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6 Ulian, Valdrè, Corno, Ugliengo: Ab initio modelling of hydroxyl- and carbonated apatite

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11 **Periodic ab initio bulk investigation of hydroxylapatite and type A**
12 **carbonated apatite with**
13 **both pseudopotential and all electron basis sets for calcium atoms**
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22 **Abstract**

23 Apatite minerals draw the attention of many researchers not only in mineralogy, but
24 also in biology, biochemistry, and medicine because hydroxylapatite $[\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2]$
25 is the main component of the mineral phase of mammalian bones. However, in nature this
26 mineral is mostly present with various stoichiometric defects. The carbonate ion is found
27 commonly in its structure where it can occupy different crystallographic sites; however,
28 its configurational energy and relative orientation in the apatite lattice is still debated.

29 In this work, bulk structural features of hexagonal hydroxylapatite (space group $P6_3$)
30 and type A carbonated apatite $[\text{Ca}_{10}(\text{PO}_4)_6(\text{CO}_3)]$, space group $P1$ have been modeled by
31 density function method using the hybrid B3LYP functional and an all-electron polarized
32 double-zeta quality Gaussian-type basis set using CRYSTAL09 computer program. The
33 effect on the structural parameters due to improving the Ca pseudopotential, usually
34 adopted in previous studies on hydroxylapatite, towards the present all-electron basis set
35 has also been briefly addressed. Different orientations of the carbonate ion in the apatite
36 unit-cell have been considered. The B3LYP functional and Gaussian-type basis set with
37 polarization have been adopted. The geometry of the model (lattice parameters and
38 internal coordinates) has been fully optimized and resulted in very good agreement with
39 XRD data reported in literature that suggest a “close” configuration (type A1) of the
40 carbonate ion, i.e., with a C-O bond perpendicular to the c-axis of the apatite cell.

41 **Keywords:** Hydroxylapatite, type A carbonated apatite, periodic ab initio quantum
42 mechanics, DFT
43

44 **Introduction**

45 Apatite minerals are found in almost all igneous rocks and also in some metamorphic
46 and sedimentary ones. The most important member of the apatite family, both as

47 naturally occurring mineral and as synthetic compound, is hydroxylapatite OHAp
48 $[\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2]$. As shown by X-ray diffraction (XRD) analysis performed by Suda
49 and co-workers (1995), OHAp can be found in nature as two polymorphs: monoclinic
50 $[P2_1/b]$ or hexagonal $[P6_3/m]$. The monoclinic cell is obtained from the hexagonal one by
51 doubling the **b** parameter and presents hydroxyl columns with different OH orientations
52 along the **c**-axis (Rabone and de Leeuw 2005). The hexagonal cell ($a = b$) is related to the
53 monoclinic structure when the glide plane *b* is a mirror plane *m* and the twofold axis is a
54 6_3 axis (Corno et al. 2006). Suda and co-workers (Suda et al. 1995) observed also that at
55 low temperature, the monoclinic cell is more stable than the hexagonal one; the phase
56 transition between the two polymorphs (order/disorder) takes place at 200 °C. The
57 hexagonal OHAp is an extremely important phase, because it is the inorganic component
58 of mammalian bone tissues. OHAp may contain a certain amount of compositional
59 defects, the most abundant is the carbonate ion (CO_3^{2-} , ~6% in weight). The presence of
60 CO_3^{2-} in the mammalian OHAp is necessary to stabilize the hexagonal structure at room
61 temperature (Suda et al. 1995).

62 Since the first half of the 20th century, researchers were interested in the role of
63 OHAp as a biomaterial for bone and tooth repair, reconstruction and replacement (Albee
64 1920). However, to improve biocompatibility, the biomaterial should be similar to the
65 bone tissue mineral phase, rather than to pure hydroxylapatite.

66 The structure of hexagonal OHAp allows extensive atomic substitution and non-
67 stoichiometry in Ca, P, and OH channel sites. For example, anions such as fluoride,
68 chloride and carbonate can easily enter in the channel parallel to the **c**-axis, leading to
69 fluoro-, chloro- and carbonate apatites, both as end-members and in mutual solid
70 solutions (Elliott 1998; Hughes and Rakovan 2002). Many experimental works
71 (Antonakos et al. 2007; Fleet 2009; Fleet and Liu 2003, 2004, 2007; Fleet et al. 2011;
72 Sturgeon and Brown 2009; Suetsugu et al. 1998) and theoretical studies (Astala and Stott
73 2005; Peroos et al. 2006; Rabone and de Leeuw 2007; Stott and Yin 2003; Zahn and
74 Hochrein 2008) have been done to better understand the role and the positions of CO_3^{2-}
75 in the hydroxylapatite lattice. It appears that the carbonate ion can substitute both OH^- in
76 the **c**-axis channel of apatite (type A) and the phosphate group (type B). This is suggested
77 by Fourier transform infrared (FTIR) analysis showing that the normal modes of the
78 carbonate group fall at different frequencies according to the site occupied by the anion
79 (Fleet and Liu 2003; Fleet and Liu 2004; Fleet and Liu 2007).

80 Despite many results provided by experimental and theoretical investigations,
81 carbonated hydroxylapatite presents some unclear features and it is still debated. There is
82 not a full agreement on the entity of the crystallographic lattice variations due to the
83 carbonate ion substitutions and on the preferred geometrical orientation of the CO_3^{2-} ,
84 especially relative to the calcium channel ion of type A carbonated hydroxylapatite.

85 The carbonate ion in OHAp may constitute both a negatively and a positively charged
86 defect if it substitutes an OH^- or a PO_4^{3-} , respectively. While for type A the charge
87 compensation usually takes place by OH vacancies, in type B carbonated hydroxylapatite
88 there are many possible ways to restore the neutrality, for example by combinations of
89 hydroxyl group and calcium ion vacancies, which can produce different effects on the
90 geometry of the structure (Astala and Stott 2005). In the present work, we deal with only
91 type A carbonate substitution. The modeling of type B carbonated hydroxylapatite will be
92 the subject of a future work.

93 There are three proposed CO_3^{2-} configurations in the type A apatite cell (see Fig. 1).
94 Interpretation of experimental FTIR spectra suggested two possible configurations for the
95 CO_3^{2-} in the Ca^{2+} channel. The first one is a “close” configuration (type A1) in which a
96 C-O bond is perpendicular to the *c*-axis (space group *P3*) (Fleet and Liu 2003; Fleet et al.
97 2011). This configuration was also investigated by two different theoretical approaches.
98 A static-lattice cluster of a type A carbonated apatite supermolecule (SM) evaluated by
99 Hartree-Fock methods (Peeters et al. 1997) and a periodic lattice calculated using the
100 density functional theory (DFT) with a generalized gradient approximation (GGA) and
101 pseudopotentials on all the atoms of the structure (Astala and Stott 2005).

102 The second one is an “open” configuration (type A2), where a C-O bond is parallel to
103 the *c*-axis (space group *P6*) (Suetsugu et al. 1998). Both the close and open
104 configurations have the CO_3^{2-} molecular plane parallel to the apatite (100)
105 crystallographic plane.

106 The third carbonate configuration (planar) was proposed and investigated by Peroos
107 and co-workers using static and dynamic quantum mechanics (QM) methods adopting
108 empirical interatomic potentials (Peroos et al. 2006). In this configuration, the CO_3^{2-}
109 molecular plane is parallel to the (001) plane and all the C-O bonds are perpendicular to
110 the *c*-axis. However, QM proved that this configuration is not energetically favorable.
111 Also, there is no experimental evidence of this kind of orientation of the carbonate ion
112 (Fleet et al. 2011).

113 The aim of the present research is to investigate in details type A carbonated apatite
114 (CAp) by periodic ab initio quantum mechanics modeling using both the proposed
115 modeling approach of Corno and co-workers (2006) with pseudopotential on calcium
116 atoms and, for the first time, an all electron basis set for all the atoms of the carbonated
117 apatite structure. The steps of the modeling with the CO_3^{2-} in different configurations
118 were the following: first we simulated an OHAp bulk cell, then we removed the OH^-
119 groups and placed the carbonate ion in one of the equivalent orientation within the
120 selected configuration (open, close and planar). The crystallographic parameters of the
121 optimized structures were compared with the data presented in literature.

122

123

Computational details

124

125 All calculations have been performed on a Debian (Linux) Cluster with the ab initio
126 CRYSTAL09 code (Dovesi et al. 2009), which implements the Hartree-Fock and Kohn-
127 Sham self consistent field method for the study of periodic systems. All graphical
128 drawings have been carried out with the molecular graphics program MOLDRAW
(Ugliengo 2009).

129

Basis set

130

131 Multielectron wave functions are described by linear combination of crystalline
132 orbitals (CO), expanded in terms of Gaussian-type basis sets. The basis sets have been
133 chosen from optimized ones used by other authors for their investigations of OHAp, CAp
134 or similar structures. Calcium has been described with two different basis sets. The first
135 one is a Hay-Wadt Small Core Pseudopotential (HWSCP) (Hay and Wadt, 1985),
136 proposed and modified by Corno and co-workers (2006) for their study on pure
137 hydroxylapatite mineral. The second adopted basis set for calcium atoms is a 8-
138 6511G(2d), used by other authors for calcite (Valenzano et al. 2006), with outer shell
exponents $\alpha_{\text{sp}} = 0.453 \text{ bohr}^{-2}$, $\alpha_{\text{d1}} = 3.1910$ and 0.8683 bohr^{-2} and $\alpha_{\text{d2}} = 0.2891 \text{ bohr}^{-2}$.

139 For all the calculations, the phosphorus atom is described by the basis 85-21G(d), $\alpha_{sp} =$
140 0.48105 and 0.135 bohr⁻² and $\alpha_d = 0.74583$ bohr⁻², respectively. Oxygen and hydrogen
141 are both represented by a 6-31G* basis set with the outer shell exponents $\alpha_{sp} = 0.2742$
142 bohr⁻² and $\alpha_d = 0.538$ bohr⁻²; and $\alpha_{sp} = 0.1613$ and $\alpha_p = 1.1$ bohr⁻², respectively. P, O,
143 and H basis sets have been tested and adopted in previous works on hydroxylapatite
144 (Corno et al. 2006). Finally, the carbon atom is described by a 6-21G* basis set with α_{sp}
145 = 0.26 bohr⁻² and $\alpha_d = 0.8$ bohr⁻², previously adopted for magnesite (Catti et al. 1993).
146

147 **Hamiltonian and computational parameters**

148 The Becke three-parameter (B3LYP) hybrid exchange functional (Becke 1993) in
149 combination with the gradient-corrected correlation functional of Lee, Yang, and Parr
150 (Lee et al. 1988) has been adopted for all calculations. This functional has been already
151 used for alkali oxides, α -quartz, calcite and hydroxylapatite (Dovesi et al. 1991; Pascale
152 et al. 2004; Prencipe et al. 2004; Corno et al. 2006). The presence of some fraction of
153 exact exchange increases the electronic localization, which in turn increases the ionic
154 nature of the materials, causing a systematic decrease of the lattice parameters and an
155 increase of the elastic constants and bulk moduli. Standard DFT (with local-density
156 approximation and generalized gradient approximation) and Hartree-Fock methods yield
157 systematic errors with opposite signs. The hybrid functionals provide more accurate
158 results (Corà et al. 2004). The exchange–correlation contribution is performed over a grid
159 of points and is the result of a numerical integration of the electron density and its
160 gradient. The adopted pruned grid is given by 75 points and 974 angular points,
161 subdivided into 5 sub-intervals of 86, 194, 350, 974, and 350 points (75, 97-XLGRID)
162 and obtained from the Gauss–Legendre quadrature and Lebedev schemes (Prencipe et al.
163 2004). This is a good compromise between accuracy and cost of calculation for geometry
164 optimization and vibrational frequencies. The values of the tolerances that control the
165 Coulomb and exchange series are the default provided by CRYSTAL09 (*ITOL1* to *ITOL4*
166 = 6) (Dovesi et al. 2009), but we increased the pseudo-overlap parameter (*ITOL5*) from
167 12 to 14. The Hamiltonian matrix has been diagonalized (Monkhorst and Pack 1976)
168 using a shrinking factor of *IS* = 4 (Dovesi et al. 2009) that leads to 12 and 36 reciprocal
169 lattice points (k-points) for OHAp and CAp, respectively.
170

171 **Geometry**

172 Lattice constants and internal coordinates have been optimized within the same run
173 using the analytical gradient method for the atomic positions and a numerical gradient for
174 the unit-cell parameters. The Hessian matrix is upgraded with the Broyden–Fletcher–
175 Goldfarb–Shanno algorithm. The tolerances for the maximum allowed gradient and the
176 maximum atomic displacement for considering the geometry as converged have been set
177 to 0.00006 hartree bohr⁻¹ and 0.00012 bohr, respectively.
178

179 **Vibrational features**

180 Within the harmonic approximation and in periodic systems, the phonon frequencies
181 at Γ point are evaluated by diagonalising the central zone ($k = 0$) mass-weighted Hessian
182 matrix

183
$$W_{ij}(k=0) = \sum_G \frac{H_{ij}^{0G}}{\sqrt{M_i M_j}}$$

184 where H_{ij}^{0G} is the second derivative of the electronic + nuclear repulsion energy E
185 evaluated at equilibrium $\mathbf{u} = \mathbf{0}$. The Hessian at equilibrium was obtained by numerical
186 differentiation of the analytical first derivative, calculated at geometries obtained by
187 small increments, ν , in each of the $3N$ nuclear coordinates with respect to the equilibrium
188 geometry. An extensive discussion of the computational conditions and other numerical
189 aspects concerning the calculation of the vibrational frequencies at Γ point can be found
190 in the literature (Pascale et al. 2004; Tosoni et al. 2005).

191 The use of the B3LYP hybrid functional and all-electron basis set provides a good
192 accuracy to the quantum mechanics simulations of solid phases with respect to the
193 experimental results. However this improvement is expensive in terms of computational
194 resources. In particular, we compared the optimization of the OHAp structure using both
195 a pseudopotential (Habas et al. 1998) and the all-electron basis set on the calcium ions.
196 While the different methods did not affect the number of optimization steps, the time
197 needed to reach convergence is increased roughly by a factor of 2 when all-electron basis
198 sets are used. This imposes a severe limit when larger cell are required or when the
199 number of heavy atoms increases. It will be shown in the next sections that the
200 differences of the equilibrium geometries obtained by the different methods are about 2%
201 on lattice parameters, as observed in the comparison with the result of Astala and Stott
202 (2005) and Corno and co-workers (2006). However, it was observed in an earlier work by
203 Valenzano et al. (2006) that the effect of the use of different basis sets and geometries are
204 important for vibrational calculations. Richer basis sets are required to obtain more
205 accurate data.

206 **Results and discussion**

207 **Quantum mechanics modeling of hydroxylapatite (OHAp)**

208
209 Corno and co-workers (2006) have recently modeled the hydroxylapatite structure by
210 ab initio quantum mechanics methods adopting an all electron basis set, except for the
211 pseudopotentials for the calcium ions. The simulations referred to a structure of OHAp
212 experimentally derived by XRD (Hughes et al. 1989). The unit-cell contains 44 atoms
213 and two unit formula ($Z = 2$) of $\text{Ca}_5(\text{PO}_4)_3(\text{OH})$. To take into account the half occupancy
214 of the oxygen and hydrogen atoms of the hydroxyl group, Hughes and co-workers (1989)
215 assigned a mirror plane m to the refined structure ($P6_3/m$ space group). However, Corno
216 and co-workers (2006) noted that within the experimentally observed $P6_3/m$ space group
217 one cannot simulate the hexagonal OHAp because of the non-physical duplication of
218 each OH group by the mirror plane. To avoid this situation, they reduced the symmetry to
219 a $P6_3$ space group, in which all the OH groups maintain the same alignment in each
220 column within the OHAp structure (see Fig. 2). As a consequence of the symmetry
221 reduction, the number of non-equivalent calcium atoms increases from two to three (Ca1,
222 Ca2, and Ca3). The Ca1 atom has three O atoms as neighbors, Ca2 has six and Ca3 has
223 four. The two hydroxyl groups are oriented in the same direction, along the c axis. Each
224 hydroxyl group is placed in the center of an equilateral triangle described by three Ca3
225 atoms. The results provided by their simulations were in agreement with the experimental
226

227 results of Hughes and co-workers (1989) and of Saenger and Kuhs (1992) (see Table 1
228 for a comparison).

229 In the present work, we simulated the OHAp structure following the model of Corno
230 and co-workers (2006), but we adopted a complete basis set for all atoms in the structure
231 and the pseudopotential approximation on the Ca ion was completely removed. The
232 optimization results are reported in Table 1 and graphically shown in Figure 2. In Table
233 1, the results were compared to those of the structure modeled by Corno et al. (2006) and
234 to those experimentally obtained by single-crystal XRD (Hughes et al. 1989) and neutron
235 diffraction (Saenger and Kuhs 1992). The structural features obtained from our
236 simulation are slightly different with respect to those of Corno et al. (2006) because of
237 the more complete basis set adopted. The cell parameters that we have obtained ($a = b =$
238 9.433 \AA , $c = 6.896 \text{ \AA}$) are very close to the experimental ones from XRD ($\Delta a = +0.016 \text{ \AA}$,
239 $\Delta c = +0.021 \text{ \AA}$) and neutron diffraction ($\Delta a = +0.008 \text{ \AA}$, $\Delta c = +0.012 \text{ \AA}$). The mean bond
240 lengths of P-O and Ca3-O interaction distances in our models are 1.551 \AA and 2.391 \AA ,
241 respectively. These results match very well with the ones from experimental analysis,
242 with only a slight overestimation of the P-O bond lengths ($\Delta_{\text{XRD}} = +0.019 \text{ \AA}$, $\Delta_{\text{Neutron}} =$
243 $+0.015 \text{ \AA}$) and a minimal underestimation of the Ca3-O interactions ($\Delta_{\text{XRD}} = -0.007 \text{ \AA}$,
244 $\Delta_{\text{Neutron}} = -0.009 \text{ \AA}$). In particular, there is a very good agreement with the specific P-O
245 and Ca-O bond/interaction lengths measured by Hughes et al. (1989), confirming the
246 good choice of computational parameters, especially the rich basis set. All the atomic
247 positions of the modeled OHAp can be found in the crystallographic information
248 framework (CIF¹) data file.

249

250 **Quantum mechanics modeling of type A carbonated apatite (CAp)**

251 The substitution of an OH ion by a CO₃ as in type-A carbonated apatite
252 (independently of the sub type A1, A2, or planar) requires charge compensation. The
253 simplest way to achieve this is the removal of the hydroxyl group remaining in the cell
254 leading to the CAp cell with unit formula Ca₁₀(PO₄)₆(CO₃). This method has also been
255 adopted by Astala and Stott (2005) and by Peroos et al. (2006).

256 QM modeling involved initially the three configurations (A1, A2, and planar)
257 described in the introduction and reported in Figure 1. In Table 2, all the details of the
258 lattice parameters and bond lengths/angles for the three models optimized both the all-
259 electron and pseudopotential on the Ca atom have been reported. In Table 3 the most
260 stable carbonated apatite structures have been compared with the measured XRD data of
261 Fleet and Liu (2003).

262 **Type A1 configuration**

263 The simulation was performed in absence of symmetry (space group *P1*) with two
264 main advantages: no undesired atom is generated and the geometry optimization is
265 unconstrained. This condition also allowed the carbonate ion to rotate and/or displace
266 itself, passing through all the intermediate orientations until the minimum of energy was
267 found.

268 The carbonate ion was placed with the central atom C at $(x/a, y/b, z/c) = (0.0, 0.0,$
269 $0.5)$, in an intermediate position with respect to the two originally present hydroxyl ions
270 that have been substituted by the CO₃²⁻ group in the OHAp cell. C-O bond lengths and
271 OCO bond angles were set to 1.25 \AA and 120° , respectively.

272 The results from the geometry optimization of the models showed that the type A1
273 configuration was energetically favored with both the adopted basis sets, with a C-O
274 bond (bisector of the CO_3^{2-} plane) perpendicular to the *c*-axis and the plane of the
275 molecule slightly canted within the (100) plane by about 6° (Figs. 3a and 3b).

276 Each oxygen of the carbonate ion interacts electrostatically with two Ca^{2+} of the
277 apatite structure (Figs. 3c and 3d) with a mean interaction distance of 2.39 Å. The local
278 geometry of CO_3^{2-} is very close to that of calcite, where the same $\text{O}_{\text{CO}_3} - \text{Ca}^{2+}$
279 interaction at 2.34 Å has been observed (Maslen et al. 1993).

280 Our simulation of CAP showed also that the two calcium ions in the channel placed on
281 the (100) plane are shifted along the [010] direction with their relative distance increased
282 from 5.84 Å (in OHAp) to 6.42 Å (in CAP). For the two Ca^{2+} along [100], their distance
283 is slightly increased (+0.22 Å). The other remaining two calcium ions in the channel have
284 not been significantly displaced (<0.001 Å) with respect to their position in OHAp. This
285 general distortion from the ideal hexagonal channel allows the accommodation of the
286 carbonate ion in the apatite structure.

287 The phosphate ions are slightly displaced to maintain a constant P- Ca^{2+} mean distance
288 of 3.67 Å. The same value has been observed for pure OHAp. The internal bond lengths
289 and angles of the PO_4^{3-} tetrahedrons are slightly modified from the ideal ones to
290 minimize the sterical encumbrance and optimize the electrostatic interaction with the
291 surrounding ions. All the atomic positions of the modeled CAP can be found in the
292 crystallographic information framework (CIF) data file.

293 In type A1 configuration, the main effect of the $\text{CO}_3^{2-}/2\text{OH}^-$ substitution is the
294 increase of *a* and *b* cell parameters (*a* = 9.582 Å, *b* = 9.764) and a contraction of *c* (*c* =
295 6.877 Å). Despite the lack of symmetry, the unit-cell angles (α , β , γ) show only slight
296 differences from the pure hydroxylapatite ones. Our structural observations are in good
297 agreement with the results of the earlier theoretical works of Peeters et al. (1997) and
298 Astala and Stott (2005). We observed an opposite effect on the *a*, *b*, α , and γ lattice
299 parameters compared to those of type-A ordered CAP by Astala and Stott (2005), because
300 of the different orientation of the carbonate ion in the unit cells.

301 Because the experimentally refined structures available in literature are not of pure
302 carbonated apatite, the comparison of our model was made with the structure of the more
303 carbonate-rich carbonated apatite (0.75CAP-0.25OHAp) that was characterized by single-
304 crystal XRD and FTIR by Fleet and Liu (2003) and Fleet et al. (2011). The
305 crystallographic parameters, bond lengths and angles of type A1 configuration modeled
306 in our work are in very good agreement with those experimentally refined (see Table 2).
307 The slight difference on the *b* lattice parameter may arise because the absence of
308 symmetry constrains in the modeled CAP allowed a relaxation of the structure primarily
309 along the *b*-axis. The carbonate ion plane is parallel to this direction and causes a stretch
310 in the Ca^{2+} channel to minimize sterical encumbrance. Also, worth to be noted that our
311 model refers to a pure carbonated apatite (CAP).

312 The results of our modeling are in agreement also with FTIR analysis. In Figure 4, we
313 reported a comparison between the simulated vibrational spectra obtained by our model
314 and those experimentally observed by Fleet and Liu (2003). In the figure, the
315 asymmetrical stretching and the out-of-plane bending of the type A1 carbonate ion have
316 been labeled as ν_3 and ν_2 , respectively. ν_3 consists in a doublet in the 1600-1500 cm^{-1}
317 region, with peaks centered at 1604 and 1518 cm^{-1} . These signals slightly shifted at

318 higher wavenumbers than those observed by Fleet and Liu (2003) and Fleet et al. (2011).
319 However, the separation between the two peaks obtained by the simulation is very close
320 to the experimental one, 86 and 83 cm^{-1} , respectively. For the out-of-plane (ν_2)
321 vibrational mode, we obtained a band centered at 878 cm^{-1} , the same value observed by
322 Fleet and Liu (2003) and Fleet et al. (2011).

323 **Type A2 configuration**

324 The unconstrained geometrical optimization of the CAp structure starting with the
325 CO_3^{2-} in type A2 configuration brought the carbonate ion toward type A1 configuration
326 through a molecular rotation of the CO_3^{2-} (see in Fig. 1 the difference between the close
327 and open configurations). This effect was also obtained adopting the pseudopotential on
328 Ca atoms. The observed angle of rotation (30°) was the minimum angular value needed
329 to pass from the open to the close configuration. The A2/A1 transition is in agreement
330 with the results of Fleet et al. (2011), where they observed that type A1 is the preferred
331 configuration.

332 **Planar configuration**

333 The planar configuration model was created with the CO_3^{2-} molecular plane parallel to
334 the (001) cell plane. It was geometrically optimized with $P3$ and $P1$ space group
335 symmetry. We observed two different results when adopting the proposed basis sets.
336 Using the pseudopotential on calcium atoms, the carbonate ion was displaced and
337 brought in the A1 configuration removing the symmetry constrains. Instead, with the all
338 electron basis the planar configuration was maintained both with and without symmetry,
339 although the difference in the crystal intrinsic electronic energy is very high if compared
340 with the close configuration, resulting +165.78 kJ/mol for the $P3$ structure and +164.78
341 kJ/mol for the unconstrained one ($P1$). To better understand the nature of this difference,
342 we calculated the vibrational features and we found that the planar configuration
343 represents a saddle point on the potential energy surface. However the details of this
344 investigation are beyond the scope of the present paper and will be the subject of a
345 forthcoming work dedicated to the vibrational properties of carbonated apatite.

346

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- 450

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452

453 **FIGURE 1.** Views along [001] (left) and [100] (right) of type A CAp with three
454 different configurations of CO_3^{2-} . (A1) “close” configuration, (A2) “open” configuration
455 and “planar” configuration.

456 **FIGURE 2.** Optimization results and different views for the bulk structure of
457 hydroxylapatite OHAp. Symmetrically different calcium ions are indicated.

458 **FIGURE 3.** Simulation of CAp with carbonate ion in close configuration (type A1).
459 The most stable structure was obtained from full geometry optimization. (a) [001] and (b)
460 [100] projections. In c and d, the interactions between CO_3^{2-} and the channel calcium
461 ions are showed along [001] and [100], respectively. Phosphorous, oxygen, and calcium
462 radii were reduced for sake of clearness in c and d.

463 **FIGURE 4.** IR regions relative to type A1 CO_3^{2-} asymmetric stretching ν_3 and out-of-
464 plane bending ν_2 . Continuous line refers to our simulated data, whereas dashed line was
465 extrapolated from the results of Fleet and Liu (2003).
466

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468 copy contact the Business Office of the Mineralogical Society of America (see inside
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TABLE 1. Simulated and experimental results of hydroxylapatite OHAp

	B3LYP*	B3LYP†	XRD‡	Neutron§	Δ_{XRD}	Δ_{Neutron}
Symmetry	$P6_3$	$P6_3$	$P6_3/m$	$P6_3/m$		
a (Å)	9.433	9.329	9.417	9.425	+0.016	+0.008
c (Å)	6.896	6.949	6.875	6.884	+0.021	+0.012
V (Å ³)	532	529	528	530	+4	+2
Bond length (Å)						
P-O1	1.547		1.529		+0.018	
P-O2	1.558		1.537		+0.021	
P-O3	1.551		1.537		+0.014	
P-O4	1.549		1.534		+0.015	
Mean	1.551	1.547	1.532	1.536	+0.019	+0.015
Ca3-Ca3	4.085		4.084		+0.001	
Ca3-O1	2.700		2.711		-0.011	
Ca3-O2	2.350		2.353		-0.003	
Ca3-O3	2.341		2.343		-0.002	
Ca3-O4	2.501		2.509		-0.008	
Ca3-O(H)	2.372		2.385		-0.013	
Mean Ca3-O	2.391	2.41	2.398	2.40	-0.007	-0.009
O-H	0.97	0.97	1.09	0.90	-0.12	+0.07

Notes: Mean Ca3-O distances have been evaluated with values <2.51 Å. Experimental data are referred to single-crystal analysis.

* Present work.

† Corno et al. (2006).

‡ Hughes et al. (1989).

§ Saenger and Kuhs (1992).

TABLE 2. Simulated results of type A CAp with all electron and pseudopotential basis sets on Ca atom

	Ca - All electron				Ca - Pseudopotential			
	A1	A2	Planar		A1	A2	Planar	
Symmetry	$P1$	$P1$	$P1$	$P3$	$P1$	$P1$	$P1$	$P3$
a (Å)	9.582	9.624	9.651	9.654	9.510	9.515	9.327	9.573
b (Å)	9.764	9.708	9.651	9.654	9.572	9.571	9.463	9.573
c (Å)	6.877	6.883	6.832	6.827	6.930	6.928	6.921	6.863
α (°)	89.3	89.5	90	90.0	89.5	89.5	90.2	90.0
β (°)	89.8	89.5	90	90.0	89.2	89.2	89.0	90.0
γ (°)	121.9	121.9	120	120.0	121.4	121.4	118.3	120.0
V_{cc} (Å ³)	546	546	551	551	538.1	538.3	537.6	544.7

Density (g/cm ³)	3.131	3.130	3.102	3.102	3.176	3.175	3.179	3.138
Mean bond length (Å)								
P-O	1.54	1.56	1.56	1.56	1.55	1.51	1.55	1.55
C-O	1.29	1.29	1.28	1.28	1.28	1.29	1.28	1.28
Ca-O _{CO3}	2.39	2.36	2.42	2.42	2.35	2.60	2.33	2.54
Mean bond angle (°)								
O-P-O	110.1	108.8	110.5	110.5	108.8	107.5	108.7	108.9
O-C-O	120.0	120.0	120.0	120.0	120.0	120.0	120.00	120.0

TABLE 3. Differences between experimental (XRD) and simulated results of type A CAp

	XRD*	A1-ae	A1-pp	SM-HF†	GGA-PBE‡
Symmetry	<i>P3</i>	<i>P1</i>	<i>P1</i>	–	<i>P1</i>
<i>a</i> (Å)	9.521	+0.061	+0.011	9.544	9.88
<i>b</i> (Å)	9.521	+0.243	+0.051	9.544	9.69
<i>c</i> (Å)	6.873	+0.004	+0.057	6.859	7.14
α (°)	90	–0.7	–0.5	90.0	90.9
β (°)	90	–0.2	–0.8	90.0	89.6
γ (°)	120	+0.9	+1.4	120.0	118.7
Density (g/cm ³)	3.152	–0.021	+0.024	–	–
Mean bond length (Å)					
P-O	1.53	+0.01	+0.02	–	–
C-O	1.24	+0.05	+0.04	+0.03	+0.05
Ca-O _{CO3}	2.34	+0.05	+0.01	–	–
Mean bond angle (°)					
O-P-O	109.5	+0.6	–0.7	–	–
O-C-O	120.0	+0.0	+0.0	+0.0	+0.0

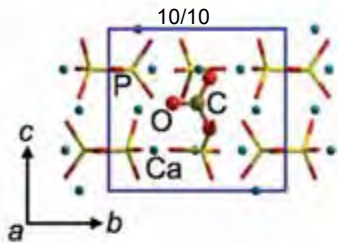
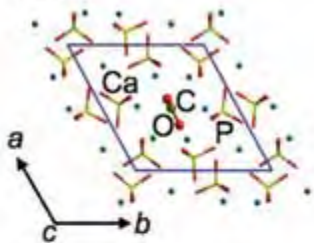
Notes: XRD data are referred to single-crystal analysis. A1-ae and A1-pp refers to the present results obtained with all electron and pseudopotential basis sets on Ca atoms, respectively. SM-HF and GGA-PBE results are related to earlier simulations conducted by static lattice/Hartree-Fock and periodic/DFT functionals, respectively. SM-HF lattice parameters have not been optimized.

* Fleet and Liu (2003).

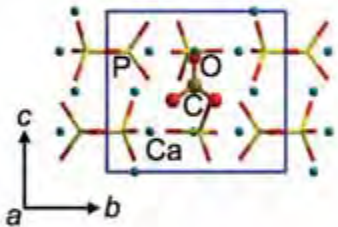
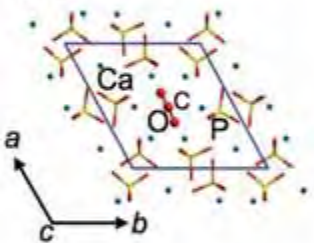
† Peeters et al. (1997).

‡ Astala and Stott (2005).

A1



A2



Planar

