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Revision 1

2 **Interlayer structure model of tri-hydrated low-charge smectite by X-ray diffraction and**
3 **Monte Carlo modeling in the Grand Canonical ensemble.**

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ABSTRACT

19 The present study aims primarily at refining a structure model for interlayer cations
20 and H₂O molecules in tri-hydrated (3W) smectite ($d_{001} = 18\text{-}19 \text{ \AA}$). The $< 2 \mu\text{m}$ fraction of the
21 SWy-2 source clay (low-charge montmorillonite) was saturated by Mg²⁺, Ca²⁺, Ba²⁺ or Na⁺
22 cations, before collection of X-ray diffraction (XRD) patterns at 98% relative humidity.
23 Experimental d_{001} values derived for the essentially homogeneous 3W hydrates provided
24 volume constraints for Grand Canonical Monte Carlo (GCMC) simulations. Computed atomic
25 density distribution of interlayer species were used in turn to calculate XRD intensities of 00/
26 reflections. The agreement between calculated and experimental 00/ intensities allowed
27 validating the GCMC results of both interlayer H₂O content and distribution of interlayer
28 species (cations and H₂O molecules). Computed atomic density profiles do not correspond to
29 the usual model of three discrete planes of H₂O molecules but rather exhibit two sharp
30 planes of H₂O molecules wetting the clay surfaces (at $\sim 2.7 \text{ \AA}$ from the clay layer surface).
31 Additional H₂O molecules belong to cation hydration shells or define a poorly organized
32 ensemble filling internal voids. This alternative model suggests that the stability of the 3W
33 hydrate results from the dual interaction of some H₂O molecules with interlayer cation,
34 through their second hydration shell, and with the 2:1 clay surface. Computed atomic
35 density profiles were approximated to propose an interlayer structure model for 3W
36 smectite. This simplified model includes two sets of two planes (symmetrical relative to the
37 interlayer mid-plane) for H₂O molecules and one set for interlayer cations. This model allows
38 reproducing experimental XRD patterns for the different samples investigated and thus
39 represents a valid set of parameters for routine quantitative analysis of XRD profiles in an
40 effort to determine smectite reactivity close to water saturated conditions. Implications of
41 such studies are crucial to provide experimental constraints on the behavior of the main

42 vector of element transfer under conditions common in surficial environments and
43 prevailing in waste repositories. In addition, the present study provides an experimental
44 validation of structure models derived from the widely used ClayFF model, and thus allows
45 its use to predict the fate of water in clayey systems close to water saturated conditions.

46

47 Keywords: Crystal structure, smectite-water, tri-hydrated, water in smectite
48 interlayer, montmorillonite, X-ray diffraction, Monte-Carlo, simulation.

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50

INTRODUCTION

51 Smectite clays are ubiquitous in surface environments and sedimentary rocks,
52 frequently as a major mineral component. Smectite layered structure is composed of two
53 tetrahedral sheets sandwiching an octahedral sheet to form a TOT or 2:1 layer. Isomorphic
54 substitutions by lower-valence cations occurring in tetrahedral and/or octahedral sheets
55 induce a net negative charge of the TOT layer. This deficit is compensated for by the
56 presence in the interlayer space of exchangeable cations whose hydration properties control
57 smectite colloidal behavior and crystalline swelling (Mooney et al. 1952; Sato et al. 1992;
58 Berend et al. 1995; Boek et al. 1995a; Cases et al. 1997; Young and Smith 2000; Marry and
59 Turq 2003). The latter is characterized by the stepwise expansion of the layer-to-layer
60 distance with increasing water activity. This expansion has been commonly described in the
61 literature as the incorporation of 1, 2, and, less frequently, 3 planes of interlayer H₂O
62 molecules, leading to the well-known 1W ($d_{001} = 11.6\text{-}12.9 \text{ \AA}$), 2W ($d_{001} = 14.9\text{-}15.7 \text{ \AA}$), and
63 3W ($d_{001} = 18\text{-}19 \text{ \AA}$) hydration states, in addition to the dehydrated one (0W, $d_{001} = 9.6\text{-}$
64 10.2 \AA – (Nagelschmidt 1936; Bradley et al. 1937; Hendricks and Merrill 1938; Mooney et al.
65 1952; Norrish 1954; Walker 1955). Smectite hydration plays a pivotal role in the physical and
66 chemical behaviors of the environments where smectite is found (*e.g.*, Burst 1969; Bouma et
67 al. 1977; Vrolijk 1990; Matsuda et al. 2004; Takahashi et al. 2005; Boutareaud et al. 2008;
68 Boullier et al. 2009; Tertre et al. 2011a, 2011b; Bittelli et al. 2012). More specifically,
69 smectite hydration degree can strongly impact dynamical properties of interlayer cations
70 (Malikova et al. 2006, 2007, 2010; Marry et al. 2011; Michot et al. 2012) and thus the
71 transfer and fate of H₂O and pollutants. For example, the prediction of radionuclide
72 migration in clay-based engineered barriers is controlled essentially by smectite influence on
73 solute transfers (Turner et al. 1996; Baeyens and Bradbury 1997; Suzuki et al. 2004;

74 Tournassat et al. 2004; Van Loon et al. 2004; Tertre et al. 2005, 2006; Glaus et al. 2007;
75 Gates et al. 2009; Sánchez et al. 2009).

76 Smectite hydration and interlayer structure of 0W, 1W, and 2W hydrates have been
77 extensively studied for decades both from experimental and computational perspectives,
78 mainly using X-ray diffraction (XRD) and Monte Carlo simulations (Fu et al. 1990; Boek et al.
79 1995a, 1995b; Chang et al. 1995, 1997; Skipper et al. 1995; Karaborni et al. 1996; Moore and
80 Reynolds 1997; Zeng et al. 2004; Meleshyn and Bunnenberg 2005; Smith et al. 2006;
81 Sakharov and Lanson 2013 and references therein), respectively. These studies provided a
82 comprehensive picture of interlayer H₂O and cation configurations in both 1W and 2W
83 hydration states, leading to structure models commonly used for the calculation of XRD
84 profiles. Such calculations have allowed investigating smectite reactivity under various
85 conditions such as cation exchange, water activity, and temperature (*e.g.*, Ferrage et al.
86 2005a, 2007a; Oueslati et al. 2009; Dazas et al. 2013). No structure model is available for the
87 3W hydrate however, likely because of the scarcity of experimental studies devoted to this
88 hydration state. The 3W smectite interlayer structure was thus approximated as a marginal
89 contribution from XRD data (Pons et al. 1981a, 1981b; Cases et al. 1992, 1997; Berend et al.
90 1995; Kawamura et al. 1999; Holmboe et al. 2012; Dazas et al. 2013) or derived from
91 theoretical simulations (Chang et al. 1995; Tambach et al. 2004; Tao et al. 2010) without
92 quantitative comparison with independent data. Modeling of XRD data from 3W-smectite
93 containing structures and mixtures thus remains highly imprecise despite the overwhelming
94 presence of this hydration state under conditions close to water saturation, where most of
95 the solute transfer processes occur (Melkior et al. 2005, 2007, 2009; Van Loon et al. 2005;
96 Appelo et al. 2010).

97 In the present study, the interlayer structure of 3W montmorillonite was
98 investigated for four interlayer cations (Mg^{2+} , Ca^{2+} , Ca^{2+} and Na^+). Within this scope, results
99 from XRD profile modeling and Monte Carlo simulations performed in the Grand Canonical
100 ensemble were thus collated, following a strategy similar to that used by Ferrage et al.
101 (2011) for 1W and 2W saponites. Upon validation, molecular simulation results are used (i)
102 to gain a comprehensive understanding of the parameters controlling the structure and
103 stability of the 3W hydrate and (ii) to derive a simplified interlayer structure model allowing
104 the routine calculation of XRD profiles.

105

106

MATERIALS AND METHODS

107 Samples

108 The SWy-2 reference montmorillonite from the Source Clay Repository
109 (<http://www.clays.org/SOURCE%20CLAYS/SCavailable.html>) was used. This montmorillonite
110 is originally Na-saturated, and exhibits a low octahedral charge and extremely limited
111 tetrahedral substitutions with structural formula $[(\text{Al}_{3.00}\text{Mg}_{0.52}\text{Fe}_{0.43})$
112 $(\text{Si}_{7.97}\text{Al}_{0.03})\text{O}_{20}(\text{OH})_4]\text{M}^{+}_{0.70}$ ($< 2 \mu\text{m}$ size fraction – Stucki et al. 1984; Mermut et al. 2001). The
113 $< 2 \mu\text{m}$ fraction was obtained by centrifugation and used to prepare 4 samples with a variety
114 of interlayer cations. Ion exchange was performed at room temperature with 1 mol.L^{-1}
115 aqueous solutions of Mg-, Ca-, Ba-, and Na-chlorides. SWy-2 suspensions were shaken
116 mechanically for 24 h in each saline solution ($\sim 50 \text{ mg}$ of solid in $\sim 50 \text{ mL}$ solution) before
117 separation of the solid fraction by centrifugation and addition of fresh saline solution.
118 Saturation steps were repeated three times to ensure a complete cation exchange. Removal
119 of the excess chloride was then performed by washing the solid three times by immersion

120 for 24 h in deionized water (Siemens® UltraClear, 18.2 MΩ.cm⁻¹). Samples are hereafter
121 referred to as Mg-, Ca-, Ba- and Na-SWy.

122

123 **X-ray diffraction (XRD) and profile modeling of 00l reflections**

124 Oriented slides were prepared for all four samples by drying at room temperature
125 an aqueous clay suspension on a glass slide. XRD patterns were then recorded using a Bruker
126 D8 equipped with a MHG Messtechnik humidity controller coupled to an Anton Paar CHC+
127 chamber. Intensities were measured with a SolXE Si(Li) solid-state detector from Baltic
128 Scientific Instruments for 4 s per 0.04° 2θ step over the 2-50° 2θ CuKα range. The divergence
129 slit, the two Soller slits, the antiscatter and resolution slits were 0.3°, 2.3°, 2.3°, 0.3°, and
130 0.1°, respectively. Samples were initially equilibrated at ~50% relative humidity (RH) in the
131 chamber. The humidity was then increased steadily up to 98%RH (~5 hour ramps), where
132 diffraction patterns were recorded. Each pattern was recorded twice to ensure the stability
133 of the hydration state. The layer-to-layer distances were found to be 19.23 Å, 18.93 Å,
134 18.90 Å, and 18.73 Å for Mg-SWy, Ca-SWy, Ba-SWy, and Na-SWy, respectively (Table 1). The
135 low values determined for the ξ parameter, calculated as the standard deviation of the $l \times$
136 d_{00l} values (in Å) for the ten 00l reflections measurable over the 2-50° 2θ range (Table 1),
137 indicated a homogeneous 3W state (Ferrage et al. 2005b, 2007b). The algorithms developed
138 initially by Drits and co-workers were used to fit XRD data over the recorded 2-50° 2θ range
139 (Drits and Sakharov 1976; Drits and Tchoubar 1990). Instrumental and experimental factors
140 such as horizontal and vertical beam divergences, goniometer radius, and length and
141 thickness of the oriented slides were measured and introduced without further adjustment.
142 The mass absorption coefficient (μ^*) was set to 45 cm²g⁻¹, as recommended by Moore and

143 Reynolds (1997). Additional variable parameters included the size of coherent scattering
144 domains along the c^* axis which was characterized by a maximum value, set to 80 layers,
145 and by a mean value N equal to 7.5, 7.0, 7.5 and 5.7 layers for Mg-SWy, Ca-SWy, Ba-SWy and
146 Na-SWy, respectively. Usual z -coordinates of atoms building the smectite TOT layer (Moore
147 and Reynolds 1997) were used. Consistent with the rational series of d_{001} values, preliminary
148 fits of XRD data revealed a proportion of 3W layers of 97-98% for Mg-SWy, Ca-SWy, and Ba-
149 SWy and of 92% for Na-SWy (Table 1).

150

151 **Grand Canonical Monte Carlo (GCMC) simulations**

152 Monte Carlo simulations were performed in the Grand Canonical ensemble (Adams
153 1974, 1975; Allen and Tildesley 1994) to assess the content of interlayer H_2O and the
154 equilibrium state of interlayer species (H_2O and cations) at 98% RH and 300 K, using a
155 homemade program (Delville 1991; Rinnert et al. 2005; Michot et al. 2007, 2012; Porion et
156 al. 2008; Ferrage et al. 2011; Jiménez-Ruiz et al. 2012). The rigid simulation box included 3
157 interlayers and related 2:1 layers (Figure 1). Each layer encompassed 6 and 4 unit cells along
158 the a and b directions, respectively, with a $-a/3$ layer displacement leading to a face to face
159 configuration for ditrigonal cavities. The layer-to-layer distance was consistent with
160 experimental d_{001} values (Table 1). The ideal composition $[(Al_{3.25}Mg_{0.75})(Si_{8.00})O_{20}(OH)_4]M^{+0.75}$
161 was used for 2:1 layers. Mg-for-Al substitutions were located randomly in each layer with an
162 exclusion rule preventing two Mg atoms from occupying neighboring octahedra. The ClayFF
163 force field (Cygan et al. 2004) and SPC (Berendsen et al. 1981) water model were selected to
164 simulate the clay-water interactions. Lennard-Jones potentials of interlayer cations derived

165 by Åqvist (1990) were used. For a given partial pressure, water chemical potential is given by
166 the following relation:

$$167 \quad \frac{\mu}{kT} = \frac{\mu^0}{kT} + \ln\left(\frac{P}{P^0}\right) \quad (\text{Eq. 1})$$

168 with

$$169 \quad \frac{\mu^0}{kT} = \ln(\Lambda^3 \times \rho) \quad (\text{Eq. 2})$$

170 considering H₂O vapor as an ideal fluid, Λ being H₂O de Broglie wavelength (0.238376 Å), k
171 the Boltzmann constant and ρ H₂O vapor density at 300 K (7.7087481×10^{-7} molecules.Å⁻³).
172 GCMC simulations encompassed 6 000 blocks, each with 10 000 elementary steps allowing
173 stabilization of interlayer H₂O molecules content. At each step one of the clay interlayers
174 was selected randomly, and with an equal probability an attempt was made (i) to remove a
175 H₂O molecule, (ii) to add a H₂O molecule in a random configuration, or (iii) to move a
176 randomly chosen cation or H₂O molecule. During calculations, an Ewald summation (Heyes
177 1994) was used in addition to the three-dimensional minimum image convention to ensure
178 the convergence of the electrostatic energy. A final run including 1 000 blocks of 5 000 steps
179 was performed to generate the equilibrium properties of interlayer species and to derive
180 radial cation-H₂O distribution functions and uncertainties on computed parameters
181 (calculated as twice the standard deviation). Finally, interlayer atomic density profiles were
182 subdivided in 40 slices parallel to the layer plane to calculate 00/ XRD reflections.

183

184 RESULTS

185 GCMC simulations

186 Atomic density profiles derived from GCMC simulations are shown in Figure 2a,
187 whereas Table 2 reports H₂O contents computed at equilibrium. The latter values are similar
188 for all cations investigated and range from 14.82-16.02 H₂O molecules per formula unit
189 (p.f.u. – Table 2). Similarly, atomic density profiles of oxygen and hydrogen display similar
190 features for all samples (Figure 2a). Oxygen profiles systematically exhibit two discrete
191 maxima at ~2.7 Å from the 2:1 layer surface with a full width at half maximum intensity
192 (FWHM) of ~0.94 Å. An additional “plane” of H₂O molecules layer is centered on the
193 interlayer mid-plane (Figure 2a). Contrasting with the previous set of two discrete maxima,
194 this third “plane” is poorly resolved, indicative of an increased organizational disorder.
195 Hydrogen profiles are weakly modulated except close to the 2:1 layer surface where two
196 discrete and sharp maxima result from the polarization of H₂O molecules (Henderson 2002;
197 Ferrage et al. 2011). Finally, cations are located mainly on the interlayer mid-plane (Mg- and
198 Ca-SWy) with the presence of two distinct maxima for Ba- and Na-SWy owing to a slight
199 positional shift towards the 2:1 layer (Figure 2a).

200

201 **Simulation of 00l reflections with GCMC-computed atomic profiles**

202 Although atomic density profiles are similar for all samples (Figure 2a), the
203 sensitivity of XRD towards interlayer species depends on their electron content. GCMC-
204 computed atomic density profiles can be converted to electronic density profiles
205 (considering neutral atoms) to assess the actual contrast between different interlayer
206 configurations. With 8 and 1 e⁻ for oxygen and hydrogen, respectively, the electronic density
207 profiles of interlayer H₂O molecules resemble those of oxygen and do not differ strongly
208 from one sample to the other (Figure 2b). On the contrary, the Z-contrast is enhanced for

209 cations (Na: 11 e⁻, Mg: 12 e⁻, Ca: 20 e⁻, and Ba: 56 e⁻), with additional contrast induced by
210 their contrasting valence. Both effects lead to distinct electronic density profiles as a
211 function of interlayer cation (Figure 2b).

212 These electron density profiles may be used in turn to calculate 00l reflection
213 intensity distributions (Figure 3a). The quality of the fit to the data validates the GCMC-
214 computed atomic density profiles shown (Figure 2a) and allows using this computational
215 technique to gain additional insights into the local configuration of interlayer cations and
216 H₂O molecules in 3W montmorillonite.

217

218

DISCUSSION

219 **Assessment of the methodology used to determine interlayer configuration in 3W**
220 **montmorillonite**

221 **Validation of GCMC interlayer configuration.** The approach used in the present
222 study to determine the interlayer structure of 3W montmorillonite is similar to that
223 developed by Ferrage et al. (2011) for 1W and 2W synthetic saponites, with the quantitative
224 comparison of GCMC simulations and experimental results. Such quantitative cross-
225 validation represents a step further for the validation of molecular simulations compared to
226 the common qualitative comparison between computational and experimental results (*e.g.*,
227 Kawamura et al. 1999; Greathouse and Storm 2002; Porion et al. 2003; Ferrage et al. 2005c;
228 Heinz et al. 2005; Rinnert et al. 2005; Smith et al. 2006; Tambach et al. 2006). In the present
229 work the number of experimental constraints is reduced compared to the original work of
230 Ferrage et al. (2011) which included neutron and X-ray diffraction data as well as gravimetric
231 measurement of water contents. The interlayer H₂O content of 3W montmorillonite cannot

232 be compared directly to water sorption data owing to the presence of large contents of
233 interparticular (pore) water which precludes the experimental determination of crystalline
234 water at high RH values (Sing 1982; Michot et al. 1995; Ferrage et al. 2010). The validity of
235 the present GCMC interlayer configurations was not assessed either through the comparison
236 with neutron diffraction data on deuterated specimens (ND-D₂O) to benefit from the
237 additional sensitivity to interlayer D₂O distribution due to the scattering length of the
238 deuterium isotope. Ferrage et al. (2011) evidenced however a similar sensitivity of both XRD
239 and ND-D₂O to the modeling of water-clay interactions. The good quality fits obtained in the
240 present study (Figure 3a) thus indicate a realistic description of clay-water interactions in 3W
241 montmorillonite when using the ClayFF force field and SPC water model. In addition, by
242 using a set of different cations, the present study provides additional information on cation-
243 clay and cation-water interactions. Although interlayer H₂O profiles are similar for all
244 samples, the different scattering factors of cations induce contrasting contributions to XRD
245 (Figure 2b), experimental variations of 00 l reflection intensities being likely related to the
246 cation contribution (Figure 3a). Changes affect mainly the 003:004 and 006:007 intensity
247 ratios, differences being most significant for the Ba-SWy (Figure 3a), consistent with the high
248 Z-number of Ba.

249 **Sensitivity of XRD to interlayer species configuration.** Figure 4 reports two
250 sensitivity tests assessing the accuracy of XRD constraints on the interlayer H₂O content
251 (Table 1) and on the position of maxima in the atomic H₂O profile derived from GCMC
252 simulations (Figure 2a). The interlayer H₂O content is first modified by $\pm 10\%$ keeping the
253 distribution profile shown in Figure 2a. Significant misfit between calculated and
254 experimental XRD intensity profiles arise both from the increasing and from the decrease of
255 interlayer H₂O content (to 17.75 and 14.52 H₂O molecules p.f.u., respectively – Figure 4a).

256 Upon normalization to the 001 reflection, an increased interlayer H₂O content induces
257 indeed an intensity increase of the 002, 004, and 006 reflections. Even a $\pm 5\%$ variation of
258 the interlayer H₂O content produces noticeable effect on calculated profiles. In the second
259 test, the interlayer H₂O content was kept unchanged but the distribution profile shown in
260 Figure 2a was stretched along the z-axis thus modifying the position of density maxima, and
261 more specifically that of the two discrete and sharp ones at $\sim 2.7 \text{ \AA}$ from the 2:1 layer. Upon
262 normalization to the 001 reflection, a stretch of the distribution profile inducing a shift of
263 these two density maxima by 0.1 \AA results in severe misfits between calculated and
264 experimental XRD profiles (Figure 4b). A similar effect was reported by Ferrage et al. (2011)
265 to result from the 0.15 \AA positional shift of the two main planes of H₂O molecules in 2W
266 saponites. Both tests support the accuracy of GCMC configurations computed in the present
267 study, and allow gaining further insights into the interactions of interlayer cations and H₂O
268 molecules with the 2:1 layer.

269

270 **Configuration of interlayer H₂O and cations in 3W montmorillonite**

271 Pioneering XRD studies of H₂O structure in hydrated montmorillonite (including the
272 3W state) led to the hypothesis of several planes of interlayer H₂O molecules (Nagelschmidt
273 1936; Bradley et al. 1937; Hendricks and Merrill 1938; Mooney et al. 1952; Walker 1955). In
274 these models, H₂O molecules form a pseudo-hexagonal planar network, each plane being
275 composed of about 4 to 6 H₂O molecules p.f.u., the intercalation of one additional plane
276 increasing the layer-to-layer distance by $\sim 3 \text{ \AA}$. Although still considered, these models differ
277 significantly from the GCMC configurations computed in the present study. Oxygen and
278 hydrogen density profiles reveal indeed two discrete planes of H₂O molecules whereas the

279 “third” plane, which incorporates most of interlayer H₂O molecules, appears broad and
280 poorly defined (Figure 2a). Contrasting to lower hydration states, the 3W hydration state
281 ($d_{001} = 18\text{-}19 \text{ \AA}$) cannot be described simply by the juxtaposition of three similar planes of
282 H₂O molecules, each $\sim 3 \text{ \AA}$ thick, and the origin for the stability of the 3W state at $\sim 18.5 \text{ \AA}$
283 needs to be sought elsewhere. A possible alternative for the existence of a discrete 3W
284 hydration state is the influence of cations and more specifically local cation-water-clay
285 interactions. Consistently, de La Calle and Suquet (1988) showed that the different d_{001}
286 values observed for Ca-saturated vermiculite when increasing RH (14.66 and 14.92 \AA) were
287 related to distinct configurations of cation hydration shells and contrasting numbers of
288 coordinating H₂O molecules. In addition, these authors determined that layer stacking mode
289 was modified together with the change in hydration shell configuration. The existence of
290 two stable 2W states for Ca-vermiculite was thus interpreted as resulting from the fine
291 interactions between cations, H₂O molecules from their hydration shells, and the 2:1
292 surfaces, rather than from the steady filling of H₂O planes, as considered at the time. Within
293 this context, molecular simulations thus represent especially relevant probes of local
294 interactions between cations and coordinating H₂O molecules to refine our understanding of
295 the interlayer structure of 3W smectites. The radial distribution function ($g(r)$) of cation-
296 oxygen distances and related number of coordinating H₂O molecules ($N_{\text{H}_2\text{O}}(r)$) are thus
297 plotted in Figure 5. The number of H₂O molecules belonging to the first and second
298 hydration shells (1HS and 2HS, respectively) can be derived from the $N_{\text{H}_2\text{O}}(r)$ values on its
299 first and second plateaus (Figure 5, Table 2).

300 **Configuration of the first and second hydration shells.** The average cation-H₂O
301 distance in 1HS ranges from 2.16-2.79 \AA (Mg-SWy and Ba-SWy, respectively – Table 2), and is
302 consistent with cation radii (Shannon 1976). The associated number of H₂O molecules in 1HS

303 obtained for the different cations (Table 2) vary from 5.74-9.34 H₂O molecules per cation
304 (Na-SWy and Ba-SWy, respectively – Table 2), consistent with literature data on the
305 hydration of sodium (Barnett and Landman 1993; Obst and Bradaczek 1996; Allen et al.
306 2000; Titiloye and Skipper 2000; White et al. 2000; Carrillo-Tripp et al. 2003; Smirnov and
307 Trostin 2007; Mahler and Persson 2012; Morrow et al. 2013), magnesium (Peschke et al.
308 1998; Boero et al. 2002; Ikeda et al. 2007; Waluyo et al. 2011), calcium (Skipper et al. 1994;
309 Jalilehvand et al. 2001) and barium (Peschke et al. 1998) in solution. Cation-clay and H₂O-
310 clay interactions, and consequently confinement, thus appear to have a minor influence on
311 H₂O molecules from the 1HS. Differentiation of these H₂O molecules (Figures 6a and 7)
312 allows identifying three types of H₂O molecules. The first type includes H₂O molecules from
313 1HS that are located close to the interlayer mid-plane (Figures 6a and 7). H₂O molecules of
314 the second type define the atomic density maxima at ~2.7 Å from the 2:1 layer surfaces
315 (Figures 2a and 6a). This value, similar for all samples, is consistent both with the formation
316 of strong H-bonds with surface oxygens from the 2:1 layer and with X-ray reflectivity results
317 (Brown and Sturchio 2002; Fenter and Sturchio 2004; Lee et al. 2007) and indicates the
318 presence of two planes of H₂O molecules wetting the 2:1 layer surfaces. Finally, H₂O
319 molecules of the third type define the plateau between the previous two planes and
320 essentially fill interlayer voids, further from the 2:1 layer surface. A similar analysis is
321 possible for H₂O molecules from 2HS that define the diffuse maximum at 3.5-5.5 Å on the
322 radial distribution function of cation-oxygen distances (Figure 5, Table 2). In contrast to 1HS,
323 the number of H₂O molecules belonging to 2HS is similar for all samples within a narrow
324 range of 13.7-15.7 molecules per cation (Table 2). The reduced scatter, compared to 1HS,
325 suggests a minor influence of the cation radii. Comparison of atomic density profiles
326 corresponding to H₂O molecules from 1HS and 2HS (Figures 6a and 6b, respectively) indicate

327 that the latter belong to the second and third types of H₂O molecules, with a significant
328 fraction of H₂O molecules from 2HS also wetting the 2:1 layer surface (Figures 6b and 8).
329 Although reduced, the number of H₂O molecules of the second type not belonging to
330 interlayer cation hydration spheres remains important together with the number of H₂O
331 molecules filling interlayer voids with the persistence of the uniform plateau (solid gray lines
332 – Figure 6b). In addition, a fraction of H₂O from 2HS is shared between cations (Figure 8),
333 and more specifically so for Na-SWy owing to the twofold number of monovalent cations.
334 Additional calculations allowed quantifying the fraction of shared H₂O molecules both from
335 1HS and 2HS (Table 2). In particular, it is possible to note that the fraction of H₂O molecules
336 hydrating two cations remains limited to a few percent for divalent cations, this proportion
337 increasing to ~20% for monovalent Na, which even shares a limited fraction of H₂O
338 molecules from 1HS (Table 2).

339 **Origin of the stability of the montmorillonite 3W hydrate.** A detailed analysis of
340 H₂O molecules in cation 1HS and 2HS allows drawing a general picture of interlayer H₂O
341 configuration in 3W montmorillonite and to decipher factors ruling its stability in layer-to-
342 layer distance at ~19 Å (Table 1). Analysis of H₂O molecules from 1HS does not provide any
343 insight into the relation between hydrated cations and the clay surface, the distance
344 involved being too large (Figure 7). In addition, contrasting configurations reported for 1HS
345 both in terms of cation-H₂O molecule distance and of number of coordinating H₂O molecules
346 (Table 2) cannot account for the similarity of d_{001} values measured for the different
347 interlayer cations (Table 1). On the contrary, detailed analysis of H₂O molecules from 2HS
348 reveals that a significant fraction of these molecules are involved also in the wetting of the
349 2:1 layer surface (Figure 8). In addition, shared H₂O molecules from 2HS ensure the
350 formation of a 2D network. Both effects are similar to those proposed by De La Calle and

351 Suquet (1988) as being responsible for the stability of 1W and 2W hydrates in vermiculite
352 and saponite. The limited contrast, both in terms of cation-H₂O molecule distance and of
353 number of coordinating H₂O molecules, between the 2HS of the various cations investigated
354 yield similar layer-to-layer distances (Tables 1 and 2). This hypothesis is consistent with
355 contrasting proportions of H₂O molecules from 1HS and 2HS, compared to other types of
356 H₂O molecules, between the different cations despite similar d_{001} values. For example, the
357 proportion of H₂O molecules not bound to interlayer Na is strongly reduced compared to
358 other samples saturated with divalent cations (Figures 6b). Second and third types of H₂O
359 molecules thus likely contribute only to wetting the 2:1 layer surface or to filling interlayer
360 voids, respectively, whereas layer-to-layer distance is controlled by H₂O molecules from 2HS.
361 Note however that layer-to-layer distance of 3W montmorillonite will likely vary with overall
362 H₂O contents as previously reported for 1W and 2W hydrates (Ferrage et al. 2005b, 2007a,
363 2007c). Finally, the importance of H₂O molecule sharing in Na-SW_y allows hypothesizing that
364 stable hydrates with higher layer-to-layer distances could exist as proposed by Bradley et al.
365 (1937) for a Na-montmorillonite at ~ 21.4 Å.

366

367 **Simplified interlayer model for XRD simulation of 3W hydrates**

368 Although comparison of experimental and computational data validates the
369 simulated interlayer configurations of 3W montmorillonite for a variety of interlayer cations,
370 GCMC simulations cannot be used systematically to quantitatively analyze XRD data from a
371 large number of samples. The latter analysis relies essentially on XRD profile modeling of 00/
372 reflections and requires a realistic and simplified description of interlayer structure (Moore
373 and Reynolds 1997). Such a description considers usually one or several planes of H₂O

374 molecules and/or cations characterized by i) a z-coordinate along the c^* axis, ii) a quantity of
375 atoms in this plane, and iii) a Debye-Waller factor (B) accounting for the positional disorder
376 of interlayer species. The B factor thus accounts for the distribution of atoms perpendicular
377 to the layer plane described by atomic density profiles derived from Monte Carlo
378 simulations. When using the B parameter, this physical distribution of species along the c^* -
379 axis is approximated by a Gaussian function whose FWHM is (Shashikala et al. 1993; Dazas et
380 al 2013):

$$381 \quad FWHM = \frac{\sqrt{B}\sqrt{\ln(2)}}{\pi} \quad (\text{Eq. 3})$$

382 The GCMC configuration computed for 3W hydrates (Figure 2b) can thus be
383 approximated by a model with a few planes of interlayer cations and H₂O molecules with
384 contrasting B values (Figures 2c and 2d). The two maxima of the atomic density profiles
385 corresponding to H₂O molecules of the second type can be described by a set of two planes,
386 symmetrical with respect to the interlayer mid-plane, and located at 3.35-3.60 Å from the
387 interlayer mid-plane. Taking into account the variable d_{001} values, these planes are
388 systematically located at ~2.7 Å from the 2:1 layer surface, in agreement with X-ray
389 reflectivity results for the presence of H₂O molecules wetting clay surfaces (Brown and
390 Sturchio 2002; Fenter and Sturchio 2004; Lee et al. 2007). Each of these two planes contains
391 3.0-3.2 H₂O molecules p.f.u., that is ~20% of the total interlayer H₂O content, with a B
392 parameter of ~10-15 Å² (Table 3, Figure 2c). All attempts to approximate the plateau of the
393 oxygen density profile with a single contribution proved unsuccessful, as the B value
394 associated to such a single plane would be extremely high leading to H₂O molecules being
395 too close to the 2:1 layer surface, or even passing it. A second set of two planes, symmetrical
396 with respect to the interlayer mid-plane, was thus considered at 1.20-1.35 Å from the

397 interlayer mid-plane. This distance corresponds to 21% of that from the interlayer mid-plane
398 to the 2:1 layer surface. Each of these two planes contains 4.2-4.8 H₂O molecules p.f.u., that
399 is ~30% of the total interlayer H₂O content, with a B parameter of ~100 Å² (Table 3, Figure
400 2c). A set of two planes, symmetrical with respect to the interlayer mid-plane, was
401 considered for interlayer cations, as such a doublet allowed better fits to XRD data,
402 specifically for Ba-SWy, compared to a single plane centered on the interlayer mid-plane
403 (data not shown). These planes are located at 0.00-1.20 Å from the interlayer mid-plane, B
404 values depending on the nature of the interlayer cation (20-45 Å² – Table 3). The positive
405 correlation between the cation ionic potential (valence over ionic radius ratio) and the
406 distance from interlayer cations to the surface of the 2:1 layer (Figure 9) allows
407 approximating the actual position of cationic planes for species not reported in the present
408 study. This simplified structure model of 3W smectite interlayer space allows reproducing
409 satisfactorily the XRD data for all samples investigated (Figure 3b), and can thus be used for
410 routine quantitative analysis of XRD data recorded from smectite-bearing clayey samples
411 recorded at high H₂O activity. Table 4 thus recaps relevant parameters of this simplified
412 structure model for 3W smectite in an effort to complement the structure model of 0W, 1W,
413 and 2W proposed by Moore and Reynolds (1997) and further refined by Ferrage et al.
414 (2005b, 2005c, 2007a, 2007b, 2007c, 2010).

415

416

IMPLICATIONS

417 A key issue in assessing the safety of municipal and nuclear waste disposal is the
418 ability to model solute transport in clay porous media under near-saturated water condition,
419 and, possibly, constrained confinement. In such a context inter-particle porosity controls, at
420 least in part, the diffusion of anions, cations, and H₂O whereas interlayer porosity influences

421 also the diffusion of the latter two species (Pavlović and Stamatović 1992; Melkior et al.
422 2005; 2009). Inter-particle porosity can be estimated from the apparent dry bulk density, the
423 extent of crystalline swelling, and the total constrained volume (Fernández and Rivas 2005;
424 Bourg et al. 2006; Van Loon et al. 2007; Muurinen 2009; Holmboe et al. 2012). Within this
425 scope, the structure model of 3W smectite proposed in the present study will allow
426 quantifying crystalline swelling by XRD under near-saturated conditions, thus providing
427 further constraints on the extent of crystalline swelling and on the organization and mobility
428 of interlayer H₂O molecules. In addition, interlayer H₂O contents refined in the present study
429 for high H₂O activity conditions allow a stronger control on the calculation of H₂O present in
430 the inter-particle porosity. This additional control complements previous studies devoted to
431 quantifying the different types of H₂O molecules in swelling clay porous media (H₂O
432 molecules in 1W or 2W layers, and pore water – Ferrage et al. 2010; Dazas et al. 2013).
433 Ferrage et al. (2010) showed for saponites that the water content derived from XRD profile
434 modeling was consistent with total water content for RH values < 70%. For higher RH values,
435 these authors assigned the increase in total water content to sorption of capillary water in
436 the pore network owing to stability of the 2W hydrate, most likely as the result of
437 tetrahedral charge location.

438

439

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764

TABLES

765

766 **Table 1.** Experimental parameters of 3W montmorillonite.

Sample	d_{001} value (Å)	ξ parameter (Å)	Layer abundance 3W/2W (%)
Mg-SWy	19.23	0.057	98/2
Ca-SWy	18.93	0.062	98/2
Ba-SWy	18.90	0.042	97/3
Na-SWy	18.73	0.056	92/8

Note: The layer-to-layer distance (d_{001}) and the relative contents of 3W and 2W layers were determined from the simulation of XRD data. The rationality estimate (ξ) was calculated as the standard deviation of $l \times d_{00l}$ values (in Å) for the ten $00l$ reflections measurable over the 2-50° 2 θ range.

767 **Table 2.** Content and local environment of interlayer H₂O molecules from the first (1HS) and second (2HS) cation hydration shells deduced from

768 GCMC simulations.

Sample	1HS				2HS			
	Water content (p.f.u.)	Cat.-H ₂ O distance (Å)	nH ₂ O (per cation)	nH ₂ O shared (p.f.u.)	Ionic rad. (Å) / coord. ^a	Cat.-H ₂ O distance (Å)	nH ₂ O (per cation)	nH ₂ O shared (p.f.u.)
Mg-SWy	16.02 ±0.07	2.16	6.19 ±0.03	0.00	0.72/VI	4.28	13.72 ±0.40	0.14 ±0.04
Ca-SWy	15.34 ±0.05	2.52	7.93 ±0.06	0.00	1.12/VIII	4.87	15.68 ±0.45	0.30 ±0.05
Ba-SWy	15.19 ±0.06	2.79	9.34 ±0.12	0.00	1.42/VIII	4.92	15.12 ±0.44	0.40 ±0.06
Na-SWy	14.82 ±0.06	2.43	5.74 ±0.10	0.10 ±0.03	1.02/VI	4.73	14.93 ±0.34	2.22 ±0.14

Note: Inter-species distances and numbers of H₂O molecules are extracted from the radial distribution functions and hydration number functions, respectively, shown in Figure 5.

^a From Shannon (1976)

769
770

771 **Table 3.** Structural parameters of the different planes of interlayer species approximating the atomic density functions derived from GCMC

772 simulations.

Sample	1 st plane of H ₂ O molecules			2 nd plane of H ₂ O molecules			Plane of interlayer cations		
	z-position	quantity	B	z-position	quantity	B	z-position	quantity	B
Mg-SWy	± 3.61	3.23	12.6	± 1.29	4.84	104	0.00	0.1875	44.4
Ca-SWy	± 3.47	3.05	12.6	± 1.35	4.57	104	± 0.60	0.1875	38.7
Ba-SWy	± 3.44	3.14	12.6	± 1.27	4.46	104	± 0.85	0.1875	23.9
Na-SWy	± 3.37	3.15	12.6	± 1.20	4.25	104	± 1.20	0.3750	25.7

Note: The z-positions (in Å) of the different planes are given relative to the interlayer mid-plane. The quantity of interlayer H₂O molecules and cations are given per formula unit, whereas the Debye-Waller factor (B) is given in Å².

773

774 **Table 4.** Simplified interlayer structure model allowing XRD simulation of 3W smectite.

3-Water; $d_{001}=18.5-19.2 \text{ \AA}$		
z-position (\AA)	Quantity (p.f.u.)	B (\AA^2)
$\pm 3.50(15)$	3.15(15) H ₂ O	10-15
$\pm 1.27(12)$	4.50(45) H ₂ O	~100
$\pm 0.00-1.20^a$	0.5 M ⁺	20-45

Note: The z-positions of the different planes are given relative to the interlayer mid-plane.

^a The actual position of cation planes may be approximated from the linear regression equation shown on Figure 9.

775

776

FIGURE CAPTIONS

777

778 **Figure 1.** Simulation box for Grand Canonical Monte Carlo simulation of 3W Ca-SWy
779 montmorillonite. The rigid box encompasses 6×4 unit cells along the a and b directions,
780 respectively, and three interlayers. The layer-to-layer distance is constrained by
781 experimental d_{001} values. During simulations, the clay box is equilibrated with a water vapor
782 reservoir set at 98% RH. Water molecules can enter or exit the interlayer spaces and all
783 interlayer species (H_2O molecules and cations) can move to reach an equilibrated state.

784

785 **Figure 2:** Interlayer configurations deduced from GCMC simulations. (a) Atomic density
786 profiles of hydrogen (dotted line), oxygen (solid line), and cations (bold solid line). (b)
787 Electron density profiles for H_2O (solid line) and cations (bold solid line). (c) Comparison
788 between electron density profiles of interlayer H_2O from GCMC simulations (gray solid line)
789 and the approximation by discrete planes of H_2O molecules (dotted line). The solid line sums
790 up the contributions of the different planes for the latter model. (d) Comparison between
791 electron density profiles of interlayer cations from GCMC simulations (gray solid line) and
792 the approximation by discrete planes of cations (dotted line). The solid line sums up the
793 contributions of the different planes for the latter model.

794

795 **Figure 3.** Experimental and calculated XRD patterns of 3W montmorillonite. Experimental
796 patterns are shown as solid circles whereas calculated profiles generated from (a) GCMC
797 simulations or (b) simplified interlayer models (see text for details) are shown as gray solid

798 lines. Vertical gray bars indicate the scaling up of the high-angle region intensities with
799 respect to the 2-6° 2 θ region. Difference plots are shown at the bottom of the figure and
800 display reflections from accessory minerals.

801

802 **Figure 4.** Sensitivity tests of calculated XRD profiles to the interlayer configuration for Mg-
803 SWy. (a) Influence of the interlayer H₂O content. The GCMC optimized H₂O content was
804 varied by $\pm 10\%$ (Table 2). (b) Influence of the z-coordinates of H₂O molecules. The
805 distribution profile of interlayer H₂O shown in Figure 2a was stretched along the z-axis to
806 shift the two discrete and sharp maxima at ~ 2.7 Å from the 2:1 layer by 0.1 Å. Arrows
807 indicate significant misfits between experimental and calculated intensities. Others labels
808 and notations as in Figure 3.

809

810 **Figure 5.** GCMC computed radial distribution function [$g_{\text{cat.-O}}(r)$ – solid line] and associated
811 number of H₂O molecules around interlayer cations [$N(r)$ – gray solid line].

812

813 **Figure 6.** Atomic density profiles for the different type of interlayer H₂O molecules. (a) H₂O
814 molecules from the first hydration shell are shown in black, whereas H₂O molecules filling
815 the interlayer space appear in gray. (b) H₂O molecules from the first and second hydration
816 shells are shown in black, H₂O molecules filling the interlayer space appear in gray. Oxygen,
817 hydrogen, and cations are shown as solid, dotted and bold solid lines, respectively.

818

819 **Figure 7.** Snapshots of the GCMC computed configuration of H₂O molecules from the cation
820 first hydration shell in 3W smectite. Each configuration is shown in projection along the *b*-
821 and *c*-axes (top and bottom, respectively). H₂O molecules not from 1HS are shown as sticks
822 to highlight cation first hydration shell polyhedra (in gray).

823

824 **Figure 8.** Snapshots of the GCMC computed configuration of H₂O molecules from the cation
825 second hydration shell in 3W smectite. Projections and patterns as in Figure 7.

826

827 **Figure 9.** Distance from interlayer cation to the surface of the 2:1 layer in 3W smectite as a
828 function of the cation ionic potential (valence over ionic radius ratio). Ionic radii considered
829 are listed in Table 2.

















