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REVISION 2 1 2 Computational study of the elastic behaviour of the $2M_1$ 3 **Muscovite-Paragonite series** 4 5 6 NOEMÍ HERNÁNDEZ-HARO,¹ JOAQUÍN ORTEGA-CASTRO,² CARLOS PÉREZ DEL 7 VALLE,³ DANIEL MUÑOZ-SANTIBURCIO,¹ CLARO IGNACIO SAINZ-DÍAZ,¹ AND 8 ALFONSO HERNÁNDEZ-LAGUNA^{1,*} 9 10 ¹ Instituto Andaluz de Ciencias de la Tierra. CSIC-UGR, Av. de las Palmeras 4, 18100-11 12 Armilla, Granada, Spain. ² Departamento de Química. Universidad de las Islas Baleares. 07122, Palma de Mallorca, 13 14 Spain. ³ DCM-Université Joseph Fourier-Grenoble I, 38041 Grenoble Cedex 9, France. 15 16 17 Abstract Elastic properties are an important issue in explaining the behaviour of 18 seisms and to ascertain the mineralogical composition of the Earth's shells through which 19 seismic waves pass. Computational methods can yield an additional, detailed, free-of-20 heterogeneity model knowledge of the mineral series of interest. Therefore, a 21 computational study on the influence of the interlayer cation in the muscovite-paragonite 22 (Ms-Pg) series on the crystal cell, internal geometry, and the elastic properties was made to 23 shed light on the mineralogical, geophysical, and geochemical properties of the series. 24 These properties have been calculated by means of Density Functional Theory 25 (SIESTA2.0.2 code). The crystal structure and internal geometry agreed with the range of 26 experimental values in the literature. In general, elastic stiffness constants (EC) agreed with 27 the known experimental values. ECs of different interlayer cation configurations for the 28 middle concentration sample showed very similar values, except for C_{33} , The majority of ECs, with the exception of C_{33} and C_{66} , decreased as a function of Na* [Na/(Na+K)], many 29 of which showed ideal crystalline solution behaviour, and some showed mixing terms. The 30 31 polycrystalline bulk modulus registered similar values for the end members of the series 32 and a minimum at Na*=0.5, although an estimate of the value at room temperature made 33 the Pg stiffer than Ms; while the shear modulus showed a decreasing trend as a function of

34	the Na [*] . Velocities of the sound waves lowered as a function of Na [*] . Local deformabilities
35	were also studied, where the highest deformability was found for the interlayer space. The
36	results are discussed in the framework of the mineralogical, geochemical, and geophysical
37	knowledge of the series.
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40	Keywords Muscovite-paragonite series, crystal structure, local geometries, elastic-
41	stiffness constants, bulk and shear moduli, local deformabilities, DFT calculations.
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INTRODUCTION

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47 In seisms, the velocities of the acoustic waves are functions of the elastic properties of the 48 materials they pass through, and from these two parameters it is possible to account for the 49 structure, compositions and dynamics of the Earth's shells. Thus, although the elastic 50 properties of minerals are key geophysical data, it is frequently difficult to measure the 51 exact elastic-stiffness constants (ECs), especially in natural minerals where cation 52 order/disorder, morphological, crystal-chemical, and crystal-physical heterogeneities exist 53 (Mondol et al., 2008). However, computational methods can yield reliable crystal structures 54 and ECs free of these natural heterogeneities (Karki et al. 2001; Stixrude 2002; Stixrude 55 and Peacor 2002; Weirich (2004), Ortega-Castro et al. 2010; and Millizer et al. 2011). This 56 would help explain the crystal-chemical, crystal-physical, mineralogical, and petrological 57 behaviour of minerals and aid interpretations of the seismologic data.

Phyllosilicates, found in the Earth's crust and even in the upper mantle, are also found in subduction slabs in a wide compositional range, depending on the pressure (P), temperature (T), and composition of the bulk rock. Micas are 2:1 phyllosilicates (21P) (Moore and Reynold, 1989), and because of many different cation substitutions form a major group of minerals. Micas are also one of the sources of water in the deep subduction zones.

Because of their high chemical stability, micas have major industrial applications and include properties of films measuring several microns. Elastic, tough, and thermally resistant, micas have high dielectric strength, so that they are valuable in industries related to optics and electricity. Micas show a wide compositional formula, which allows the gradation of some of their properties depending on the composition.

69 Muscovite (Ms) $[KAl_2(Si_3Al)O_{10}(OH)_2]$ is a dioctahedral mica, one of the most 70 common and abundant minerals in metamorphic rocks (metapelites, shales, micaschists, 71 gneiss, metabasites, etc.) and considered an useful petrogenetic indicator (Comodi and 72 Zanazzi, 1997). Ms is an end member of the Ms-paragonite solvus. Paragonite (Pg) is the 73 ideal end member of the series with Na⁺ instead of K⁺ as an interlayer cation. This series has substitutions on the octahedral (Oc or VI) sheet, particularly Ms, which can undergo the 74 substitution of Fe^{3+} , Fe^{2+} and Mg^{2+} , yielding celadonite and phengite series; in addition, 75 different Al³⁺ substitutions also take place on the tetrahedral (T or IV) sheet. Phengitic Ms 76

77 are stable at very high pressures in mature subduction zones, and they may be an important 78 factor in the mass transfer of K (Peacock et al. 1994; Domanik and Holloway 1996). The 79 Ms-Pg solvus changes the interlayer cations in contact with hydrothelmal solutions of 80 (Na,K)Cl, the Pg being less stable than Ms (Iiyama, 1964, Pascal and Roux, 1985). The 81 Ms-Pg series was studied to be used as a geothermometer and a geobarometer (Guidotti et 82 al, 1994; Blencoe et al. 1994). The effect of the Oc and interlayer cation substitutions on 83 the crystal structure of this series was studied by Guidotti et al. (1992) (GEA92), 84 highlighting an important lack of miscibility at the middle range of the solvus.

85 Ms was the first 21P to have its ECs measured. Aleksandrov and Ryzhova (1961), 86 by means of ultrasonic measurements, provided 9 of the 13 ECs. Employing Brillouin 87 scattering spectrometry, Vaughan and Guggenheim (1986) and McNeil and Grimsditch 88 (1993) measured the 13 independent ECs of Ms. These authors found that Ms shows 89 relatively little anisotropy along the *ab* basal plane and is much more anisotropic in planes 90 where the c axis is included. By means of empirical interatomic potentials, Collins et al. 91 (1992) calculated the ECs of Ms, and their pure normal and shear ECs being higher than the 92 previous experimental values. Militzer et al. (2011) calculated the ECs and sound velocities 93 of Ms, illite-smectite, kaolinite, dickite, and nacrite by using plane-wave methods in order 94 to interpret the anisotropy of shales.

95 In the Ms-Pg series, a study of the crystal structure, elastic properties, and internal 96 geometry changes as a function of the interlayer cations can help to interpret the 97 mineralogical, geochemical, and geophysical behaviour of this series without natural 98 heterogeneity. The suitability of this study was highlighted by Militzer et al. (2011): 99 "... Other potentially important effects to be investigated included the role of intra-layer 100 cation radius (e.g. Na substitution for K)...The elastic properties of naturally occurring 101 clays depend on the degree and type of isomorphic substitution and interlayer cations, since 102 it controls the strength of interlayer bonding.....". In addition, the detailed knowledge of the 103 internal geometry, crystal structure, and elastic properties of series can shed light on many 104 aspects of such behaviour as geothermometer, geobarometer, and geochemical reactions.

105 The present work has the following aims: i) to determine the crystal structure and 106 internal geometry as a function of the interlayer cations; ii) to ascertain the ECs as a 107 function of the interlayer cations; iii) to elucidate how order/disorder of interlayer cations 108 can influence the ECs; and iv) to explain how the internal groups are deformed as a 109 function of the normal strains and the interlayer cations.

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METHODS

113 **Computational methods**

115 SIESTA2.0.2 code (Sánchez-Portal et al. 1997; and Artacho et al. 1999; Soler et al., 2005) 116 has been used to calculate the electron density of the structure of the series by means of 117 Density Functional Theory (Hohenberg and Kohn 1964; Kohn and Sham 1965). Both the 118 internal geometry and the cell parameters have been optimized in the same run, by using an 119 algorithm based on the Broyden method. Generalized gradient approximation (GGA) with 120 the Perdew-Burke-Ernzerhof (1996) (PBE) correlation-exchange functional was used, and 121 one local density approximation (LDA) calculation on Ms has been also performed with the 122 Ceperley-Alder exchange-correlation functional (Ceperley and Alder 1980). A mesh cut-off 123 of 500 Ry and 15 and 9 k-points in the Monkhorst Pack grid were found to be adequate to 124 offer a high level of convergence in the 84 and 168 atoms cells, respectively. Troullier-125 Martins norm-conserving pseudopotentials (Troullier and Martins 1991) were used. The 126 convergence threshold of forces and stresses were 0.005 eV/Å and 0.002 GPa, respectively. 127 All calculations were performed at a pressure of 0 GPa.

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Our calculations were performed with numerical atomic orbitals, and double- ζ plus 129 polarization basis sets. The pseudopotentials and basis sets used in this work were 130 previously optimised for a set of dioctahedral 2:1 phyllosilicates (Ortega-Castro et al. 2008, 131 2009). In a previous paper (Ortega-Castro et al. 2010), the high-pressure behaviour of Ms 132 was calculated in both the local density approximation (LDA) with the Ceperley-Alder 133 exchange-correlation functional (Ceperley and Alder 1980) and GGA-PBE approach, 134 finding that the GGA yielded cell parameters closer to the experimental data than did LDA. 135 In addition, White et al. (2009) demonstrated that GGA functional showed lower energies 136 and more accurate geometries.

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138 **Elastic equations**

ECs were calculated via the generalized Hooke's law, expressed by the following tensor equation:

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 $\sigma_{ij} = C_{ijkl} \varepsilon_{kl} \qquad \forall i, j, k, l = 1, 2, 3 \equiv x, y, z \tag{1}$

143 Where σ_{ij} and ε_{kl} stand for the *ij* and *kl* terms of the stress and strain tensors, respectively, 144 and C_{ijkl} stands for the *ijkl* term of the EC tensor. This equation can be simplified in Voigt 145 notation $\sigma_p = C_{pq} \varepsilon_q$ (Nye, 1957). Our calculated ECs will be given in this last notation.

146 We employed the finite-strain method (Gale et al. 2001, Milman and Warren 2001; 147 Karki et al. 1997a, b; Adams and Oganov 2006; Oganov et al. 2001). ECs were calculated 148 using strains of ± 0.03 and ± 0.02 , with the exception of C_{33} , which was calculated with 149 strains of ± 0.005 . This last reduction in the strain came from the reduction of the linearity 150 between the stress and strain for the normal EC associated with the c axis. ECs showed an error < 2.2 GPa, with the exception of C_{33} of the samples of Na^{*} = Na⁺/(Na⁺+K⁺) = 0.25 and 151 0.50, with an error < 3.7 GPa. No thermal or pressure effects were included in the 152 153 calculations.

The bulk and shear moduli were calculated from the EC tensors with the Hashin and Shtrikman bounds (Hashin et al. 1962a, b), with the Watt and Peselnick (1980), and Watt (1979, 1980, 1987) methods. The average of both bounds could be considered a reliable value of the polycrystalline aggregate (Hill 1952).

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The bond distances and other internal geometric deformations were calculated as:

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$$\delta_{jj}^{i} = \frac{l^{i} \varepsilon_{jj} - l^{i}_{0}}{l^{i}_{0}}$$
(2)

160 where $l_{\varepsilon jj}^{i}$ is the *i* bond length, or average of internal distances or angles of one atomic 161 group, when the crystal undergoes a particular normal strain (ε_{jj}) and l_{0}^{i} is the non-strained 162 bond length. These were studied as a function of the normal strains as

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$$\delta^i_{jj} = f(\varepsilon_{jj}) \tag{3}$$

165
$$D^{i}_{jj} = \frac{\partial \delta^{i}_{jj}}{\partial \varepsilon_{jj}}$$
(4)

where δ_{jj}^{i} was fitted to a linear function of the different ε_{jj} 's by the least-squares method. The deformabilities could be considered a suitable way to analyse the internal deformations and the bonding of the crystal.

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170 Crystalline Solutions

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An important issue when seeking to rationalize the physical quantities with the chemical composition in crystalline solutions arises by the ideal additive mixing law, that for the crystal cell volume can be written as $V = \sum_{j} X_{j}V_{j}$, where V is the volume of any member

of a crystalline solution, with a composition described by the molar fraction, X_{j} , with respect to the *j*-end-members of the crystalline solutions, with volume V_{j} . In the case of two components, a variable reduction to determine a single variable can be used to formulate a linear equation ($V = A_0 + A_1X_2$). The separation of the ideal addition law, for a binary crystalline solution with the variable reduction, may also be written in the form of a polynomial equation (Waldbaum and Thompson 1968, $V = \sum_{i=0}^{3} A_i X_2^i$). This equation can be

rearranged in an ideal addition law plus a mixing term, which, for the case of symmetrical crystalline solution form ($A_3=0$), can be written:

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$$V = (\sum_{i=0}^{2} A_i)X_2 + A_0(1 - X_2) - A_2X_2(1 - X_2)$$
(5)

184 where the coefficient of the first term of the second member corresponds to the volume of 185 the crystalline solution of the independent variable end-member; the second coefficient 186 corresponds to the volume at the other end-member in the crystalline solution; and the last 187 term is considered a mixing term, ΔV_{mix} , which explains the excess of volume out of the 188 ideal solution. If $A_3 \neq 0$ the equation is written as:

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$$V = \left(\sum_{i=0}^{3} A_i\right) X_2 + A_0 (1 - X_2) - (A_2 + A_3) X_2 (1 - X_2)^2 - (A_2 + 2A_3) X_2^2 (1 - X_2)$$
(6)

190 The two first terms have the same meaning as before, and the last terms are the 191 asymmetrical mixing terms, where the coefficient of the first may be interpreted as the 192 volume excess of the independent variable end-member, and the second one as the volume

- excess of the other end-member in the binary crystalline solution. Both of them are equal to
 the Margules parameters (Waldbaum and Thompson 1968). All terms depend on pressure
 and temperature.
- For the case of cell parameters a similar ideal crystalline solution law is given by the Vegard's law ($a = \sum_{j} X_{j} a_{j}$), where *a* is the cell axis of the any member of a solid solution,

with a composition described by the molar fraction, X_j , with respect to the *j*-end-members of the crystalline solutions with a_j axes. Separation of the Vegard's law for the crystal parameters could be described by mixing terms similar to Eqs. (5) and (6).

The ECs of a solid solution should follow similar ideal crystalline solution laws (Isaak and Graham 1976, and Babuska et al. 1978) such as:

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$$(C_{pq}) = \sum_{k} X_{k} (C_{pq})^{k}$$
(7)

where (C_{pq}) is the pq EC of the any member of the solid solution and $(C_{pq})^k$'s are the pq kend-member ECs of the series. Deviation from this approach could be also approached with a mixing terms similar to Eqs. (5) and (6). If the additive law for volume is differentiated with respect to pressure, and considering, in an initial approach, that the mixing terms are not dependent on pressure, a similar additive law also results (Takahashi et al. 1970):

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$$\frac{V}{B} = \sum_{j} X_{j} \frac{V_{j}}{B_{j}}$$
(8)

where *B* and *V* are the bulk modulus and the volume of crystal cell of any member of a solid solution, while the X_j 's represent the end-member molar fractions variables, with V_j and B_j end-member values. Deviation of this law might also be approached by mixing terms. Similar expressions for the ECs can be used to analyse these properties (Babuska et al. 1978). As Babuska et al. (1978) recommended, the simplest law must be used (Eq. 7) to describe the systems, but when Eq. 7 is not sufficient to systematize the system, an equation similar to Eq. 8 can be also used:

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$$\frac{V}{C_{pq}} = \sum_{j} X_{j} \frac{V_{j}}{C_{pq}^{j}}$$
(9)

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219 Crystal models

221 The $2M_1$ Ms-Pg series model consists of a layered structure with different sheets 222 (Fig. 1a):

i) The T-sheet is composed of SiO_4 tetrahedral units, which are joined by three oxygens in a tetrahedron base (the so-called basal oxygens), forming quasi-hexagonal rings. The remaining oxygens of the SiO_4 units, which are perpendicular to the basal plane, are the so-called apical oxygens. Some Si^{4+} cations may be replaced by Al^{3+} .

ii) The Oc-sheet is composed of aluminium oxy-hydroxide octahedra. All the apical oxygens of the T-sheet are shared with the Oc-sheet, and two oxygens of the octahedron are saturated with hydrogens. In the Oc-sheet, only two-thirds of the octahedra have their centres occupied with Al³⁺ (dioctahedral phyllosilicate series).

231 iii) In Ms-Pg series, two T-sheets and one Oc-sheet form T-Oc-T layers, belonging 232 to the 21P series. The tetrahedra must rotate in order to fit both sheets properly. This fitting 233 changes the hexagonal symmetry of the T sheet to a ditrigonal symmetry. This rotation is 234 defined by the α angle. Crystal chemistry, pressure, and temperature affect the α angle 235 (Comodi and Zanazzi 1997, Mercier et al. 2006, Ortega-Castro et al. 2010).

236 iv) Interlayer spaces occur between the T-Oc-T layers. The charge imbalance caused 237 by isomorphic cation substitution in the tetrahedral sheet is compensated for by cations located in the interlayer space, such as K⁺ and Na⁺. Different proportions of these cations 238 239 occur in the Ms-Pg series. Interlayer cations are bonded to the layers by electrostatic forces, 240 the distances of bonds are the largest in the structure, and the density of bonds is the 241 smallest in the structure, so that the forces between the layers are the weakest in the 242 structure (Fig. 1a) and responsible for the main chemical and physical properties of 243 phyllosilicates.

v) The different stacks of the T-Oc-T sheets produce polytypism, the 2M₁ being the most frequent polytype in this series (Fig. 1a), although the 3T stacking is also found, and other more scarce polytypes are also described. The crystal structure in our series was of 2M₁ polytype (Fig. 1a).

vi) The structural formula of the Ms-Pg series is $[K_{1-x} Na_x Al_2(Si_3Al)O_{10}(OH)_2]$. We have calculated the elastic properties of five members of the series: Ms (x = 0), three intermediates with different concentrations of K⁺ and Na⁺ (x = 0.25, 0.50, and 0.75) and Pg (x = 1). Calculations for x = 0, 0.5, and 1 were performed with 1×1×2 supercells (84 atoms/supercell, Fig. 1a); while for x = 0.25 and 0.75 larger cells were needed, so $1 \times 2 \times 2$ supercells (168 atoms per supercell) were built. All systems are given in the input file as a single unit cell.

vii) In our $2M_1$ model, two interlayer spaces are included (Fig. 1a). For the x = 0.50compound different interlayer cation configurations are studied. The interlayer cation configuration in Figure 1a is called **c0**.

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RESULTS AND DISCUSSIONS

- 260 Structure
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262 1. Crystal structure. Table 1 provides structural information on the calculated unit cells, 263 comparing them with the known experimental data of Ms and Pg. By plotting the *a* cell parameter as a function of Na^{*} [Na/(Na+K)] (Fig. 2a), a general quadratic behaviour was 264 265 found, showing a symmetric mixing term, smaller than the symmetric mixing term of 266 GET92 (0.1 Å). However, from the data of Flux and Chatterjee (1986) and Roux and Hovis 267 (1996), we calculated the asymmetric mixing terms by fitting to a cubic equation (0.009)268 due to the parameter excess of Ms and -0.021 due to Pg, and 0.002 due to Ms and +0.066 Å 269 due to Pg, respectively) slightly different from those resulting from our data (0.08 and -0.01 270 due to Ms and Pg excess, respectively). Values in the work by Flux and Chatterjee (1986), 271 GEA92 (up to Na^{*}=0.3), Roux and Hovis (1996), and other authors are included in Figure 272 2a. Our values closely parallel those of the Flux and Chatterjee (1986), GEA92, and Roux 273 and Hovis (1996) values and are between the values of the other experimental values 274 included in Figure 2a. GEA92 found a = 5.186 Å (average value of 5.187 Å) for Ms, which 275 agrees with our calculated value of 5.187 Å. Although the GEA92 samples in Figure 2a had 276 no octahedral substitutions, most of the different authors' samples showed octahedral 277 substitutions, which can influence the cell parameters. The b axis as a function of Na^{*} (Fig. 278 2b) showed a similar behaviour to that of a, with a higher quadratic coefficient. Therefore, 279 the mixing of both cations exerted stronger effects on the b than on the a axis. Our 280 symmetric mixing term (0.08 Å) was similar to the GEA92 term (0.0515 Å) and to the 281 Flux and Chatterjee (1986) term (0.044 Å). However, Roux and Hovis (1996) found

282 asymmetric mixing terms when a cubic polynomial was fitted to their data (-0.142 for the 283 parameter excess of Ms and 0.20 Å for Pg), slightly different from ours parameter excess 284 (+0.06 for Ms and 0.12 Å for Pg). The b = 9.006 Å of Ms was consistent with the values found by GEA92 (8.991 Å, and 8.992 Å for the average). These authors did not provide any 285 286 values or functions for a and b for Pg's. Eugster et al. (1972), in 1M polytypes, gave the ab 287 in Å² as a function of Na^{*}, finding a symmetric term very close to our term (0.83 and 0.73 Å², respectively). The parameter $\frac{1}{2}csin\beta$ showed a clearly linear behaviour (Fig. 2c), 288 289 where our slope was of the same order as the linear coefficient of the Ms' of GEA92. 290 However, GEA92, Flux and Chatterjee (1986) and Roux and Hovis (1996) found 291 symmetric mixing terms. The experimental $\frac{1}{2}csin\beta$ values for the Ms and Pg end-members of the series were, respectively, 10.027 Å and 9.610 Å, and our calculated values were 292 10.028 Å and 9.533 Å (deviation of 0.8%), respectively. Although Ms computational 293 294 values were identical to GEA92's values, the increased Na* values were higher than ours 295 (Fig. 2b). Computational values were between different authors' values in the region of 296 Ms'. In this cell parameter, the weak interactions of the interlayer space were included, and 297 these interactions were determinant for the values of $\frac{1}{2}csin\beta$, so that the approach used overestimated the electron density in the interlayer space when Na* increased. The volume 298 of the cell as a function of Na^{*} (Fig 2c) showed a net quadratic behaviour with a downward 299 concavity, which led to a symmetric mixing term of $\Delta V_{mix} = 14.7$ Å³ close to the Flux and 300 Chatterjee (1986), and Roux and Hovis (1996) symmetric mixing term (15.8; and 30.0 Å³, 301 302 respectively). Eugster et al (1972), for 1M polytypes, gave two fittings for the volume: i) 303 with a symmetric mixing volume [26.15 Å³ (extrapolated to the equivalent volume of the 304 2M1 polytype)]; and ii) with asymmetric mixing terms [34.99 due to the Ms and 22.84 $Å^3$ for the Pg excess of volume (extrapolated to the equivalent volume of the 2M₁ 305 306 polytype)]. In general, the mixing behaviour is similar to that calculated from the 307 literature. Clearly, the mixing term on the volume came from the effects of the substitution on the *ab* plane. Because of the positive sign of the ΔV_{mix} , in an increasing 308 309 pressure system, any member of the solid solution would migrate to K-richer compositions 310 (GEA92, and Guidotti et al. 1998). The average values of GEA92 for the Ms and Pg samples were 935.0 and 876.8 Å³, respectively, and our values were 937.0 and 868.8 Å³ 311

312 (deviation of 0.2% and 0.9%, respectively). LDA parameters showed larger deviations,

313 especially those concerning to $\frac{1}{2}csin\beta$ and volume (4% and 7%, respectively).

The linear function slope of $\frac{1}{2}csin\beta$ as a function of Na^{*} was 17-fold larger than a 314 and b slopes, indicating the great anisotropy of these minerals, the importance of the layer 315 316 stacking, and the effect of the cations in the interlayer space. In addition, the effective 317 cationic Na⁺ radius (for a coordination number of 12, r = 1.39 Å, Shannon, 1976) is smaller than that of K^+ (r = 1.64 Å, Shannon, 1976), hence the increase of the r(Na⁺)/r(K⁺) ratio 318 319 affects the c axis, in a stronger way than the a and b axis. Therefore, the crystal-chemistry 320 behaviour of the series was similar to the experimental behaviour (GEA92). The 321 computational situation could be considered to be a model behaviour for the series, free of 322 cationic heterogeneity, order/disorder, miscibility gap, and morphology of the sample.

2. Local geometry. The local crystal-chemical and structural properties evolve as a function 323 324 of the concentration of an element in a solid-solution rock-forming mineral series and they 325 are related to the thermodynamic properties and stability of the minerals. However, most of 326 the experimental studies associated with these properties use macroscopic and thus 327 heterogeneous samples, giving average values of these properties. The knowledge of these 328 properties in a real local environment free of the order/disorder and average compositional 329 effects is key to determine the micro- and even the nano-behaviour. Computational studies, 330 at a high theoretic level, can yield precise information on this matter, offering a detailed 331 explanation for the local crystal-chemical behaviour of the crystalline solutions (Geiger, 332 2008), which in many cases is not provided by the experimental techniques. Therefore, we continued the study of our series by examining the local geometry as a function of Na^{*}. 333

334 The <O-H> distance values were 2% higher than the experimental values and they 335 were constant throughout the series. This could be because of: i) the dangling nature of 336 these bonds in the structure; and ii) the hydrogen bonds that the H atoms form with the 337 nearest oxygens (Mookherjee, 2001, Botella et al. 2004), which remain in average constants 338 along the series. The average bond length between tetrahedral cations and their oxygens, 339 <T-O>, were less 1% higher than the experimental values. In general, most of these 340 distances are overestimated with respect to the experimental known data, which could come 341 from the general known trend of the GGA method to overestimate the covalent bonds in 342 molecules (Tran et al. 2007). The average distance increased as a function of Na*, in

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agreement with the experimental behaviour of the end members of the series. $<Al^{3+} - O>$ distances calculated in the Oc-sheet were also close to the experimental value (deviation <1%), following the same trend as <T-O> as a function of Na*.

346 Owing to the ditrigonal symmetry of the cavities, two types of distances could be 347 defined from the interlayer cations (X) to the basal oxygens: i) the distance to the farthest oxygens (X···O_{outer}); and ii) the distance to the nearest oxygens (X···O_{inner}). Our calculated 348 <K···O_{outer}> and <K···O_{inner}> were overestimated (2%) and underestimated (3%), 349 respectively, with regard to the highest experimental values (Table 1); however, the 350 351 corresponding <Na···O> distances were both lower by 5% and 6%, respectively, compared to the experimental data. The behaviour of these distances with respect to Na^* was 352 approximately linear, decreasing with increasing Na^{*}. The differences between the two 353 distances, $\Delta < X \dots O > = < X \dots O >_{outer} - < X \dots O >_{inner}$, as a function of Na^{*} are shown in Figure 354 3a. At increasing substitution, $\Delta < X \cdots O >$ and their slopes are larger in Na⁺ than in K⁺ 355 cavities. These variations could be due to the fitting of the cavities to the Na⁺ size, and, 356 consequently, induce a lack of fitting in the K⁺ neighbour cavities, introducing some 357 358 stability/instability with respect to the different cavity type in the mineral. This effect could 359 be related to the gap of miscibility at the middle concentration range of the series. In 360 general, our values showed larger values than the experimental values (Fig. 3a).

361 Another way to study the interactions of the interlayer cations and the basal oxygens 362 was the average tetrahedral rotations ($<\alpha>$), which were overestimated for the Ms and Pg 363 values, a small deviation appearing for the last samples (Fig. 3b, Table 1). The α angle of both Na^+ and K^+ ditrigonal cavities increased as a linear function of Na^* (Fig. 3b, Table 1), 364 the values and slope of $\langle \alpha \rangle_{Na}$ being higher than $\langle \alpha \rangle_{K}$, indicating that the higher 365 charge/radius ratio of Na⁺ with respect to K⁺ increased the tetrahedral rotation (Muñoz-366 367 Santiburcio et al. 2011), and the α angle would work as a hinge to open or close the 368 tetrahedral cavities, depending on the cation inside. All this indicates that the variation of 369 tetrahedral rotation in the K⁺ cavities as a function of Na* could be induced by rotations of the neighbouring Na⁺ cavities. Therefore, a better fitting between both T- and Oc-sheets 370 occurred as the Na⁺ substitution increased (GEA92). In addition, substitutions in the Oc-371 372 sheet resulted in a decreasing of α and therefore these Oc-substitutions would not be

favoured in higher Na-substituted members, which could explain the scarcity of Oc-substituted Pg's.

The difference of radii of the substitution cations, the rotation of the polyhedra of the host place in the crystal structure, and the subsequent possible induction of stability/instability in the crystal structure, producing a the gap of miscibility, was also found in the KNa-hollandite, in which the medium- and high-concentrate Na hollandites were not found to be stable (Mookherjee et al. 2009b and Deng et al. 2010) or were found under very special conditions, as in meteorites.

381 Volumes of the Si and Al³⁺ tetrahedrons were also close to the experimental values 382 (Table 1) and increased as a function of the Na^{*}. However, the Al³⁺ octahedrons showed an 383 opposite behaviour.

The Oc-, T-sheet, interlayer thickness, and layer volumes were also close to the known experimental values, but the interlayer thickness was lower than the experimental values, just noted in the $\frac{1}{2}csin\beta$ parameter analysis. However, the deviations were larger than the $\frac{1}{2}csin\beta$ deviations, which were compensated for by the octahedral thickness deviation which went in the opposite direction. The basal oxygen corrugation (Δz) showed the largest deviations from the known experimental data. Most of them showed a general declining trend with respect to increasing Na^{*}.

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392 Elastic-stiffness constants

Table 2 shows several sets of previous experimental and computational ECs. The geometrical setting of the crystal in Cartesian coordinates is $c \mid |z|$, and b in the yz plane. Although all calculations were performed in the P1 group, the ECs showed monoclinic symmetry (Nye, 1957).

The 13 non-zero independent ECs of the Ms-Pg series are sorted into five groups: i) $C_{ii(i\leq3)}$ pure normal ECs; ii) $C_{ii(3\leq i\leq6)}$ pure shear ECs; iii) $C_{ij(i\neq j, \leq3)}$ mixed normal ECs; iv) $C_{i5(i\leq3)}$ mixed normal-shear ECs; and v) mixed shear ECs, where C_{46} is the only non-zero member by symmetry considerations (Nye, 1957).

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402 *1. ECs of Ms.* In the first group of ECs two sets were distinguished:

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- 405 406 with the equal values determined by Aleksandrov and Ryzhova (1961). These 407 ECs were slightly higher (1%) than those of Vaughan and Guggenheim (1986), 408 and McNeil and Grimsditch (1993) (Fig. 4a). The low deviation of these ECs 409 could come from the low deviation of the a and b axes with respect to the 410 experimental values of GEA92. However, the deviations of our cell parameters 411 with respect to those of Vaughan and Guggenheim (1986) were greater, despite 412 that their sample showed more octahedral and interlayer substitutions than in 413 our sample. This was not taken into account in our calculations, and could induce other crystal-physical and crystal-chemical effects. Besides, the 414 415 temperature effect was not taken into account in our calculations. Our 416 computational values showed a maximum deviation of 7% with respect to the 417 model 1 (GGA, P = 0 GPa, E-E_i=0 eV) values of Militzer et al. (2011). These 418 deviations could come from the different geometry, the plane-wave basis set and 419 electronic approaches used in those calculations. Nonetheless, they used as reliable values those coming from the LDA approach and the experimental cell 420 421 parameters.
- The C_{33} value was approximately 2.5-fold lower than those of C_{11} and C_{22} 422 ii) 423 (Table 2). This low value of C_{33} could be due to the following factors: 1) the 424 stacking of layers and the interlayer space introduced between them along the c 425 axis; 2) the possibility of penetration of the cations in the ditrigonal cavity; 3) 426 the attractive Coulomb forces between interlayer cations and layers; and 4) the 427 repulsive forces between the basal oxygens on both sides of the interlayer space. 428 The value of C_{33} of Ms deviated from the maximum experimental value by 429 approximately 11%, but elastic properties of the calculated mineral were 430 approximately the same as the natural mineral (Fig. 4b). Nonetheless, other 431 higher values have been reported for the elastic modulus of Ms: 79.3 GPa 432 (determined by the nanoindentation technique in the direction normal to the 433 basal plane, Zhang et al. 2009,) and the highest value of 100.8 Gpa (Mavko et

434 al. 1998). However, if we match our $\frac{1}{2}csin\beta$ value with that of Vaughan and 435 Guggenheim (1986), their parameter is shorter than ours, which could yield a 436 higher value of C_{33} , although the variety of octahedral and interlayer cation 437 substitutions of the sample used by Vaughan and Guggenheim (1986) and the 438 temperature effect could have caused the lower value of C_{33} . In this sense, 439 though, the intrinsic approaches at the DFT method used in this computational 440 study should not be disregarded. On the other hand, White et al. (2009) showed 441 reliable internal geometries by using the experimental crystal structure and 442 optimizing the internal atomic geometry, as a consequence a pressure of ~ 1 443 GPa appeared in the cell. Millitzer et al. (2011) followed the same technique to 444 compute their ECs. In our study, however, we established very accurate cell 445 parameter values for Ms, when they were matched with the GEA92 values, and 446 a pressure < 0.002 GPa was found in our cell. Therefore, the resulting 447 differences in our EC values with respect to the experimental values might be 448 explained in the inherent approaches of the DFT method used in this paper and 449 the lack of substitution out of the interlayer cation substitutions.

In the pure shear ECs, C_{66} was the stiffest, with values very close to the experimental ones. C_{44} and C_{55} were lower than C_{66} and overestimated with respect to the Vaughan and Guggenheim's (1986) and Millitzer et al.'s (2011) values (Fig. 4c and d). The involvement of the *c* axis in the shears of C_{44} and C_{55} was possibly responsible for their higher values compared to experimental.

In the mixed-normal EC group, C_{12} , C_{13} , and C_{23} , our values were higher than the 455 456 experimental values (Vaughan and Guggenheim 1986, and McNeil and Grimsditch 1993) 457 (Fig. 4e). Nevertheless, the relationships between them (those of C_{i3} were approximately 1.7-fold lower than those of C_{12}) showed a trend quite similar to that of the experimental 458 459 data. However, Collins et al. (1992) from calculation based on empirical potentials 460 provided a value of C_{12} approximately 4-fold greater than the other two constants. The 461 involvement of the c axis in our C_{13} , and C_{23} values should be the origin of the high values 462 found.

463 The mixed normal-shear constants, C_{i5} ($i \le 3$), were roughly comparable to the 464 experimental values of Vaughan and Guggenheim (1986) (Fig. 4f). Meanwhile, C_{46} showed 465 a negative value as the experimental value of Vaughan and Guggenheim (1986) (Fig. 4c).

In general, our crystal structure and ECs agreed with the range of experimental values in Ms, in symmetry, individual values, and relative values between them. However, some misfits are shown, although the elastic behaviour of our computational mineral was approximately the same as that of the experimental mineral.

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471 *2 Influence of the interlayer cation ordering on the ECs.* Different configurations for the 472 Al^{3+} substitutions in the tetrahedral sheet are possible. Millitzer et al. (2011) have 473 demonstrated that ECs are not affected in a meaningful quantity for the different Al^{3+}/Si 474 configurations of the tetrahedral sheets. However, the order/disorder effect of the ECs 475 related to the interlayer cations have not yet been studied. We investigated this 476 phenomenon in a simplified way by calculating the ECs in different cation configurations 477 within the interlayer space.

The interlayer cation configuration used in our periodic cell models at Na^* = 478 0.25/0.75 included one Na⁺ or K⁺ in the sequence of K⁺'s/Na⁺'s. Therefore, the Na*=0.5 is 479 480 the only member of our models which admits meaningful different interlayer cation configurations. In this sample, the Na^+ and K^+ were taken initially in an alternating 481 482 configuration along the b axis (c0, Fig. 1a). From this model, three additional systems were 483 designed: i) by duplicating the b axis of configuration c0 (Fig. 1a), and modifying the order 484 of the cations in the lowest interlayer space of the supercell by joining two cations of the 485 same atomic number, leaving the upper interlayer space of the model unchanged, the **2bc1** 486 configuration was established (Fig. 1b); ii) by duplicating the a axis and alternating the 487 cations in the highest interlayer space, and leaving the lowest interlayer space of the model 488 as before, the **2ac1** configuration was established (Fig. 1c); and iii) one interlayer space containing only Na⁺ atoms and the other only K⁺ atoms (Fig. 1d). This last configuration 489 490 could be considered an interstratified mineral model, in which Ms and Pg are segregated 491 into different layers.

Table 3 shows ECs of the **c0**, **2ac1**, **2bc1** and interstratified configuration. The crystal structure and internal geometry are completely optimized. The **c0** arrangement 494 showed the lowest Total Energy (TE) of the three configurations (c0, 2ac1, 2bc1). The cell 495 parameters of the three configurations showed very close data. For $\frac{1}{2}csin\beta$, these small 496 differences might give the quadratic functional trend, as found by GEA92. These 497 configurations had very similar ECs (the maximum difference being approximately 1 GPa) 498 with the exception of C_{33} , in which **c0** and **2bc1** show the lowest and the highest values, 499 respectively, with a difference of 4 GPa (5%). Nonetheless, the difference of TE between 500 the two configurations was small, and both of them could be present in the mineral, so that 501 C_{33} would increase its value in consequence. The bulk and shear moduli of the 502 polycrystalline aggregate did not show such an increase (Table 3). In any case, our 503 configurations changed only in one interlayer, so that if both interlayers had the same 504 configuration we could expect a still greater increase in C_{33} . Therefore, we could expect 505 that a configuration with alternated cations along the b axis and aggregated cations along 506 the *a* axis would present a lower C_{33} . The other ECs and the moduli should change in small 507 quantities. However, the interstratified configuration showed the lowest TE and larger 508 changes in the ECs. The lowest TE of this compound with respect to the other 509 configurations could be partially responsible for the lack of natural Ms' and Pg's with 0.38 510 <Na*< 0.85 (GEA92). The uniformity of tetrahedral rotation angle, α , on each surface 511 could also stabilize the structure, explaining the trend of both minerals to segregate in the 512 middle concentration range.

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514 3. ECs of the Ms-Pg series. C_{11} follows an increasing trend with increasing Na^{*} up to reach 515 $Na^*= 0.5$, afterwards decreasing, where the Pg reaches a value lower than the Ms (Table 2, 516 Fig. 4a). C₂₂ fluctuates in a 2 GPa range, the value for Pg being approximately equal to Ms. Both ECs can be approximately described by Eq. 9, with symmetric mixing terms 517 $\Delta \left(\frac{V}{C_{11}}\right)$. Because of the negative and positive signs for V/C_{11} and V/C_{22} , the mixing 518 519 terms might be considered as a defect and an excess of V/EC, respectively (Fig. 4a). Both 520 ECs should not vary greatly along the series because of the stiff covalent bonds. However, 521 the geometry of the layer changed along the series because of the shifts in the tetrahedral

522 rotation induced by the interlayer cation substitution. The importance of the change in

geometry along the series was highlighted in the volume used in Eq. 9 to systematize the behaviour throughout the series.

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 C_{33} increased as a function of Na^{*} (Fig. 4b), which is consistent with the higher ionic 525 526 potential of the increasing concentration of the smaller cations. In this representation the 527 value of Na^{*}=0.5 is outside of a possible regular quadratic function fitting; possibly the different values of α in the different Na⁺ and K⁺ cavities could yield the especially low 528 value. This EC also can be described by Eq. 9 (Fig. 4b), with values of the mixing term 529 530 due to a symmetric excess of V/C_{33} . The higher C_{33} value of Pg could be explained as follows: i) Na cations possess a higher charge/radius than K⁺, the Na⁺ layer interactions 531 532 being the strongest; and ii) the two basal surfaces of Pg at both sides of the interlayer space 533 are the closest, so that in Pg the repulsive forces of both oxygen basal surfaces will be the 534 highest when the crystal undergoes a compressive strain along the *c* axis.

The elastic behaviour of C_{44} and C_{55} as a function of the Na^{*} followed approximately a decreasing linear trend with Na^{*} (Fig. 4c), associated with an ideal addition mixing behaviour (Eq. 7). Similar behaviour was also observed with C_{46} (Fig. 4c). C_{66} showed values within a narrow range (1.3 GPa), and its behaviour as a function of Na^{*} was increasing and approximately linear, taking into account the three first terms and the last one. However, the point at Na^{*} = 0.75 showed a minimum (Fig. 5d). Nonetheless, C_{66} was described by Eq. 9 without any mixing term.

The elastic behaviour of mixed normal ECs C_{12} , C_{13} , and C_{23} showed a regular decrease from Ms to Pg (Fig. 4e). C_{13} fitted to an ideal addition crystalline solution law (Eq. 7), and C_{12} and C_{23} showed the same behaviour with similar excess mixing terms (Fig. 4e).

545 C_{15} and C_{25} showed a decreasing trend with excess mixing terms (Eq. 7, Fig. 4f). 546 C_{35} showed a maximum at Na*= 0.5, and it was not able to be fitted by any simple 547 function.

Therefore, most of the ECs decreased as Na^{*} increased, with the exception of C_{33} and C_{66} , which showed the opposite trend, and C_{11} , C_{22} and C_{35} , which acted irregularly. Both Eq. 7 and 9 were fitted in different ECs. Most of the mixing terms were in excess, except for C_{11} .

552 The bulk modulus of the polycrystalline aggregate of Ms showed larger values (68.4 553 GPa) than those of Vaughan et al. (1986) (Table 2). The last value came from the

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555 sample in that experiment showed many more cations in different concentrations than our 556 ideal sample. This and the heterogeneity of the sample could contribute to the elastic 557 properties and consequently to the calculated bulk modulus. Besides, in literature there are 558 many values for the bulk modulus for Ms and phengite micas (Table 4), from the lower 559 value of 52 GPa (Sekine et al., 1991), from shock wave experiments, to the higher value of 560 61.4 GPa (Faust and Knittle, 1994), from diamond anvil experiments with X Ray 561 diffraction. This dispersion of data (Table 4) could be attributed to the different samples, 562 heterogeneities, techniques, compositions and powdered or single-crystal samples. By 563 means of DFT calculations with pressure applied to a crytal with periodic conditions up 564 to 6 GPa, a value of 60.1 GPa was obtained (Ortega-Castro et al. 2010), close to the 565 value of Faust and Knittle. The present value for Ms coming from the application of the 566 Hashin-Schtrikman-Hill approaches to the DFT-ECs is out of the range of the known 567 values of bulk modulus of Ms, which could come from the ideal composition, 568 computational model, and physical approaches used in this work. Nonetheless, DFT 569 calculations were performed at 0 K, and the bulk modulus generally decreases at increasing temperature. From Comodi et al. (2002) in Ms there was a $\left(\frac{\partial B_T}{\partial T}\right)_p = -0.0146$ 570 571 GPa/K between 298 and 873 K, which could be considered linear from 0 K, and it could 572 yield an estimate of Bo, at 298 K, of 64.0 GPa, closer to the experimental values than the value at 0 K (Table 4). In Pg, $\left(\frac{\partial B_T}{\partial T}\right)_{p} = -0.0109$ GPa/K (Comodi et al. 2002) could also 573

574 yield an estimate of 65.5 GPa, at room temperature, closer to the experimental values 575 (65.0 GPa ,Comodi et al. 1997, and 59.9 GPa Comodi et al. 2002) than the value of 0 K. 576 The effect of temperature softened the computational bulk modulus values and can aid 577 to explain the differences with the experimental values. Figures 4g showed the bulk modulus of the polycrystalline compounds as a function of the Na^{*}, which behaved 578 irregularly, with a minimum at 0.5. This lower resistance to the pressure of the middle 579 concentration sample could bring these minerals to migrate towards richer K⁺ or Na⁺ 580

581 minerals. This again could be a hint to understand the gap of miscibility in this series. 582 Nonetheless, the order/disorder effects would increase the modulus value, but not to a large 583 extent. The shear modulus of Ms was much closer (37.9 GPa) to the values of Vaughan and Guggenheim (35.3 GPa). Figures 4h showed the shear modulus as a function of the Na^{*}. 584 585 which decreased regularly with increasing Na*. By DFT methods, a similar softening as a 586 function of Na* was noted in phase-X [(K,Na)₂Mg₂Si₂O₇, Mookherjee et al. 2009a]. Therefore, polycrystalline samples of Ms-Pg and phase-X series richer in K⁺ appeared to 587 588 undergo the shear stress better than did the samples richer in Na⁺.

589 From these data the sound velocities can be determined, where the compressional and 590 shear velocities (m/s) (Karki et al. 2001) decreased as a function of Na * (Fig. 5).

591 4. Discussion. In mafic, ultramafic and sedimentary rocks of subducted slabs, Na-K white 592 micas are widespread. If the rocks are formed by a polycrystalline phase of the Ms-Pg 593 series minerals, the members at the middle concentrations showed less resistance to the 594 pressure and, depending on the mineral assemblage, the pressure and the temperature, these 595 middle members could migrate to lower or higher Na* or segregate into interstratified 596 minerals. All of these could contribute to cause the miscibility gap in the series. In addition, 597 it is well known that Pg's show a very restricted stability P-T range in high-pressure and 598 high-temperature experiments (Domanik et al. 1996). Particularly during the prograde 599 metamorphism of metapelites and metarhyolites of subsuction zones, Pg breaks down at 600 2.0-2.5 GPa and 550-700°C, forming kyanite and omphacite. Meanwhile, Ms and phengite 601 show broad stability in the P-T compositional space. The nature of the mineral, the 602 chemistry of the mineral assemblage, and the physical and chemical properties of the Pg 603 minerals play an important role in the P-T existence range, but resistance to pressure should 604 also be important in this solvus. Since the bulk modulus of the series was found to be 605 higher at the Pg's, with temperature effect estimation, which should be more stable with 606 respect to pressure in the rocks. However, event though the shear stress is not important at 607 hydrostatic pressure, the layered structure and the weak forces between layers of these 608 minerals could make that the lower shear and mixed ECs for Pg's be meaningful, thereby 609 Pg's would be weaken at increasing pressure. Therefore, the differences in the shear and 610 mixed ECs, the limited value of the Na⁺ in the Ms' minerals, the tendency of the series to move to K-richer minerals, and the incorporation of the Mg²⁺ to the Oc sheet of phengites 611

bolster the stability of the members richer in K⁺, and they became much more widespread in different lithologies. Both the Ms and Pg members of the series could admit Mg²⁺, Fe²⁺, and Fe³⁺ substitutions in the Oc sheet, but the high distortion of the α angle in the Pg samples should transform these "Oc-substituted-Pg's" into unstable minerals. Nasubstituted Ms' underwent the unstabilization better than in the case of K-substituted Pg's. This unstabilization could also contribute Pg's to have their field of stability bounded in the P-T compositional spaces.

619 On the other hand, if (001)-oriented aggregates could be found in shales and in schists, 620 the higher value of C_{33} in Pg's could make them more resistant to the direct pressure. In this 621 case, Pg's would increase the stability range in the P-T composition space, and the system 622 would possibly evolve to Na-richer-substituted members at high pressure. Nonetheless, 623 event though the shear stress is not important at hydrostatic pressure, the layered structure 624 and the weak forces between layers of these minerals could make that the lower shear and 625 mixed ECs weaken Pg's with respect to the Ms', setting a balance with the C_{33} effect. All of 626 this increases the anisotropy in shales and schists.

As with other phyllosilicates (e.g., talc, chlorite, and phase A) in subduction settings (Mainprice and Ildefonse, 2009), this series is highly anisotropic for seismic P- and Swaves. Despite its highly anisotropic character, compared to other phyllosilicates in subduction settings, it is very unlikely that Pg would exert a strong influence on the seismological properties of subduction, because of its low volume fraction and its limited stability along the common P-T-path of subducted crust.

633 Because of the higher resistance of Ms'/phengites, and in certain mineral assemblages, 634 they are important to transport high amounts of volatiles to high P and T regions (Poli et al. 635 2002). At high pressures and over broad temperature intervals, Ms'/phengites can react to 636 K-hollandite, a key mineral in the subducted sediment. In this way, Ms'/phengites could be 637 one of the links in the K transport to K-hollandite, and the latter may move K from the 638 deepest part in the subduction slabs to the upper mantle wedge in transporting K as a 639 signature element (Mookjerhee et al. 2009b). All of this appears to agree with the depletion of K⁺ from the silicates on Earth to the core (Bukowinski, 1976; Lee and Jeanloz, 2003; 640 641 Murphy et al., 2003; Lee et al., 2004).

643 Normal Strains

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645 Strains affect the bonds and the atomic groups according to their bonding. Therefore, if the 646 change in bond lengths and atomic group geometry are studied as a function of the strains, 647 a bonding picture inside the crystal would result. Cousins (1978a, 1978b, and 1981) and 648 Catti (1989) studied the inner elasticity, calculating the inner elastic-stiffness constants 649 associated with the atoms or atomic groups. In the present work, our aim was to establish a 650 landscape of the bonding by studying only the deformation of bonds or atomic groups from 651 the strained cells, according to Eqs. 2-4 after the optimisation of the internal atomic 652 coordinates.

Because of the layered structure, C_{33} showed the most important variation as a function of Na*, and the normal stiffness along the *z* axis was the softest. Therefore, only the most notable deformations and normal strains along the *z* axis were shown, with the exception of the $<\alpha>$ angle variations.

Deformabilities as a function of Na^{*} are shown in Table 5. Tetrahedra rotated 657 658 according to the xx or yy strains in an effort to fit the T- and Oc-sheet when the cell was 659 strained, and $<\alpha>$'s showed negative deformabilities (the value of $<\alpha>$ decreases from the negative to positive ε_{xx} or ε_{yy} strain). The intercepts of the previous equations applied to K⁺ 660 cavities was the $<\alpha>$ deformability of Ms, and the deformability of the Na⁺ cavities could 661 be considered as the deformability at the limit when $Na^* \rightarrow 0$. The tetrahedral rotations of 662 663 the Na⁺ cavities were, in absolute value, more deformable than those of K⁺'s and decrease along the series (Table 5), which agrees with the greater slopes $\Delta(\text{Na}\cdots\text{O})$ and $\langle \alpha \rangle_{\text{Na}}$ as a 664 function of Na* (Fig. 3). For ε_{zz} the $<\!\!\alpha\!\!>$ deformability behaviour was the opposite and 665 666 smaller than that of ε_{xx} - and ε_{yy} -strains (Table 5). When the strains were applied along the z axis, where the weakest bond occurs, the $<\alpha>$ showed the lowest deformability. 667

The ε_{zz} strain induced linear deformations on $\langle T - O \rangle$ and $\langle VIAI^{3+} - O \rangle$ and their deformabilities ranged from 0.02 to 0.1 (Table 5). The $\langle IVAI - O_{basal/apical} \rangle$ were more deformable than the $\langle Si - O_{basal/apical} \rangle$, and the $\langle T - O_{apical} \rangle$ was less deformable than the basal one. The deformability variation as a function of the Na^{*} showed different behaviour (Table 5): while the $\langle T - O_{basal} \rangle$ decreases with the increasing Na^{*}, the $\langle T - O_{apical} \rangle$ increased. The $\langle VIAI^{3+} - O \rangle$ showed the lowest deformability of these bonds.

The ε_{zz} strains induced larger deformabilities at $\langle X^+ \cdots O_{outer} \rangle$ and $\langle X^+ \cdots O_{inner} \rangle$ than 674 675 the previous distance deformabilities, between 3- and 10-fold larger than the previous 676 deformabilities (Table 5). The Coulomb forces between the interlayer cations and the 677 oxygens in the tetrahedral cavities were revealed with these large deformabilities. In 678 general, the $\langle X^+ \cdots O_{inner} \rangle$ were greater than the $\langle X^+ \cdots O_{outer} \rangle$ deformabilities, which agreed with their thermal expansion coefficients (Mookherjee et al. 2002, Catti et al. 1989). The 679 680 deformabilities decreased with increasing Na^{*}, and in the Na cavities did so with higher 681 slope than the K cavities.

682 T- and Oc-sheet thickness deformabilities registered values according to the <T-O> deformability, increasing their values with Na^{*} (Table 5). However, the interlayer space 683 684 showed remarkable deformations, with slopes of around 2.6, indicating that the interlayer 685 space was a heavily deformable region, which showed strong thermal expansion 686 [Mookherjee et al. 2002 (phengite- $2M_1$), Catti et al. 1989 (muscovite)]. This means once 687 again that this was the weakest bonded region in the mineral. This easy deformation could 688 be related to the possibility of swelling in the phyllosilicates, in such a way that other 689 foreign molecules (such as water, polar molecules, etc.) could enter the interlayer space. 690 However, this mineral series does not swell in a detectable way, and if the Ms-Pg series 691 could deform its interlayer space to such a degree, we might expect larger deformabilities 692 in typical swelling phyllosilicates, such as smectites. Furthermore, the small deformability 693 of $<\alpha>$ as a function of ε_{zz} could be accounted for by the high deformability of the 694 interlayer space, where any deformation along c axis affected mainly the interlayer space, 695 and the layers did not undergo any meaningful deformation.

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The calculated crystal structure, bond lengths, angles, and other geometrical parameters were very close to the experimental range of values. The computational crystal chemistry showed a similar behaviour to that of natural minerals, even though in nature a miscibility gap appears, and therefore our series could be considered to be a general ideal series. The importance of the crystal-chemistry behaviour on the anisotropy of the crystal is shown. The differences of the geometrical features in the Na⁺ and K⁺ tetrahedral cavities could

CONCLUSIONS

induce an inertial behaviour to the octahedral substitution in the most Na-substituted
 members of the series, which agrees with the lack of octahedral substituted Pg samples.

707 Theoretical ECs agreed approximately with Ms values in the literature. The change 708 of the ECs with the different interlayer cation configurations assayed in this work is small, 709 with a maximum deviation of 5% from the lowest to the highest values. Some of them 710 could be present in the mineral, where cation-aggregation regions could appear. However, 711 the interstratified sample shows the higher EC values and the most stable total energy, and 712 therefore a possible Ms and Pg segregation could be induced at the middle concentration 713 member of the series. In general, the behaviour of ECs along the series appeared to have a 714 decreasing stiffness with the increasing Na^{*}, some of them showing linear behaviour. C_{33} 715 and C_{66} increases with Na^{*}. Most of them showed a deviation of the ideal crystallinesolution addition law, with mixing terms depending on the concentration, and in some of 716 717 them the volume was an important variable to systematize the system. The bulk moduli of 718 the polycrystalline aggregates showed small differences in the lowest and highest members 719 of the series, with a minimum in the middle concentration member, but with an estimate of 720 the bulk moduli at room temperature, the Pg turned out to be stiffer than Ms. So the 721 geometrical features, the stiffness and the stabilities of the interstratified sample at the 722 middle concentration range seem to justify the gap of miscibility in the series. The shear 723 modulus showed a moderate decrease along the series. The lowest shear and mixed ECs of 724 the Pg's could justify the low range of existence of these minerals in the P-T space. The P 725 and S velocities decrease as a function of Na*.

Normal strains, ε_{ii} , affected the bonds or other geometrical features of the structure according to their bonding. The strongest bonds showed the smallest deformabilities, these being less than one tenth of the ε_{ii} . Average distances between the basal oxygens and interlayer cations showed a high deformability in agreement with the weak interactions. The deformability of the interlayer space is the largest, according to its weakest bonding in the crystal structure. In general, deformabilities show linear functional behaviour with Na^{*}.

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Table 1. Calculated and experimental structural parameters of Ms-Pg series (lengths in Å, angles in degrees, and volumes in Å³). $Na^* = Na^+ / (Na^+ + K^+).$

Sample	Experimental*	Experimental ^{‡,}	Na [*] =0.0	0.25	0.5	0.75	1.0
а	5.174-5.226	5.128-5.135	5.187	5.176#	5.160	5.152#	5.123
b	8.976-9.074	8.898-8.906	9.006	8.994	8.975	8.932	8.895
С	19.875-20.097	19.287-19.384	20.148	19.917	19.653	19.378	19.113
α	90.0		90.0	90.0	90.0	90.0	90.0
β	95.59-95.84	94.35-94.6	95.44	95.55	95.55	94.08	94.02
γ	90.0		90.0	90.0	90.0	90.0	90.0
Volume	926-945.4	877.51-883.6	936.999	922.829	906.007	889.581	868.767
О-Н	0.95		0.974	0.974	0.974	0.974	0.974
T-O	1.64	1.653	1.651,	1.649,1.758	1.675,1.761	1.653,	1.655,
			1.757			1.763	1.766
M-O	1.927-1.94	1.908-2.221	1.934	1.922	1.931	1.920	1.926
M-OH	1.911	1.896	1.918	1.918	1.912	1.911	1.903
τ	111.0		111.4	110.3	111.1	109.9	110.6
K-O _{outer}	3.272-3.373	-	3.427	3.402	3.384	3.372	-
K-O _{inner}	2.832-2.934	-	2.759	2.721	2.680	2.624	-
Δ_{K}	0.426-0.509	-	0.668	0.681	0.704	0.748	-
Na-O _{outer}	-	3.370-3.374	-	3.438	3.407	3.352	3.357
Na-O _{inner}	-	2.624-2.642	-	2.680	2.618	2.514	2.474
$\Delta_{ m Na}$	-	0.746-0.732	-	0.758	0.789	0.838	0.883
Tetrahedral rotation α_{K} ,	10.3 *-11.3	16,16.2 19	14.6	15.1, 15.8	15.5 , 16.7	16.5, 17.5	18.8
$\alpha_{\rm Na}(^{\rm o})$							
V. (Td) Si,Al (Å ³)		2.32	2.273,	2.274,	2.282,	2.283,	2.298,
			2.774	2.784	2.794	2.810	2.824
V. (Oh) Al (Å ³)		9.10	9.386	9.361	9.345	9.339	9.283
Tetrahedral sheet	2.262 *	2.243	2.277	2.265	2.271	2.248	2.237
thickness (Å)							
Octahedral sheet thickness	2.083 *	2.078-2.085	2.093	2.090	2.089	2.115	2.144
(Å)							
Interlayer thickness (Å)	3.375 *	3.053-3.090	3.361	3.273	3.128	3.021	2.867
Volume Intralayer (Å ³)	309.3 *		310.5	308.2	307.1	304.2	301.5
Volume Interlayer (Å ³)	158.0 †		157.0	152.3	144.9	139.0	130.6
Basal oxygen corrugation	0.239*	0.23-0.226	0.282	0.305	0.305	0.279	0.261

 $\Delta z(\dot{A})$

Burnham and Radoslovish (1964) K_{0.66}Na_{0.34}Al₂(AlSi₃)O₁₀(OH)₂; Rothbauer (1971) <u>076</u> K_{0.85}Na_{0.1}(Al_{1.81}Fe²⁺_{0.14}Mg_{0.12})(Al_{0.9}Si_{3.1})O_{9.8}(OH)₂; Guggenheim et al. (1987) K_{0.93}Na_{0.08}(Al_{1.83}Fe $_{0.16}Mg_{0.01}(Al_{0.90}Si_{3.10})O_{10}(OH)_{1.83}F_{0.17} and K_{1.00}Na_{0.03}Ca_{0.01}(Al_{1.93}Fe_{0.01}Mn_{0.01})(Al_{0.91}Si_{3.09})O_{10}(OH)_{1.88}F_{0.12}; Catti et al. (1989)$ K_{0.86}Na_{0.11}(Al_{1.93}Fe _{0.07}Mg_{0.02})(Al_{0.92}Si_{3.08})O₁₀(OH)₂; Catti et al. (1994) K_{0.90}Na_{0.07}(Al_{1.63}Fe_{0.23}Mg_{0.16}Ti_{0.03})(Al_{0.80}Si_{3.20})O₁₀(OH)₂; GET92; Brigatti et al. (1998) Different compositions; Mookherjee and Redfern (2002) K_{0.95}Na_{0.05}(Al_{0.76}Fe $_{0.14}Mg_{0.10})_2(Al_{0.75}Si_{3.25})O_{10}(OH_{1.96}F_{0.04})$ $Comodi \ and \ Zanazzi \ (1997) \ Na_{0.88} K_{0.10} Ca_{0.01} Ba_{0.01} (Al_{1.97} Ti_{0.007} Fe_{0.01} Mn_{0.006}) \\ Si_{3.01} Al_{0.99} O_{10} (OH)_2; \ GEA92; Lin \ \& \ Baley, \ (1984) \ Al_{0.99} O_{10} (OH)_2; \ Al_{0.99} O_{10}$ $K_{0.042}Na_{0.916}Ca_{0.018}\square_{0.024}(Al_{1.990}Fe_{\ 0.028}Mg_{0.013}Ti_{0.003})(Al_{1.061}Si_{2.939})O_{10}(OH)_2$ # values are divided by 2. 085 V. (Td) means volume of the tetrahedral sheet, V. (Oh) is the volume of octahedral sheet; $\tau = O_{\text{basal}}$ -T-O_{apical} bond angles; 1086 1087 Tetrahedral rotation α (°) = $\frac{1}{2} \sum_{i=1,6} [120^{\circ} - (O_b - O_b - O_b)_i]/6$; Tetrahedral sheet thickness (Å) = [(mean z coordinate of basal O) –

(mean z coordinate of apical O)]csin β ; octahedral sheet thickness (Å) = [(mean z coordinate of "upper" O and OH in the

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octahedral sheet) – (mean z coordinate of "lower" O and OH in the octahedral sheet)]c sin β , Δz (Å) = measure of distortion off coplanarity in z coordinate of the basal O atoms.

1094 Table 2. Calculated and experimental elastic constants, Cij, (GPa) of the Ms-Pg series. Na*=Na⁺/(Na⁺+K⁺)

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C_{ij}	Ms ^a	Ms ^b	Ms ^c	Ms ^d	Ms ^e	$Na^{*} = 0.0$	0.25	0.5	0.75	1.0
11	178.0	181.0	176.5	172.7	228.7	178.9	179.8	180.1	178.7	177.0
22	178.0	178.4	179.5	166.7	232.7	178.2	177.1	178.0	176.3	178.3
33	54.9	58.6	60.9	54.8	65.6	67.9	75.9	74.8	97.6	112.4
44	12.2	16.5	15.0	14.2	16.3	22.6	19.2	14.3	11.8	8.4
55	12.2	19.5	13.1	17.2	17.3	27.0	25.6	23.9	23.1	21.4
66	67.8	72.0	70.7	67.6	76.2	67.5	67.9	68.1	67.9	68.8
23	14.5	21.2	23.0	17.4	22.4	34.3	31.3	28.1	23.9	19.1
13	14.5	25.6	20.0	20.1	25.0	38.8	36.2	32.6	30.1	25.6
12	15.2	48.8	47.7	48.8	98.4	65.1	63.4	61.7	56.7	54.4
15		-14.2	-1.2	-17.7	-1.9	-14.1	-13.5	-13.5	-16.3	-18.5
25		1.1	11.1	-1.7	6.9	0.7	-0.2	-2.5	-8.0	-13.2
35		1.0	-0.7	-3.3	1.7	-0.9	2.0	3.4	0.0	0.1
46		-5.2	0.7	-4.4	4.8	-0.7	-2.5	-5.9	-6.2	-9.2
B_{HSH}^{f}		58.2 ^g				68.4	69.2	66.8	69.2	68.8
G_{HSH}^{f}		35.3 ^g				37.5	37.1	34.6	35.0	32.6

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1100	
1102	
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1105	

(a) Aleksandrov and Ryzhova.(1961).

(b) Vaughan and Guggenheim (1986). McNeil and Grimsditch. (1993).

(c)

(d) Calculations from plane waves, GGA P=0, Model 1. Militzer et al. (2011). Calculations based on empirical potential (Collins et. al. 1992).

(e)

(f) Values from Hashin-Shtrikman-Hill equations. Hashin et al. (1962a, b), Watt and Peselnick (1980), Watt (1979,1980, 1987). Hill (1952).

(g) Values from Voigt-Reuss-Hill equations.

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Table 3.- Elastic-stiffness constants (GPa) at different interlayer cation configurations at Na/(Na+K) = 0.5.

C_{ij}	c0	2ac1	2bc1	Interstr
11	180.1	179.7	180.0	175.0
22	178.0	176.9	178.0	172.7
33	74.8	76.1	79.2	87.1
44	14.1	14.6	14.6	11.6
55	23.9	23.5	23.3	23.0
66	68.1	67.7	67.9	65.9
23	28.1	27.7	27.6	28.9
13	32.6	32.8	32.1	34.3
12	61.7	61.1	61.2	56.5
15	-13.5	-13.7	-14.2	-17.6
25	-2.5	-2.7	-3.8	-7.8
35	3.4	2.9	2.6	-0.6
46	-5.9	-5.0	-5.4	-7.3
B_{HSH}	66.8	66.9	67.6	68.5
G_{HSH}	34.6	34.8	35.1	33.0
Total Energy (eV)	-53840.32390	-53840.21336	-53840.30269	-53840.66671
a (Å)	10.321	10.327	5.162	10.311
b (Å)	8.975	8.970	17.934	8.951
<i>c</i> (Å)	19.653	19.651	19.619	19.662
β (°)	95,55	95.26	95.28	94.55
Vol (Å ³)	1812.015	1812.696	1808.566	1808.960

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Table 4.- Bulk modulus values for Muscovite from different experimental and computational studies.

Ref.	B0 (GPa)
Vaughgan et al. 1986	58.2ª
Sekine et al.,1991	52 ^b
Faust & Knittle, 1994	61.4 ^c
Catti et al.,1994	56 ^d
Comodi & Zanazzi, 1997	56°
Smyth et al. 2000, Phengite	57°
Comodi et al. 2002	57.0 ^c
Curetti et al. 2006, Phengite	57.3°
Ortega-Castro et al. 2010	60.1 ^e
This work	$68.4^{\rm e} (64.0^{*})$

 $1114 \\ 1115 \\ 1116 \\ 1117 \\ 1118 \\ 1119$

^(a) Brillouin Scattering
 ^(b) Shock wave
 ^(c) X-Ray Diffraction
 ^(d) Neutron Diffraction
 ^(e) DFT
 ^(f) the second state of the second state o

(*) An estimate of the bulk modulus at 298 K from Comodi et al. 2002.



Table 5.- Deformabilities as a linear function of the Na*.

Molecular group	\mathcal{E}_{ii}	$D^{i}_{\epsilon i i}$	slope
$<\alpha>_{\rm K}$	xx	-5.06	2.06
$<\alpha>_{Na}$	xx	-5.20	1.78
$<\alpha>_{\rm K}$	уу	-4.90	1.62
$<\alpha>_{Na}$	yy	-4.92	1.78
$<\alpha>_{\rm K}$	ZZ	0.87	-0.78
$<\alpha>_{Na}$	ZZ	-0.11	-0.26
< ^{IV} Al-O _{basal} >	ZZ	0.116	-0.054
<si- o<sub="">basal></si->	ZZ	0.063	-0.040
< ^{IV} Al-O _{apical} >	ZZ	0.074	0.036
<si- o<sub="">apical></si->	ZZ	0.044	0.057
< ^{VI} Al-O>	ZZ	0.024^{a}	-
<k···oinner></k···oinner>	ZZ	0.833	-0.109
<k···o<sub>outer></k···o<sub>	ZZ	0.729	-0.25
<na…oinner></na…oinner>	ZZ	0.900^{b}	0.27
<na…o<sub>outer></na…o<sub>	ZZ	0.338 ^b	0.55
<tetr. thickness=""></tetr.>	ZZ	0.080	0.140
<oct. thickness=""></oct.>	ZZ	0.205	0.129
<interla_thickness></interla_thickness>	77	2 583 ^a	-

1	124
1	125
1	126
1	127

 $^{(a)}$ Deformability of Ms, no clear linear behaviour was shown as a function of Na $^{\ast}.$ $^{(b)}$ Deformability of Pg (regression was performed as a function of K $^{\ast}).$



(a)



 $\begin{array}{c}1130\\1131\end{array}$

(b)



(c)



1135

(d)

Figure 1. (a) Middle-concentration Na^+ compound of the $2M_1$ Ms-Pg series, **c0** interlayer cation configuration; (b) **2bc1** interlayer cation configuration; (c) **2ac1** interlayer cation configuration; (d) interstratified derivative. Sky- and navy-blue polyhedra account for the Al^{3+} and Si^{4+} cation polyhedra, respectively; the O, H, Na, and K cation are represented by red, white, yellow, and purple spheres, respectively.

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Figure 2. Computational and experimental values of (*a*) *a* axis; (*b*) *b* axis; (*c*) $\frac{1}{2}csin\beta$; and (*d*) volume as a function of Na⁺/(Na⁺+K⁺) in the Ms-Pg series. Inserts: linear least-square fitting, correlation coefficient (R) or square correlation coefficient, standard deviation (SD) and mixing terms; and experimental values extracted from different references. (*a*) and (*b*) GEA92 for *a* and *b* axes in the Ms region, values from Eqs. 2 and 8, respectively, of Table 1 from GEA92; (*c*) GEA92 in the Ms region values from Eq. 14 of Table 1, and in the Pg region values from Eq. 26 of Table 2 from GEA92; and (*d*) GEA92 in the Ms region values

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from Eq. 20 of Table 1, and in the Pg region values from Eq. 30 of Table 2 from GEA92. Sid77 = values from Sidorenko et al. (1977); Flux86 = values from Flux and Chatterjee (1986); Gug87= values from Guggenheim et al. (1987); Cat89/94 = values from Catti et al. (1989) and Catti et al. (1994); Roux96 = values from Roux and Hovis (1996); Bri98 = values from AG1 and/or RA1 and/or C3-29b samples from Brigatti et al. (1998); and Moo02 = values from Mookherjee and Redfern (2002).

1173 1174



1178

- 1179
- 1180
- 1181

(b)

Figure 3.- (*a*) Differences between the $\langle X^+ \cdots O \rangle_{outer}$ and $\langle X^+ \cdots O \rangle_{inner}$ (Å) as a function of 1182 the Na⁺/(Na⁺+K⁺); (b) average tetrahedral rotation ($<\alpha>$) for the K⁺ and Na⁺ ditrigonal 1183 1184 cavities as a function of the $Na^+/(Na^++K^+)$ in the unit cell. Inserts: linear least-square fitting 1185 and experimental values extracted from different references. Rot71 = values from 1186 Rottbauer (1971); Sid77 = values from Sidorenko et al. 1977; Sob77 = values from 1187 Soboleva et al. (1977) (Pg 1M); Lin84 = values from Lin and Bailey (1984); Knu86 = 1188 values from Knurr and Bailey (1986); Gug87 = values from Guggenheim et al. (1987);

- 1189 Cat89/94 = values from Catti et al. (1989) and (1994), respectively; Cat94= values from 1190 Catti et al. (1994); Com95 = values from Comodi et Zanazzi (1995); Bri89 = values from
- 1191 Brigatti et al. (1989); and Moo02 = values from Mookherjee and Redfern (2002).
- 1191 Bingatti et al. (1989), and 100002 values from 1000kherjee and Redrein (2002) 1192

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Figure 4.- $C_{ij}(a) - (f)$, bulk (B) (g) and shear (G) (h) moduli as a function of Na⁺/(Na⁺+K⁺). V/EC in Å³/GPa. Inserts: linear least-square fitting and experimental values extracted from different references. In (a) – (h) AR61 = experimental values from Aleksandrov and Ryzhova (1961); VG86 = values from Vaughan and Guggenheim (1986); McG93 = values from McNeil and Grimsditch (1993); Mil11 = values from Militzer et al. (2011); Coll92 = values from Collins et al. (1992). In (g) and (h) B- and G- (B+ and G+) means Hashin-Shtrikman lower bound (upper bound) of the bull and shear moduli; Hill

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1235 1236 average, respectively, from Vaughan and Guggenheim (1986).





1239Figure 5.- P and S wave velocities (Karki et al., 2001) from the Hashin-Shtrikman-1240Hill modulis.