1 2 Vanadium L<sub>2,3</sub> XANES Experiments and First Principles Multielectron 3 **Calculations: Impact of Second-Nearest Neighbouring Cations on Vanadium-Bearing Fresnoites** 4 5 6 Thomas Höche 7 Fraunhofer-Institut für Werkstoffmechanik IWM 8 Walter-Hülse-Straße 1, D - 06120 Halle, Germany 9 10 Hidekazu Ikeno 11 Fukui Institute for Fundamental Chemistry 12 Kyoto University, Takano-Nishihiraki, Sakyo, Kyoto 606-8103, Japan 13 14 Marisa Mäder 15 Leibniz-Institut für Oberflächenmodifizierung e.V. 16 Permoserstraße 15, D - 04318 Leipzig, Germany 17 18 Grant S. Henderson 19 Department of Geology, University of Toronto 20 22 Russell Street, Toronto, M5S 3B1 Canada 21 22 Robert I.R. Blyth 23 Canadian Light Source, University of Saskatchewan 24 101 Perimeter Road, Saskatoon, S7N OX4, Canada 25 26 Brian C. Sales 27 Correlated Electron Materials Group, Materials Sciences and Technology Division 28 Oak Ridge National Laboratory, Oak Ridge, TN 37831-6056, U.S.A. 29 30 Isao Tanaka 31 Department of Materials Science and Engineering 32 Kyoto University, Yoshida, Sakyo, Kyoto 6006-8501, Japan 33 34 Key Words: X-ray absorption spectroscopy (V- $L_{2,3}$  XANES), first principles multielectron theory, fresnoites, Ba<sub>2</sub>VSi<sub>2</sub>O<sub>8</sub>, K<sub>2</sub>VV<sub>2</sub>O<sub>8</sub>, Rb<sub>2</sub>VV<sub>2</sub>O<sub>8</sub> 35

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## ABSTRACT

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38 Transition-metal  $L_{2,3}$  XANES spectra are widely used to determine coordination and valence 39 of the target ion. For decades, experimental fingerprinting, i.e., the comparison with spectra 40 obtained from known reference compounds was the way to interpret spectral features. This 41 approach was based on the common understanding that only anions in the first coordination 42 sphere would determine the near-edge structure, and crystalline references were selected 43 accordingly. Using *ab initio* charge-transfer multiplet calculations, we demonstrate that there 44 is also a significant impact on spectral features from the second-nearest neighbour cations. 45 This finding is exemplified for three fresnoite-type vanadates, namely  $Ba_2VSi_2O_8$  (BVS), 46  $K_2VV_2O_8$  (KVV), and  $Rb_2VV_2O_8$  (RVV). The theoretical treatment provides evidence that for 47 the three compounds studied it is not variable bond lengths or bond angles between vanadium 48 and oxygen that make the V- $L_{2,3}$  XANES spectra different, but the interaction of the target 49 vanadium ions with its neighbouring cations (Si for BVS, V for KVV & RVV) which 50 dominates. Therefore, we conclude that simple fingerprinting can result in misleading 51 interpretations when interactions with second-nearest neighbouring cations are not taken into 52 account. Ab initio charge-transfer multiplet calculations of spectral shapes (theoretical 53 fingerprinting) should be employed instead to get a deeper understanding of structure-spectra 54 relationships, or the choice of reference spectra should take into account second-nearest 55 neighbours. Our findings are similarly important for the interpretation of electron energy-loss 56 near-edge (ELNES) spectra.

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## INTRODUCTION

The spectroscopy of unoccupied states, either excited by X-rays (X-ray absorption near-edge
structure, XANES) or electrons (electron energy loss near-edge structure, ELNES) has been

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gaining increasing interest due to its sensitivity towards subtle changes in the electronic
structure accessible at a spectral resolution below 100 meV, or more precisely 10<sup>-4</sup> times the
excitation energy (Regier et al., 2007).

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66 It has been known for many years that departures in coordination around the probed 67 transition-metal ion (see e.g. (Brydson et al., 1989)) do result in changes to the spectral shape 68 of transition-metal  $L_{2,3}$  edges. This is because the energetic position of unoccupied 3d states is 69 sensitively influenced by the crystal-field splitting essentially caused by the anions in the first 70 coordination sphere around the probed cation (Höche et al., 2004). Another source of spectral 71 differences is the valence state. For transition metals, it is found that lowering the valence 72 causes a chemical shift towards lower photon energies or electron energy losses, respectively 73 (Leapman et al., 1982). This chemical shift is due to more effective screening of the core 74 potential for reduced species. In parallel, upon decreasing the valence of a given element, the 75 number of unoccupied states is decreased as well. For example, chemical shifts of the Ti  $L_{2,3}$ 76 edges towards lower energy losses have been observed by Leapman (Leapman et al., 1982), 77 while La<sub>1-x</sub>Sr<sub>x</sub>TiO<sub>3</sub> was studied by Abbate *et al.* (Abbate et al., 1991) using Ti- $L_{2,3}$  XANES to 78 demonstrate the influence of altering Ti valence while keeping the Ti coordination unchanged 79 (via the substitution of strontium by lanthanum). Höche et al. (Höche et al., 2003b) also 80 proved this effect using ELNES spectroscopy of barium oxo titanates (III/IV) Ba<sub>x</sub>Ti<sub>2x</sub><sup>3+</sup>Ti<sub>8-2x</sub><sup>4+</sup>O<sub>16</sub>. Eventually, Coulomb and exchange interactions(Zaanen et al., 1985) 81 have to be taken into account for understanding departures from the ideal  $L_3/L_2$  intensity ratio 82 83 for 3d transition metals (Thole and Laan, 1988).

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While the experimental assessment of transition-metal  $L_{2,3}$  edges has been brought to a very high level of sophistication in terms of spectral, as well as, spatial resolution, the simulation of transition-metal *L*-edges has only recently reached a state where interpretation and

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predictions are feasible (Ikeno et al., 2009; Ikeno et al., 2006; Ikeno et al., 2005; Kumagai et
al., 2008).

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91 The apparent advantages of *ab initio* charge-transfer multiplet (CTM) calculations were 92 demonstrated for 3d metals by consideration of electronic configurations in the configuration 93 interactions. With this approach, not just qualitative but *quantitative* reproduction of ELNES 94 or XANES spectra is possible. While in earlier studies (Ikeno et al., 2011), compounds 95 hosting transition metals in single valence and coordination states have been dealt with, here, 96 we go one step further by considering three fresnoite-type vanadates, namely  $Ba_2VSi_2O_8$ , 97 K<sub>2</sub>VV<sub>2</sub>O<sub>8</sub>, and Rb<sub>2</sub>VV<sub>2</sub>O<sub>8</sub>, the last two of which host vanadium in two valance states and 98 coordinations.

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100 The structural peculiarity of fresnoite compounds lies in the fact that this framework structure 101 hosts pentahedrally coordinated transition metals. In the case of  $Ba_2VSi_2O_8$  (BVS) (Höche et 102 al., 2003a), tetravalent vanadium occurs exclusively in a square pyramid consisting of four 103 basal-plane oxygen ions with identical distances to the central vanadium ion and one apical 104 oxygen ion connected via a short double bond forming a vanadyl group (cf. Table 1).

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Apart from the single-valance, single-coordination V-bearing compound BVS, we have also studied two other fresnoite framework structures featuring one tetravalent vanadium position in pentahedral coordination *plus* one pentavalent vanadium position in tetrahedral coordination. Substitution of the Si positions in BVS by V<sup>5+</sup> requires charge compensation that can be accomplished by a parallel substitution of the alkaline earth metal Ba<sup>2+</sup> by an alkali metal, e.g., K<sup>+</sup> or Rb<sup>+</sup>. The V-*L*<sub>2,3</sub> ionization edges of K<sub>2</sub>VV<sub>2</sub>O<sub>8</sub> (KVV)(Galy and Carpy, 1975) and Rb<sub>2</sub>VV<sub>2</sub>O<sub>8</sub> (RVV)(Ha-Eierdanz and Müller, 1992; Withers et al., 2004) are

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113 therefore characterised by a rather complex, weighted superposition of V(d) states stemming from the  $V^{4+}O_5$  pyramid with electronic states related to two identical  $V^{5+}O_4$  tetrahedra. 114 115 116 Using soft X-ray XANES data acquired at the SGM beamline of the Canadian Light Source, the extent to which *ab initio* CTM calculations at the V- $L_{2,3}$  ionization edge of the two oxo 117 118 vanadates (IV/V) KVV and RVV can reproduce experimental data was studied. 119 120 EXPERIMENTAL METHODS 121 122 About 100 grams of V<sub>2</sub>O<sub>5</sub> and 76 grams of K<sub>2</sub>CO<sub>3</sub> (or Rb<sub>2</sub>CO<sub>3</sub>) are loaded into a 250 ml Pt 123 crucible, slowly heated in air to 700 °C and held for 2 h. The powder is added in two stages 124 because of substantial foaming. The molten KVO<sub>3</sub> (RbVO<sub>3</sub>) flux is then cooled to room 125 temperature and the Pt crucible and solidified KVO<sub>3</sub> (RbVO<sub>3</sub>) loaded into a fused silica ampoule that is necked down at the top. Using a long funnel, 9 g of  $VO_2$  is added to the Pt 126 127 crucible and the entire ampoule is evacuated and sealed. The sealed ampoule is loaded into a 128 furnace, heated to 850 °C for 6 h, cooled to 700 °C over 1 h and then cooled to about 400 °C 129 at 1 °C/h, followed by furnace cooling to room temperature. The KVO<sub>3</sub> (RbVO<sub>3</sub>) flux is then 130 removed with a combination of warm water and ultrasonic vibration. The resulting  $K_2V_3O_8$  or 131  $Rb_2V_3O_3$  crystals are black rectangular plates with dimensions as large as 5 x 5 x 1 mm<sup>3</sup>. 132 133 As described elsewhere (Höche et al., 2003a), high-purity Ba<sub>2</sub>VSi<sub>2</sub>O<sub>8</sub> was prepared via a sol-134 gel route. The light blue coloured powder obtained this way was ground, pressed into pellets 135 and sintered under a dry argon atmosphere at 750 °C for 16 h using a heating rate of 3 K/min. 136 The black pellets obtained were crushed, ground and pressed again. Sintering was completed 137 at 1,150 °C for 16 h under a dry argon atmosphere and resulted in grey-green pellets of

138  $Ba_2VSi_2O_8$  as confirmed by X-ray powder diffractometry.

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140	V- $L_{2,3}$ edge XANES data of $K_2VV_2O_8$ and $Rb_2VV_2O_8$ single crystals as well as a $Ba_2VSi_2O_8$
141	polycrystal were recorded in the energy range between 510 and 529 eV at the spherical-
142	grating monochromator (SGM) beamline of the Canadian Light Source using a step width of
143	50 meV (in the hi-res mode: 20 meV) and an exit slit of 20 microns. Since the probing depth
144	of the total electron yield (TEY) data is only on the order of a few nanometers, and hence
145	superficial effects may be emphasized, fluorescence-yield (FLY) data were used throughout
146	this study. For calibration, a CO spectrum was recorded and the photon energy scale was
147	calibrated using the fifth vibrational state, known to have a value of 534.21 eV (Puttner et al.,
148	1999). The CO spectrum also indicates the resolution - it was taken with the same settings as
149	the other data. As its vibrational structure is well-resolved, the resolution is around 80 meV.
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165 calculation using molecular spinors via DFT. In addition to the TM-3d atomic spinors, ligand 166 atomic spinors sometimes play essential roles in the TM- $L_{2,3}$  XANES/ELNES. An additional 167 atomic configuration, that is,  $3d^n + 3d^{n+1}\underline{L}$  for the initial state and  $2p^53d^{n+1} + 2p^53d^{n+2}\underline{L}$  for the 168 final states, where  $\underline{L}$  denotes a hole on ligand spinors, should be taken into account. The 169 multiplet structures in such cases are called charge-transfer multiplet (CTM). In the present 170 study, we made a set of CTM calculations by the DFT+CI approach.

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172 Relativistic molecular spinor calculations were carried out by solving Dirac equations 173 employing the local density approximation (LDA). Four-component relativistic molecular 174 spinors were expressed as linear combinations of atomic spinors. The numerically generated 175 four component relativistic atomic spinors (1s - 4p for V and 1s - 2p for O) were used as basis 176 functions for molecular spinors. Cluster models composed of one V ion and the coordinating 177 oxygen ions were used for the calculation. The total number of electrons in the cluster was 178 counted on the basis of the formal charges of the constituents. Therefore, the clusters were  $VO_5^{6-}$  for  $V^{4+}$ , and  $VO_4^{3-}$  for  $V^{5+}$ . Charge-transferred configurations having a single ligand 179 180 hole were considered but configurations with two or more ligand holes were not considered in 181 the present study.

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183 The positions of the atoms in the crystalline compounds were obtained from the experimental 184 crystal structures. To take account of the effective Madelung potential, an array of point 185 charges was placed at the external atomic sites of clusters using the method proposed by 186 Evjen (Evjen, 1932).

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188 The number of Slater determinants for  $VO_5^{6-}$  is 23,230, namely  ${}_{10}C_1 + {}_{10}C_1 \times {}_{30}C_{29} = 1,360$  for 189 initial states and  ${}_{6}C_5 \times {}_{10}C_2 + {}_{6}C_5 \times {}_{10}C_3 \times {}_{30}C_{29} = 21,870$  for final states. The number for  $VO_4^{3-}$ 190 is 6,781, namely  ${}_{10}C_0 + {}_{10}C_1 \times {}_{24}C_{23} = 341$  for initial states and  ${}_{6}C_5 \times {}_{10}C_1 + {}_{6}C_5 \times {}_{10}C_2 \times {}_{24}C_{23} =$ 

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6,540 for final states. The number of Slater determinants corresponds to the number of manyelectron eigenvalues or multiplets.

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194 Theoretical XANES/ELNES was obtained as the oscillator strength of the electric dipole 195 transition using the many-electron wave functions for the initial and final states. The 196 photoabsorption cross section (PACS) was obtained by broadening the oscillator strengths 197 using Lorentz functions and by multiplying by the constant  $2\pi^2\hbar^2\alpha/m$ , where  $\alpha$  is the fine-198 structure constant (=1/137.036). The other terms are of usual meaning. The full width at half-199 maximum (FWHM) of the Lorentz function was set to 0.4 eV over the whole energy region. 200 The quadrupole and higher order transitions were ignored.

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This CI method is known to systematically overestimate the absolute transition energy (Ogasawara et al., 2001). This can be ascribed to the truncation of the Slater determinants. In other words, this is due to disregarding the minor contribution of the electronic correlations. In the present study, the transition energy was corrected by taking the energy difference between molecular spinors for the Slater's transition state as a reference. More details on the method are described in (Ikeno et al., 2011).

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#### RESULTS AND DISCUSSION

Single crystals of Ba<sub>2</sub>VSi<sub>2</sub>O<sub>8</sub> (Höche et al., 2003a), Rb<sub>2</sub>V<sup>4+</sup>V<sub>2</sub><sup>5+</sup>O<sub>8</sub> (Withers et al., 2004), and K<sub>2</sub>V<sup>4+</sup>V<sub>2</sub><sup>5+</sup>O<sub>8</sub> (Galy and Carpy, 1975) were grown as described in the experimental section and confirmed to be single-phase by standard X-ray diffraction techniques. All three compounds crystallize in the fresnoite framework structure, named after the mineral fresnoite, Ba<sub>2</sub>TiSi<sub>2</sub>O<sub>8</sub> (Alfors et al., 1965) (space group *P4bm*) (Moore and Louisnathan, 1967). In the general formula A<sub>2</sub>PT<sub>2</sub>O<sub>8</sub> (see Fig. 1), "T" denotes a tetrahedrally coordinated ion (Si<sup>4+</sup>, Ge<sup>4+</sup>,

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or  $V^{5+}$ ), "A" an alkali ion (such as K, or Rb) or an alkaline-earth ion (Ba or Sr) and "P" is Ti<sup>4+</sup> or V<sup>4+</sup> in pentahedral coordination. The fresnoite crystal structure is comprised of cornerconnected "T"<sub>2</sub>O<sub>7</sub>, and "P"O<sub>5</sub> square pyramids arranged in sheets interspersed with layers of "A" ions.

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222 Fresnoite structures are very susceptible to the formation of incommensurate structural 223 modulations (Höche et al., 1999; Withers et al., 2002) but such modulations are neither 224 observed for K<sub>2</sub>VV<sub>2</sub>O<sub>8</sub>, Rb<sub>2</sub>VV<sub>2</sub>O<sub>8</sub>, nor Ba<sub>2</sub>VSi<sub>2</sub>O<sub>8</sub> at room temperature. In order to interpret 225 departures in their respective XANES spectra, their crystal structures in terms of bond length 226 and bond angles will be discussed in a bit more detail. While the bond lengths within the  $VO_5$ 227 pyramid of the three compounds vary by up to 10 % (for the vanadyl bonding with the apical 228 oxygen) and by up to 5 % for the bonding towards the basal oxygen (Tab. 1), the shape of the 229  $VO_5$  group itself is relatively unchanged (Tab. 2). Comparing bond lengths in the  $V_2O_7$ 230 groups of  $K_2VV_2O_8$  and  $Rb_2VV_2O_8$  (Tab. 2 and Fig. 2), it is found that bond lengths in 231  $K_2VV_2O_8$  are generally circa 5 % longer than corresponding distances in  $Rb_2VV_2O_8$ . Bond 232 angles of the  $V_2O_7$  groups, however, clearly differ by less than 1 % (Tab. 3). This means that 233 structural discrepancies between K2VV2O8 and Rb2VV2O8 mainly concern bond lengths 234 rather than bond angles. Hence, these two samples are very well suited to experimentally 235 address the influence of bond length on the electronic structure of unoccupied states.

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Experimental V- $L_{2,3}$  XANES of KVV/RVV are compared to that of BVS in Fig. 3. Peaks are denoted by letters for ease of discussion and as guides for the eye. Roughly speaking, peaks "A" to "E" and "a" to "e" can be attributed to the  $L_3$  edge. The rest of the peaks are attributable to  $L_2$  edges. Although the valency and coordination number of V are clearly different between KVV/RVV and BVS, there is a rough similarity in V- $L_{2,3}$  XANES among these three compounds. This implies that analysis of valency and coordination number (C.N.)

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by the experimental V- $L_{2,3}$  XANES alone (e.g., the often applied fingerprinting) is difficult. Theoretical V- $L_{2,3}$  XANES spectra of the three compounds are depicted in Fig. 4. Since there are two kinds of V sites i.e., V<sup>4+</sup> (C.N.=5) and V<sup>5+</sup> (C.N.=4) in KVV/RVV, we made *ab initio* CTM calculations separately for each site. The weighted sum of two spectra by 1:2 corresponds to the theoretical spectra of RVV/KVV. On the other hand, the theoretical spectrum for BVS was obtained only for a single V<sup>4+</sup> (C.N.=5) site.

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250 The agreement between experimental and theoretical spectra for the three compounds is quite 251 good. Small discrepancies in relative peak positions, intensities, and widths may be 252 attributable to small insufficiencies in the models for the calculations. The largest problem 253 may be the neglect of the interactions among V-3d electrons on different sites. Since the 254 calculations were made with clusters composed of only one V ion coordinated by four or five 255 oxide ions, off-site d-d exchange and correlation interactions were ignored. Only the 256 electrostatic part (Madelung potential) of the solid state effects due to embedding the cluster into the crystalline environment were taken into account. This is one of the reasons why the 257 258 theoretical spectra are generally sharper than the experimental spectra. Nevertheless the 259 quality of the theoretical spectra is sufficient to discuss the details of the experimental spectra.

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The chemical shift between the peak-maxima of  $V^{4+}$  (C.N.=5) and  $V^{5+}$  (C.N.=4) in KVV/RVV is only 0.5 eV (Fig. 4). This is the reason why the energy of the peak maxima cannot be clearly discriminated between RVV/KVV and BVS by experiments (Fig. 3). Moreover, the general shape of the RVV/KVV/BVS spectra, all with low-energy shoulders being present, i.e., "C" and "c" in the  $L_3$  edge and "F" and "f" in the  $L_2$  edge, looks similar at first glance. In order to explain this behavior, calculations prove very helpful.

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Figure 5 separately compares components of  $V^{4+}$  (C.N.=5) and  $V^{5+}$  (C.N.=4) in the three compounds. The components of  $V^{5+}$  (C.N.=4) in KVV and RVV are almost identical. As described earlier, the structural discrepancies in VO<sub>4</sub> tetrahedra between KVV and RVV mainly concern bond lengths rather than bond angles. Although the bond lengths are different by circa 5%, the spectra are not changed significantly.

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The components of V<sup>4+</sup> (C.N.=5) in KVV and RVV are almost identical, too. This may be the 274 same story as that of  $V^{5+}$  (C.N.=4): The spectrum is not sensitive to the bond-lengths. On the 275 other hand, the V<sup>4+</sup> (C.N.=5) components in KVV and RVV are clearly different from that of 276 277 BVS (Fig. 5, bottom). The lower energy shoulder of the  $L_3$  peak is sharper in BVS. It is the same for the  $L_2$  peak. This is interesting since BVS has the same crystalline structure as that 278 279 of KVV/RVV and the shapes of the VO5 pyramids in KVV/RVV and BVS are similar except 280 for the difference in the bond length. This can be ascribed to the valency difference of cations located at the tetrahedral sites: In the case of BVS, Si<sup>4+</sup> is located in the tetrahedral site, while 281 this site is occupied by V<sup>5+</sup> in the case of KVV/RVV. This results in a difference of the spatial 282 distribution of the Madelung potential around the V<sup>4+</sup> in the VO<sub>5</sub> pyramid as the second-283 nearest neighbors of  $V^{4+}$  are  $V^{5+}$  rather than Si<sup>4+</sup>. 284

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An analogous result was reported for Co- $L_{2,3}$  XANES of trivalent cobalt oxides (Kumagai et al., 2008). The spectra for LaCoO<sub>3</sub> at low temperature and for LiCoO<sub>2</sub>, both of which have low-spin Co<sup>3+</sup> ions in similar local environments exhibit clear differences in peak shape. The reason was well explained by the difference in the crystal structure as modeled by the spatial distribution of the Madelung potential.

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Although both  $V^{4+}$  (C.N.=5) and  $V^{5+}$  (C.N.=4) components in KVV and RVV are almost identical in shape, there are subtle differences in the peak positions of the  $V^{5+}$  (CN=4)

## 12

components. This can be ascribed to the difference in the magnitude of the Madelung potential caused by the difference in the bond lengths. The tiny difference makes the spectral shape of KVV and RVV slightly different after summing up the V<sup>4+</sup> (C.N.=5) and V<sup>5+</sup> (C.N.=4) components. Both the centers of the  $L_3$  and  $L_2$  peaks move slightly to lower energy in RVV as compared to KVV. The direction of the energy shift agrees with the observed trend in the experimental spectra (Fig. 3).

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301 Finally, limits of fingerprinting of XANES by experimental data should be pointed out. 302 Experimental fingerprinting techniques try to correlate spectral features with the 303 corresponding local structures such as the  $V^{5+}O_4$  tetrahedron and  $V^{4+}O_5$  pyramid. Since BVS has only a single V site, spectral features such as the presence of the shoulder peaks C, D and 304 F in BVS might be mistakenly used as the fingerprints of  $V^{4+}$  (C.N.=5). However, as can be 305 clearly seen in Fig. 4, similar peaks such as C and F can be seen even in the V<sup>5+</sup> (C.N.=4) 306 component of KVV/RVV. Moreover, peak C is not clearly seen in the  $V^{4+}$  (C.N.=5) 307 308 component of KVV/RVV. The experimental fingerprinting technique may therefore lead to an 309 incorrect interpretation. Reliable theoretical calculations are therefore essential for correct 310 assignments.

311

Our results prove the necessity to employ sophisticated theoretical modelling of the transitionmetal L edges in order to enable interpretation of the latter beyond the (at least for high spectral resolution) questionable method of fingerprinting.

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This is a preprint, the final version is subject to change, of the American Mineralogist (MSA) Cite as Authors (Year) Title. American Mineralogist, in press. (DOI will not work until issue is live.) DOI: http://dx.doi.org/10.2138/am.2013.4335 14 323 324 **REFERENCES CITED** 325 Abbate, M., Groot, F.M.F.d., Fuggle, J.C., Fujimori, A., Tokura, Y., Fujishima, Y., Strebel, O., Domke, M., Kaindl, G., Elp, J.v., Thole, B.T., Sawatzky, G.A., Sacchi, M., and 326 327 Tsuda, N. (1991) Soft-x-ray-absorption studies of the location of extra charges 328 induced by substitution in controlled-valence materials. Phys. Rev. B, 44(11), 5419-329 5422. 330 Alfors, J.T., Stinton, M.C., Matthews, R.A., and Pabst, A. (1965) Seven new Barium Minerals 331 from Eastern Fresno county, California. Am. Mineralog., 50, 314-340. 332 Brydson, R., Sauer, H., Engel, W., Thomas, J.M., Zeitler, E., Kosugi, N., and Kuroda, H. 333 (1989) Electron energy loss and x-ray absorption spectroscopy of rutile and anatase: a 334 test of structural sensitivity. J. Phys. Cond. Matter, 1, 797-812. 335 Evjen, H.M. (1932) On the Stability of Certain Heteropolar Crystals. Phys. Rev., 39, 675. 336 Galy, J., and Carpy, A. (1975) Crystal-Structure of K<sub>2</sub>V<sub>3</sub>O<sub>8</sub> or K<sub>2</sub>(VO)[V<sub>2</sub>O<sub>7</sub>]. Acta Cryst. B, 337 31, 1794-1795. 338 Ha-Eierdanz, M.L., and Müller, U. (1992) A New Access to Alkali Vanadates(IV,V) Crystal-339 Structure of Rb<sub>2</sub>V<sub>3</sub>O<sub>8</sub>. Z. Anorg. Allg. Chemie, 613(7), 63-66. 340 Höche, T., Esmaeilzadeh, S., Withers, R.L., and Schirmer, H. (2003a) Structural studies on 341 the Fresnoite type compound Ba<sub>2</sub>VSi<sub>2</sub>O<sub>8</sub>. Z. Kristallogr., 218(12), 788-794. 342 Höche, T., Olhe, P., Keding, R., Rüssel, C., van Aken, P.A., Schneider, R., Kleebe, H.-J., 343 Wang, X., Jacobson, A.J., and Stemmer, S. (2003b) Synthesis and Characterisation of 344 Mixed-Valence Barium Titanates. Phil. Mag., 83(2), 165-178. 345 Höche, T., Rüssel, C., and Neumann, W. (1999) Incommensurate modulations in Ba<sub>2</sub>TiSi<sub>2</sub>O<sub>8</sub>, 346 Sr<sub>2</sub>TiSi<sub>2</sub>O<sub>8</sub>, and Ba<sub>2</sub>TiGe<sub>2</sub>O<sub>8</sub>. Solid State Commun., 110(12), 651-656. 347 Höche, T., van Aken, P.A., Grodzicki, M., Hevroth, F., Keding, R., and Uecker, R. (2004) 348 Electron Energy Core-Loss Spectra of Incommensurately Modulated Crystalline and 349 Glassy Ba<sub>2</sub>TiGe<sub>2</sub>O<sub>8</sub>. Phil. Mag., 84(29), 3117-3132. 350 Ikeno, H., de Groot, F.M.F., Stavitski, E., and Tanaka, I. (2009) Multiplet calculations of L<sub>2,3</sub> 351 x-ray absorption near-edge structures for 3d transition-metal compounds. J. Phys.: 352 Condens. Matter, 21, 104208 (17 pp). 353 Ikeno, H., Mizoguchi, T., Koyama, Y., Kumagai, Y., and Tanaka, I. (2006) First-principles 354 multi-electron calculations for L<sub>2</sub>,L<sub>3</sub> ELNES/XANES of 3d transition metal 355 monoxides. Ultramicroscopy, 106(11-12), 970-975. 356 Ikeno, H., Mizoguchi, T., and Tanaka, I. (2011) Ab initio charge transfer multiplet 357 calculations on the L<sub>2.3</sub> XANES and ELNES of 3d transition metal oxides. Phys. Rev. 358 B, 83, 155107. 359 Ikeno, H., Tanaka, I., Koyama, Y., Mizoguchi, T., and Ogasawara, K. (2005) First-principles 360 multielectron calculations of Ni L-2,L-3 NEXAFS and ELNES for LiNiO2 and related 361 compounds. Physical Review B, 72(7). Kumagai, Y., Ikeno, H., Oba, F., Matsunaga, K., and Tanaka, I. (2008) Effects of crystal 362 363 structure on Co- $L_{2,3}$  x-ray absorption near-edge structure and electron-energy-loss 364 near-edge structure of trivalent cobalt oxides. Phys. Rev. B, 77(15) 155124. 365 Leapman, R.D., Grunes, L.A., and Fejes, P.L. (1982) Study of the L<sub>23</sub> Edges in the 3d Transition Metals and their Oxides by Electron-ENergy-Loss Spectroscopy with 366 Comparisons to Theory. Phys. Rev. B, 26(2), 614-635. 367 368 Mizoguchi, T., Olovsson, W., Ikeno, H., and Tanaka, I. (2010) Theoretical ELNES using one-369 particle and multi-particle calculations. Micron, 41, 695-709.

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400 401		FIGURE CAPTIONS			
402 403 404 405 406 407 408 409 410	Fig. 1	Graphical representation of the fresnoite framework structure. The large cations "A" (Ba in BVS, K und KVV, or Rb in RVV) are interconnecting sheets of edge- connected $TO_4$ (Si ind BVS, $V^{5+}$ in KVV and RVV) and PO <sub>5</sub> (V in BVS, $V^{4+}$ in KVV and RVV) polyhedra. There are four non-equivalent oxygen positions labeled O1, O2, O3, and O4.			
	Fig. 2	Nomenclature of vanadium and oxygen positions found in KVV and RVV. While V-1 is tetravalent and fivefold coordinated, V-2 and V-3 are pentavalent and fourfold coordinated.			
411 412 413	Fig. 3	Experimental V- $L_{2,3}$ XANES spectra of BVS (upper section) and KVV and RVV (lower section). Labels given to spectral features are referenced in the text.			
414 415 416 417 418	Fig. 4	Theoretical V- $L_{2,3}$ XANES spectra of BVS (upper section) and KVV (middle section) and RVV (lower section). For KVV and RVV, individual contributions of V4+ and V5+ are shown separately. Instead of the experimentally measured intensity, photo-absorption cross sections (PACS) are plotted versus energy.			
419 420 421 422 423 424 425	Fig. 5	Intensity, photo accound of consistentials (FFCO) are protect value energy. Juxtaposition of $V^{4+}$ (upper panel) and $V^{5+}$ (lower panel) contributions to the theoretical V- $L_{2,3}$ XANES in the three compounds BVS, KVV, and RVV.			

# 17

Bond lengths around vanadium atoms in  $K_2VV_2O_8,\,Rb_2VV_2O_8,\,and\,Ba_2VSi_2O_8$ 

426 427

428 **Table 1** 

(cf. Fig. 2).

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A taun 1 A taun 2		Bond length [Å]			
Atom 1	Atom I Atom 2		Rb <sub>2</sub> VV <sub>2</sub> O <sub>8</sub>	Ba <sub>2</sub> VSi <sub>2</sub> O <sub>8</sub>	
V-1	O-11	1.607(5)	1.522(8)	1.663(16)	
V-1	O-7	1.955(2)	1.865(4)	1.967(6)	
V-1	O-8	1.955(2)	1.865(4)	1.967(6)	
V-1	O-9	1.955(2)	1.865(4)	1.967(6)	
V-1	O-10	1.955(2)	1.865(4)	1.967(6)	
V-2	O-4	1.809(1)	1.717(2)	-	
V-2	O-5	1.717(2)	1.637(4)	-	
V-2	O-6	1.635(4)	1.548(6)	-	
V-2	O-7	1.717(2)	1.637(4)	-	
V-3	O-4	1.717(2)	1.637(4)	-	
V-3	O-3	1.717(2)	1.637(4)	-	
V-3	O-2	1.635(4)	1.548(6)	-	
V-3	O-1	1.809(1)	1.717(2)	-	

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**Table 2**Bond angles in the VO5 pyramid in  $K_2VV_2O_8$ ,  $Rb_2VV_2O_8$ , and  $Ba_2VSi_2O_8$  (cf.

434

Fig. 2).

435

A 4 1	Atom 2	Atom 3	Angle [°]		
Atom I			K <sub>2</sub> VV <sub>2</sub> O <sub>8</sub>	Rb <sub>2</sub> VV <sub>2</sub> O <sub>8</sub>	$Ba_2VSi_2O_8$
O-7	O-8	O-9	90.0(1)	90.0(1)	90.0(3)
O-8	O-9	O-10	90.0(1)	90.0(1)	90.0(3)
O-9	O-10	O-7	90.0(1)	90.0(1)	90.0(3)
O-10	O-7	O-8	90.0(1)	90.0(1)	90.0(3)
O-11	V-1	O-7	106.73(6)	106.99(11)	107.91(37)
O-11	V-1	O-8	106.73(6)	106.99(11)	107.91(37)
O-11	V-1	0-9	106.73(6)	106.99(11)	107.91(37)
O-11	V-1	O-10	106.73(6)	106.99(11)	107.91(37)

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# 19

# 438 **Table 3** Bond angles in the $V_2O_7$ group in $K_2VV_2O_8$ and $Rb_2VV_2O_8$ (cf. Fig. 2).

A tom 1	A 4 5 11 2	Atom 3	Angle [°]	
Atom 1	Atom 2		K <sub>2</sub> VV <sub>2</sub> O <sub>8</sub>	Rb <sub>2</sub> VV <sub>2</sub> O <sub>8</sub>
O-1	O-2	O-4	60.72(8)	60.48(10)
O-2	O-4	O-1	58.56(6)	59.05(10)
O-4	O-1	O-2	60.72(8)	60.48(10)
O-1	O-2	O-3	59.67(7)	59.50(13)
O-2	O-3	O-1	60.66(7)	60.99(13)
O-3	O-1	O-2	59.57(7)	59.50(13)
O-2	O-3	O-4	61.56(6)	61.54(11)
O-3	O-4	O-2	58.39(5)	58.61(11)
O-4	O-2	O-3	60.05(6)	59.85(11)
O-1	O-3	O-4	61.56(6)	61.54(11)
O-3	O-4	O-1	58.39(5)	58.61(11)
O-4	O-1	O-3	60.05(6)	59.85(11)
O-4	O-5	O-7	60.72(8)	60.48(10)
O-5	O-7	O-4	60.72(8)	60.48(10)
O-7	O-4	O-5	58.56(6)	59.05(10)
O-4	O-5	O-6	60.05(6)	59.85(11)
O-5	O-6	O-4	61.56(6)	61.54(11)
O-6	O-4	O-5	58.39(5)	58.61(11)
O-5	O-6	O-7	60.66(7)	60.99(13)
O-6	O-7	O-5	59.67(7)	59.50(13)
O-7	O-5	O-6	59.67(7)	59.50(13)
O-3	O-6	O-7	61.56(6)	61.54(11)
O-6	O-7	O-3	58.39(5)	58.61(11)
O-7	O-3	O-6	60.05(6)	59.85(11)

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