C, and so the 407 persistence of a feature at approximately the same wavenumber in the spectra of heated408 samples is puzzling.

- 409
- 410 Silanols?

411 The structural model for TAP proposed by Welch et al. (2006) and Phillips et al. 412 (2007) has two important new features, both of which are associated with Si vacancies: (1) 413 two new Mg-OH environments; (2) silanol groups. The presence of silanols is considered 414 essential to providing hydrophilic character needed to incorporate interlayer H_2O_1 , and 415 stands in sharp contrast to the hydrophobic nature of talc. In the study reported here, we 416 have identified three distinct Mg-OH (Ni-OH) environments in TAP, two of which appear to 417 be unique to TAP. These two new Mg-OH sites may be further subdivided into pairs arising 418 from more subtle interactions with the surrounding structure. However, we have not 419 identified silanol groups spectroscopically. A search of the very limited literature on 420 silanols in silicate minerals indicates that silanol vibrations occur over a wide wavenumber 421 range from 3000 - 3700 cm⁻¹. It is possible that the 3587 cm⁻¹ (Mg-TAP) and 3578 cm⁻¹ (Ni-422 TAP) bands in the heated spectra are due to silanol groups, as their frequency is almost the 423 same for both samples. Bands at these frequencies do not occur in the unheated samples' 424 spectra; however, we would not expect them to, since hydrogen bonding to the interlayer 425 H₂O would cause a reduction in frequency. It may be that the silanol bands are not visible 426 because they overlap with the low-frequency H_2O bands.

427

428 **Reproducibility of TAP structure**

The similarity of IR spectra and unit-cell parameters of samples of TAP synthesized under widely varying run durations (150 – 400 h for Mg-TAP, < 1 – 97 h for Ni-TAP) shows that the key features of their structural states are reproducible. Likewise, Ni-TAP is a good analogue of Mg-TAP, there being a close correspondence between their spectra and an analogous response to compression. If there is any variability, it is in the extent of weaklybonded interlayer H₂O whose presence is implied by the broad spectral feature at ~3430 cm⁻¹.

436

437 The 3T superstructure

438 The presence of a 3T superlattice in DTAP3 points to a further level of structural 439 complexity beyond that of the monoclinic substructure reported by Comodi et al. (2005). 440 The DTAP3 diffraction patterns are very similar to those of 3*T* phengite (Schingaro et al. 441 2013), except for the presence of significant modulated non-Bragg intensity in the former. 442 This similarity suggests that interlayer H_2O positions in TAP correlate with those of K in 443 phengite. It may be possible to determine the crystal structure of this 3T polytype using 444 radiation with a wavelength longer than that of MoK α , for example CuK α . We are exploring 445 this possibility.

446

447

IMPLICATIONS

The complexity of the IR spectra of Mg-TAP and Ni-TAP is evidence for a structural modification of the talc-like 2:1 layer building block of 10-Å phase that stabilizes the incorporation of interlayer H_2O . Following Phillips et al. (2007), we propose that Si 451 vacancies and silanol groups are formed when talc transforms to 10-Å phase, making the 452 2:1 layer hydrophilic and enabling the incorporation of interlayer H₂O. The presence of 453 silanol groups means that 10-Å phase can incorporate more water than proposed for 454 structural models in which water is only present as interlayer H₂O. It also means that if 455 another phyllosilicate shows solid solution or lamellar intergrowths with 10-Å phase at 456 high pressure, such as has been proposed for phlogopite (Fumagalli et al. 2009), it too is 457 likely to contain silanol groups.

The reproducibility in detail of the main structural features of 10-Å phase implies 458 459 that the concentration of Si vacancies and silanol groups is essentially constant. A well-460 defined H₂O content may imply ordered arrangement of Si vacancies and silanol groups, in 461 turn producing an ordered arrangement of interlayer H₂O that defines a characteristic 462 stoichiometry. The presence of a 3*T*-superstructure may be associated with such ordering. An ordered structure means that any variation in measured H₂O content of 10-Å phase 463 464 samples is likely to be due to weakly bonded interlayer H₂O. This H₂O is assumed to be 465 incorporated during quenching and decompression, as inferred from phase equilibrium experiments on two apparently different samples of 10-Å phase, which suggested no 466 467 difference in water content at high pressures and temperatures (Pawley et al. 2011). Therefore, in the Earth's mantle, 10-Å phase should have a fixed composition and H₂O 468 469 content.

470

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540	10 Å-phase. American Journal of Science, 277, 288-312.
541	

542 Table 1. Synthesis conditions and run products of Ni-TAP (this study) and Mg-TAP (Welch

543	et al. 2006). Abbreviations: TAP = 10-Å phase, amph = amphibole, en = clino-enstatite.
544	

545 546 547	Experimen	t Starting material	Pressure (GPa)	Temperature (°C)	Duration (h)	Run product	Fluid in excess?
548	NTAP1	Ni-talc+D ₂ O	6.5	600	0.7	ТАР	yes
549	NTAP2	Ni-talc +D ₂ O	6.5	600	6	TAP	yes
550	NTAP3	Oxide gel+D ₂ O	6.2	600	100	talc + amph	no
551	NTAP4	Ni-talc +D ₂ O	6.2	600	48	talc (+ TAP)	yes
552 553	NTAP5	Ni-talc +D ₂ O	7.0	600	97	ТАР	yes
554	DTAP3	$Mg(OD)_2 + SiO_2$	6.5	600	400	TAP	yes
555 556 557	DTAP4	$Mg(OD)_2 + SiO_2$	6.5	600	150	TAP (+ en)	yes

558

Table 2. Summary of OH and OD stretching frequencies and their assignments for Mg-TAP, Ni-TAP and samples heated at 500 °C for 1 – 2 h. Figures in parentheses are the pressure dependence (cm⁻¹/GPa) for the strongest Ni-TAP bands, measured up to 9 GPa (3626 and 2676 cm⁻¹ bands) and up to 3.5 GPa (3672 cm⁻¹ band). Assignments described as "New Mg-OH" are Mg/Ni-OH/OD environments that are not present in talc.

565

ν _{он} (ст ⁻¹)	ν _{od} (cm ⁻¹)		ν _{он} (ст¹)	ν _{od} (cm ⁻¹)	Assignment	
<u>Mg-TAP</u>			<u>Ni-TAP</u>			
3268	2440		3256	2440	Interlayer H ₂ O	
3430	2555		3430	-	Weakly bonded H ₂ O?	
3577	2626	}	3532	2607	New Mg-OH	
3588	2645	J			-	
3630	2668	}	3588	2648	New Mg-OH	
3643	2683	J				
3665	2704					
3675	2711		3626 (0.2)	2676 (0.3)	Talc-like Mg-OH	
3675	2732		3672 (-9)	2731	Interlayer H_2O	
<u>Heated Mg-TAP</u>			Heated Ni-TA	<u>\P</u>		
3445	2530		3430	2530	Weakly bonded H ₂ O?	
3587	2651		3578	2644	Silanol?	
3675	2711		3626	2676	Talc-like Mg-OH	
3686	2719		3637	2684	New Mg-OH	

600

601	Figure captions
602	Figure 1. The 2:1 layer OH sites in TAP based upon the model proposed by Phillips et al.
603	(2007). The structure of a 2:1 layer is shown projected onto (001) with ^[6] Mg octahedra
604	(yellow), SiO ₄ tetrahedra (blue), O and H atoms (grey and teal-green, respectively) of OH
605	and H_2O groups. Other O atoms are omitted for clarity. Three different OH environments
606	labelled A, B and C are shown. A sites are normal talc-like OH; B and C sites are new OH
607	groups that arise from the presence of Si vacancies in the sheet of tetrahedra. One such Si
608	vacancy and its associated OH groups is shown.
609	
610	Figure 2. X-ray diffraction patterns of Ni-TAP (sample NTAP1) and Ni-talc (sample
611	NTAP4). The bands marked with asterisks are the (001), (003) and (004) basal spacing
612	reflections.
613	
614	Figure 3. (a) An a^*-c^* diffraction pattern of TAP indexed for the 3T supercell (trigonal) and
615	showing the rows of superlattice reflections that occur for $h, k \neq 3n$, as is also seen in $3T$
616	phengite (Schingaro et al. 2013). (b) Two-dimensional diffraction profile along part of the
617	10l row of superlattice reflections indicated by the area in (a) defined by the dashed box.
618	Although most peaks are easily located, the considerable peak overlap prevented
619	successful integration of intensity.

620

Figure 4. IR spectra of Mg-TAP in the OH and OD stretching regions. The DTAP3 spectra
were collected at Daresbury Laboratory, the DTAP4 spectrum at the Natural History
Museum. The region of the spectra between 2800 and 3050 cm⁻¹ contains vibrations of

624	organic compounds which have been omitted for clarity. Vertical dashed lines = positions
625	of the stretching vibrations of the interlayer H_2O/D_2O , * = talc-like OH/OD stretching
626	vibrations (only shown above the top spectrum). See text for further discussion.
627	
628	Figure 5. IR spectra of Ni-TAP and Ni-talc in the OH and OD stretching regions. The talc
629	sample contains a small amount of TAP. Also shown are spectra of Ni-TAP and Ni-talc
630	heated at 500 °C for 1 hour. The dashed lines and asterisks represent the same features as
631	in Figure 4.
632	

Figure 6. IR spectra of Mg-TAP in the OH and OD stretching regions after heating at 500
and 600 °C. The spectra represent three separate heating experiments. Also shown is the
unheated spectrum of disc 1. The dashed lines and asterisks represent the same features as
in Figure 4.

637

Figure 7. IR spectra of Ni-TAP (NTAP1) under compression in the diamond-anvil cell. Theupper three spectra were collected during decompression.





Pixel number

Absorbance

Wavenumber (cm⁻¹)

3600 3400 3200 3000 2800 2600 2400 Wavenumber (cm⁻¹)

